

National Info Day Danube Transnational 2014-2020

3. Call for Project Proposals

6. November 2018, Wien



www.oerok.gv.at/eu-kooperationen/

 Bundesministerium
Nachhaltigkeit und
Tourismus

EU-Kooperationen

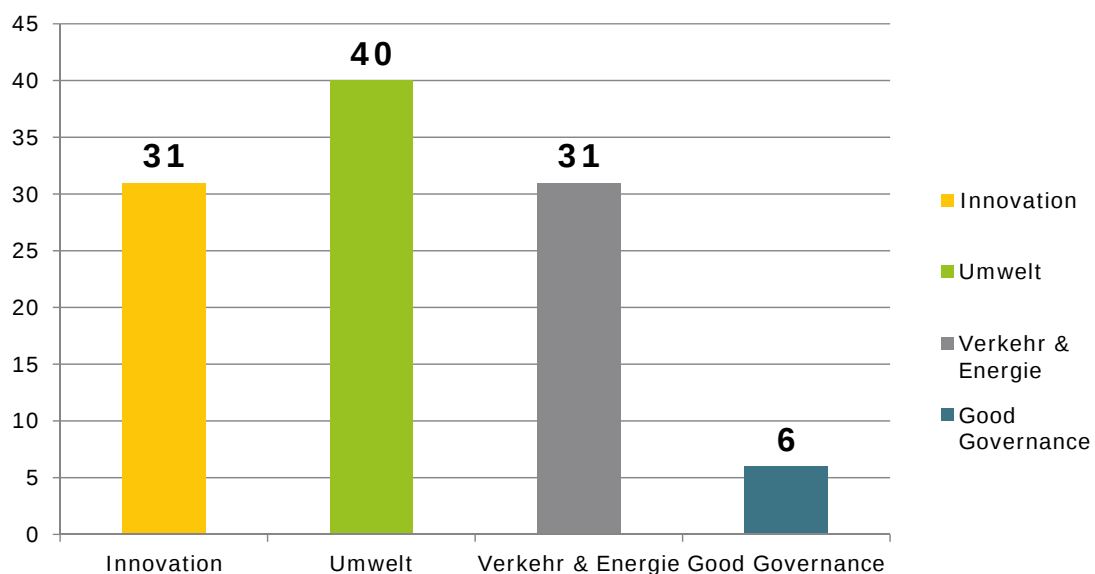
Programm

10:00	Begrüßung & Agenda
10:15	Danube Transnational: Ergebnisse der ersten Calls
10:45	Danube Transnational: Rahmenbedingungen 3. Call, Interventionslogik, Assessment & Förderfähigkeitsregeln
12:15	Fragen & Antworten
12:30	Kaffeepause inkl. Mittagssnack
13:15	Wege zum Erfolg – Erfahrungen & Lessons Learnt in der Aufsetzung eines erfolgreichen Projektes im DTP aus der Sicht eines Projektträgers
13:45	Eckpunkte für die Projektabwicklung: Ausblick auf die Projektumsetzung und Informationen zum nationalen Prüfsystem
14:15	Fragen & Antworten
14:45-15:00	Resümee und Ausblick

Begrüßung

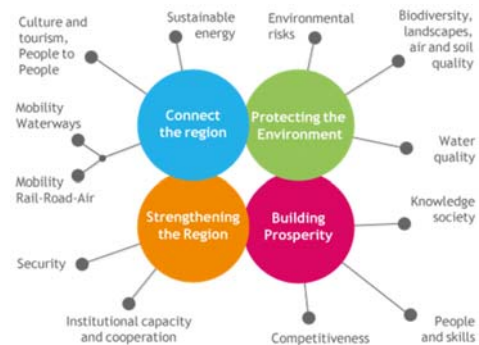
Roland Arbter, BMNT

1. & 2. Call – ö Beteiligungen in genehmigten Projekten

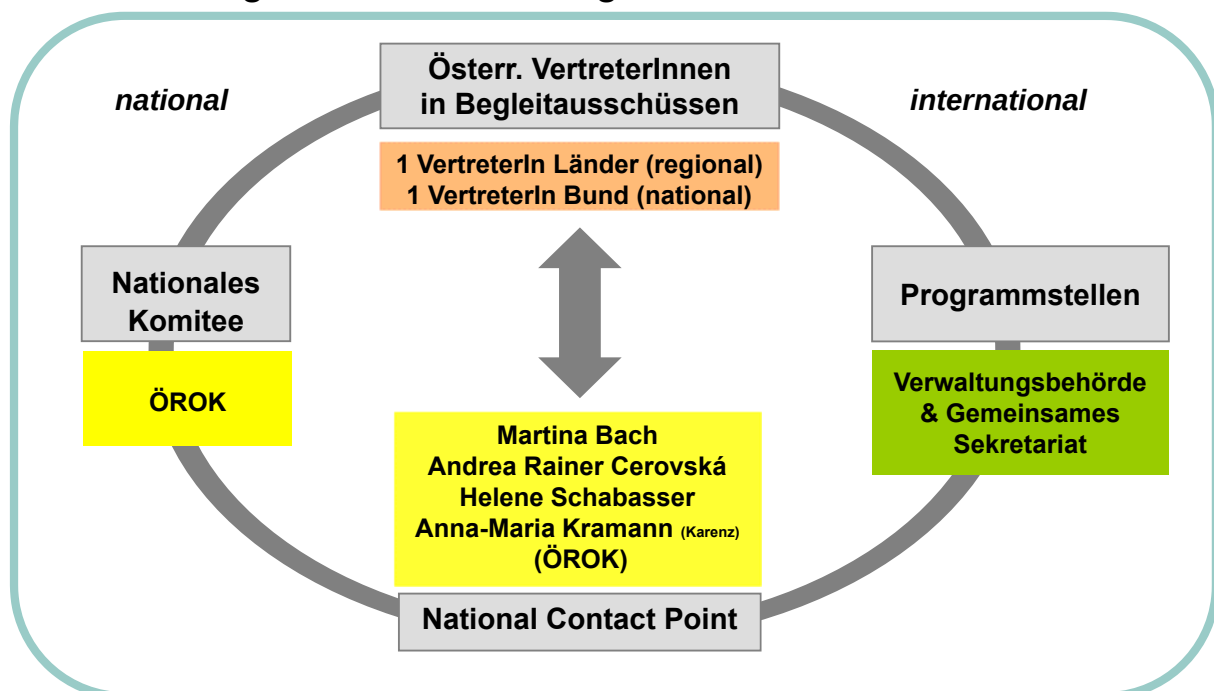


Danube Transnational Programme

Donauraumstrategie (EUSDR)



Nationale Begleitstrukturen zu den transnationalen & interregionalen ETZ-Programmen



Danube Transnational– Ergebnisse der ersten Calls

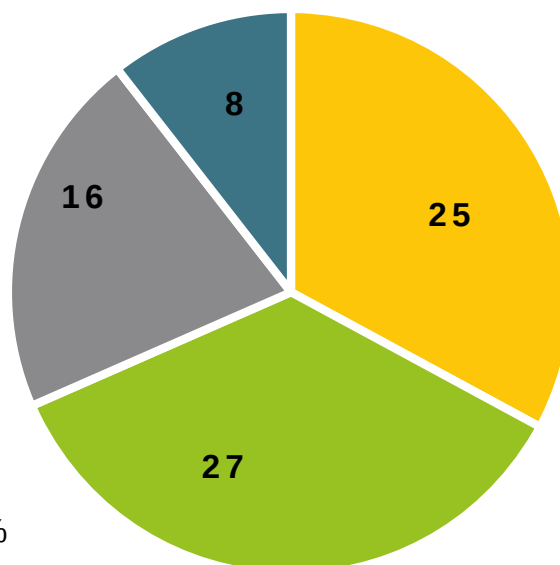
Helene Schabasser, ÖROK-Geschäftsstelle - NCP

1. & 2. Call – genehmigte Projekte



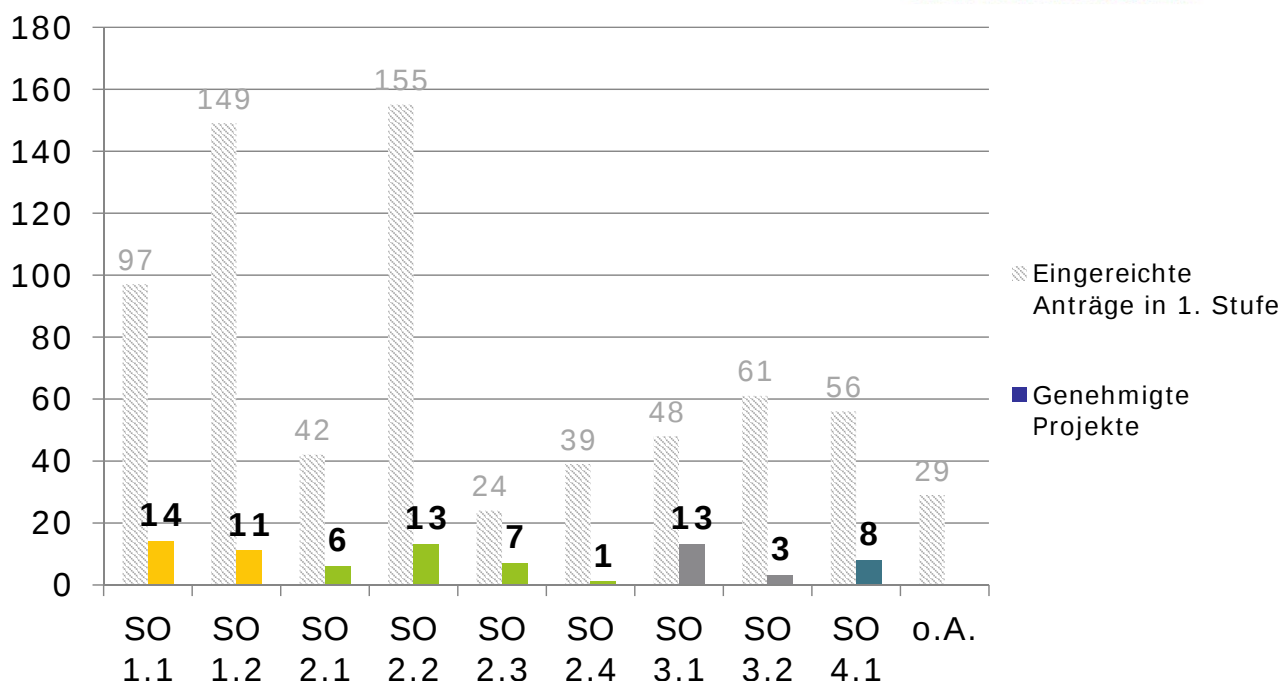
1.Call: zweistufig
576 EoI in 1. Stufe
 91 Anträge in 2. Stufe
 54 Projekte genehmigt
 -> Erfolgsquote: 9,3%

2.Call: einstufig
128 Anträge
 22 Projekte genehmigt
 -> Erfolgsquote: 18,5%

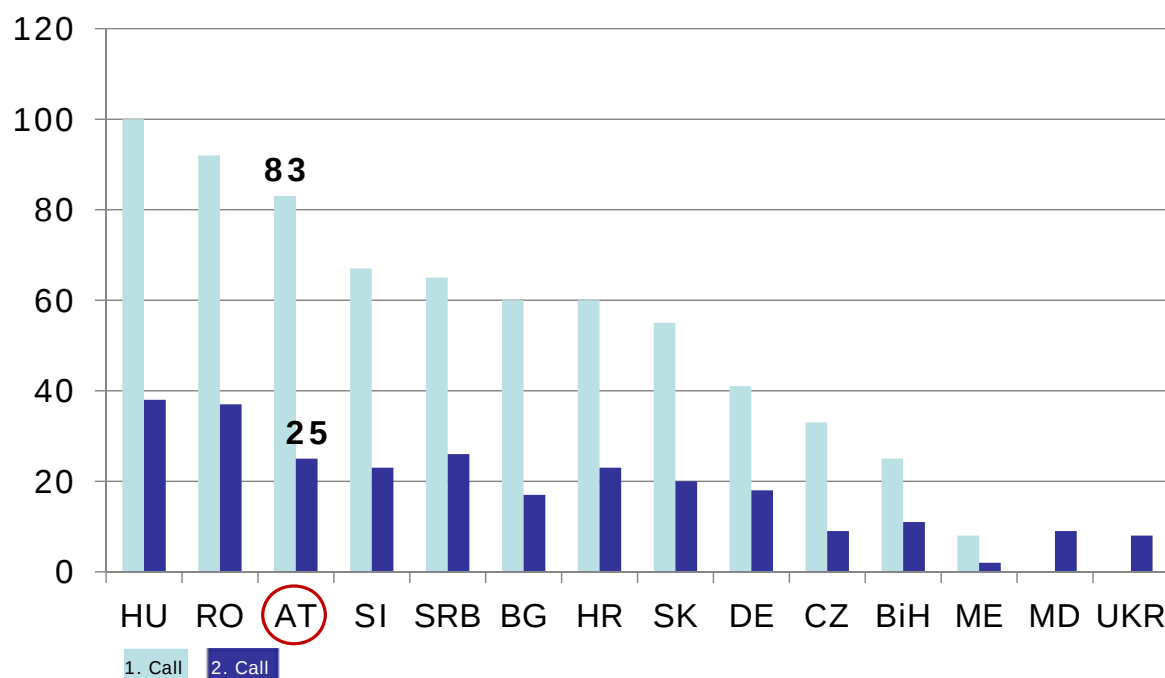


- Priorität 1 - Innovation
- Priorität 2 - Umwelt
- Priorität 3 - Verkehr & Energie
- Priorität 4 - Governance

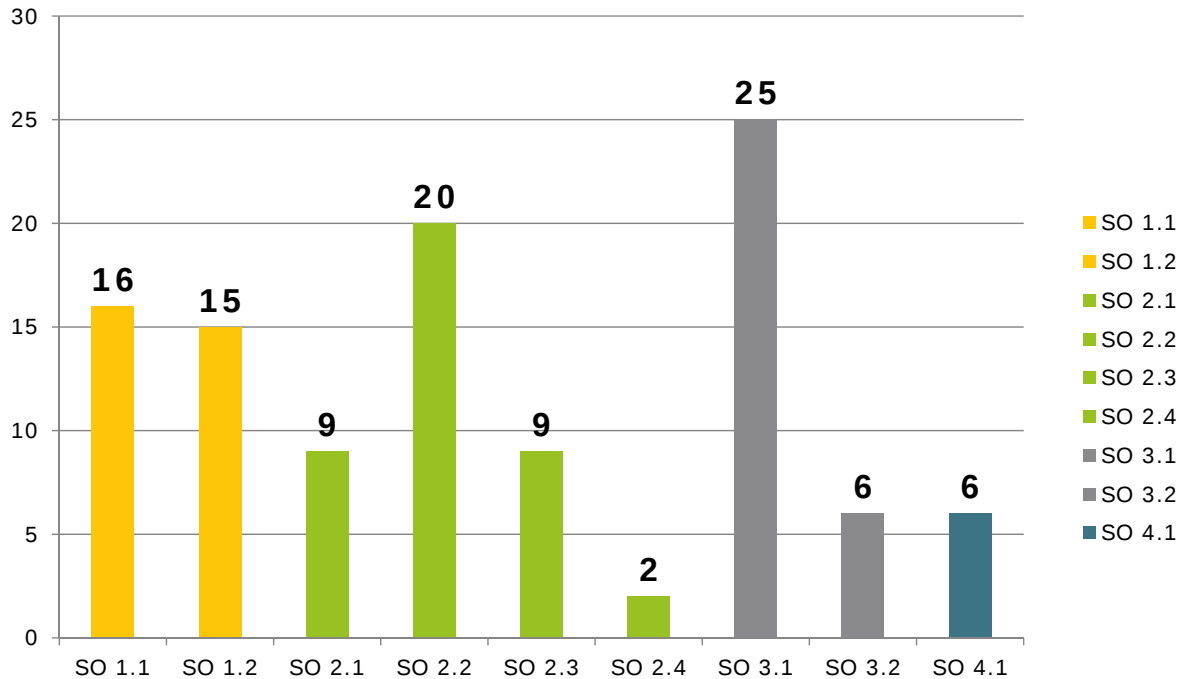
1. & 2. Call – Projekte nach SO



1. & 2. Call – Anzahl der Beteiligungen nach Ländern



1. & 2. Call – ö Beteiligungen in genehmigten Projekten

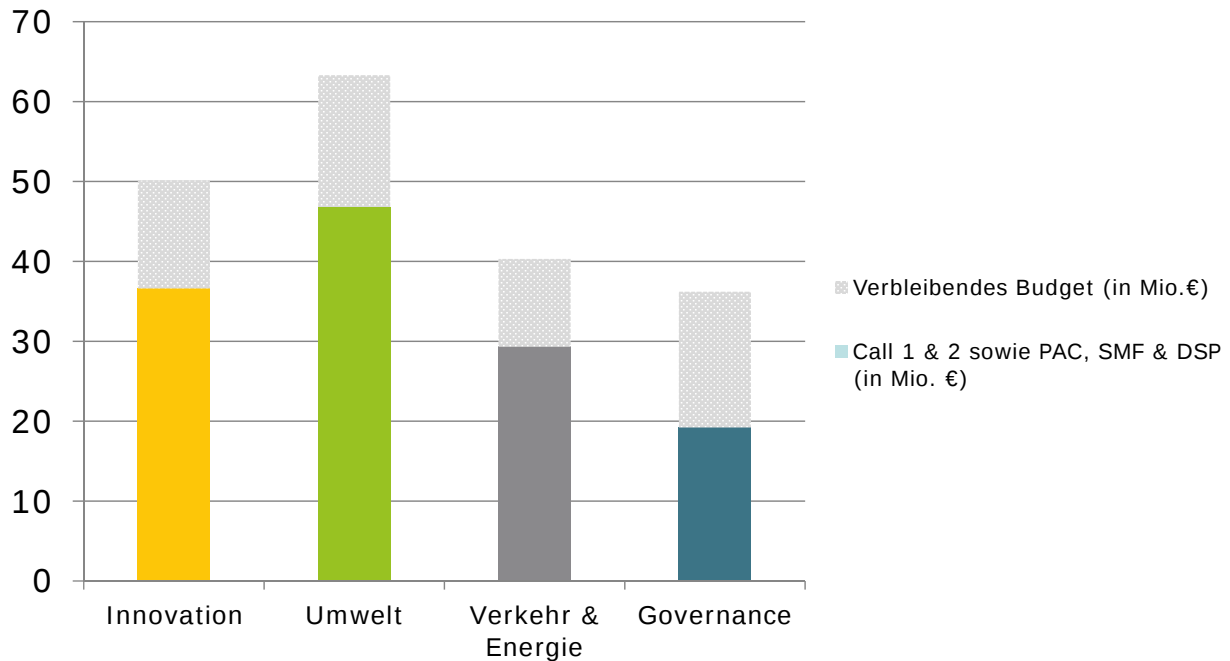


Weitere Calls: PAC, SMF und DSP (alle unter SO 4.2)

- **PAC** – Priority Area Coordinator: Unterstützung der Priority Areas der Donaumaumstrategie (EUSDR)
- **SMF** – Seed Money Facility Call: Unterstützung strategischer Projekte der Donaumaumstrategie
- **DSP** – Danube Strategy Point: Koordination und verstärktes Networking zwischen allen Donaumauminitiativen, Nutzung von Synergien, Revision des Aktionsplanes der EUSDR



Budgetallokation nach Prioritäten



Wo finde ich Ergebnisse?

➤ Transnationale Ebene:

- Danube Transnational Programmwebsite:
<http://www.interreg-danube.eu/approved-projects>
- Website des Vorgängerprogramms South-East Europe:
http://www.southeast-europe.net/en/projects/approved_projects/
- Projektdatenbank KEEP von INTERACT: www.keep.eu

Wo finde ich Ergebnisse?

➤ Nationale Ebene

- Website des NCP: <http://www.oerok.gv.at/eu-kooperationen/>
- sowie



Projektdatenbank 2000-2013
<http://www.oerok-projekt Datenbank.at>



ETZ-Broschüre 2007-2013

Danube Transnational – Rahmenbedingungen 3. Call, Interventionslogik, Assessment & Förderfähigkeitsregeln

Simona Ene, DTP Joint Secretariat

DTP – My story



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What is DTP?

DTP is a financing instrument

One of the 107 Interreg Programmes 2014-2020
(transnational, cross-border, interregional)

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DTP 2014-2020 finances projects implemented by large transnational consortia aiming at improving the public policies and institutional cooperation in the Danube region.

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DTP is **NOT**:

- The Danube Strategy Programme!
- EU Strategy for the Danube Region!
- The only financing instrument for EUSDR!
- A Programme addressing Danube riparian areas only!
- A Programme for big infrastructure!
- A research Programme!
- A Programme aiming at mere networking!

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How am I structured?



Innovative and socially responsible Danube Region

- 1.1: Improve framework conditions for innovation
- 1.2: Increase competences for business and social innovation



Environment and culture responsible Danube Region

- 2.1: Strengthen transnational water management and flood risk prevention
- 2.2: Foster sustainable use of natural and cultural heritage and resources
- 2.3: Foster the restoration and management of ecological corridors
- 2.4: Improve preparedness for environmental risk management



Better connected and energy responsible Danube Region

- 3.1: Support environmentally-friendly and safe transport systems and balanced accessibility of urban and rural areas
- 3.2: Improve energy security and energy efficiency

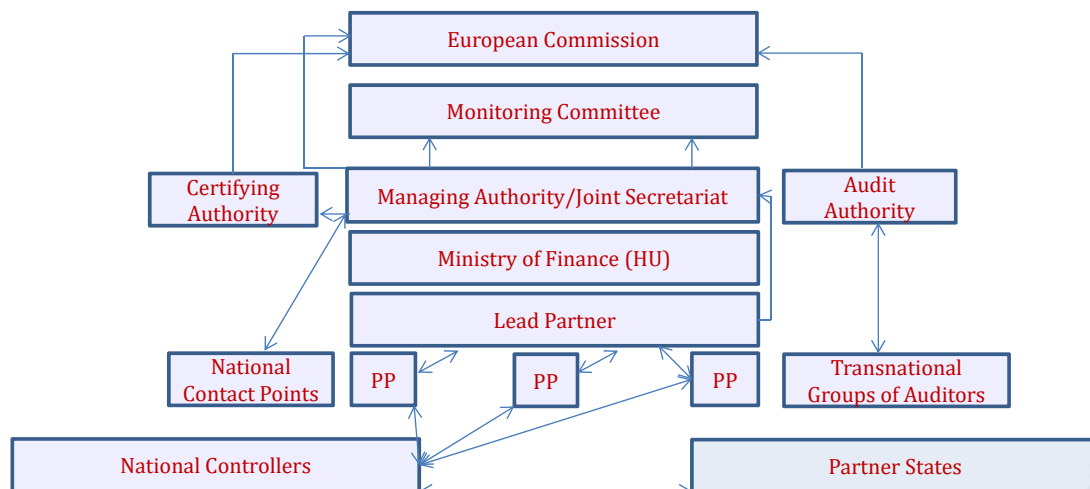


Well governed Danube Region

- 4.1: Improve institutional capacities to tackle major societal challenges
- 4.2: Support the governance and implementation of the EUSDR

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Who is managing me?



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DTP supports joint cooperation projects which:

- Develop institutional capacity and ensure knowledge transfer
- Pave the way to new investments
- Support the development of a common framework for policy implementation in various fields

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DTP supports joint cooperation projects which:

- Support policy harmonisation in various fields
- Develop innovative solutions to common problems

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What are my key principles?

- Real transnational character
- Result-oriented approach
- Sustainable development
- Durable and transferrable outputs
- Integrated territorial approach
- Qualitative partnership
- Efficiency in terms of mobilised resources

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Who can apply?

Both public and private entities

BUT:

**Lead Partners have to be located in an EU Member State of the Programme Area.*

**Private profit-making organisations cannot apply as Lead Partners.*

**Private profit-making organisations from non-EU countries of the programme area are not eligible.*

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PARTNERSHIP REQUIREMENTS:

3 financing partners from 3 DTP countries
(LP and at least 2 other PPs)

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Who can apply?

Programme area

14 countries:

- **9 EU MS:** DE, AT, CZ, SI, SK, HU, HR, RO, BG
- **3 EU (potential) candidate countries:** RS, ME, BiH
- **2 EU neighbouring countries:** UA, MD



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Who can apply?

Type of Partner		Location	Budget	Budget lines
Directly financed partners	LEAD PARTNER	EU part of the Programme area	Separate	All
	ERDF PARTNER	EU part of the Programme area	Separate	All
	IPA PARTNER	Bosnia and Herzegovina, Montenegro, Serbia	Separate	All
	ENI PARTNER	Moldova and Ukraine	Separate	All
Indirectly financed partners	Associated Strategic Partners (ASPs)	➤ EU countries ➤ Non-EU countries of the Programme Area	Part of a "sponsoring" ERDF PARTNER budget	TRAVEL and ACCOMODATION

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Who can apply?

Associated Strategic Partners (ASP)

Added value for the partnership

EU countries
Non-EU countries from eligible area

No budget

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What type of funds do I have?

ERDF

- PPs from EU MS
- ASPs

IPA

- PPs from BiH, RS, ME

ENI

- PPs from MD, UA



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DTP – My third Call



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INDICATIVELY, end of 2018 - beginning of 2019

BUT

- Applicants Manual
- EoI templates
- Guidelines for EoI

ARE AVAILABLE AT <http://www.interreg-danube.eu/calls/calls-for-proposals/third-call-for-proposals>

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Step 1: Expression of Interest (EoI)

Step 2: Application Form (AF)

- **On line application through eMS system**

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Which PAs can be addressed?



**Innovative and
socially responsible
Danube Region**



**Environment and
culture responsible
Danube Region**



**Better connected
and energy
responsible Danube
Region**



**Well governed
Danube Region**



 *Opening of SO 4.1 is subject to approval of the programme budget reallocation by the EC.*

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SO 1.1 - Innovation

SO 1.1: Improve framework conditions for innovation



Improve the institutional and infrastructural framework conditions and policy instruments for research & innovation to ensure a broader access to knowledge for the development of new technologies and the social dimension of innovation.

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3rd Call restrictions!!!

- Service innovation, with a focus on strengthening employment via innovative public policies (national or regional authorities should take the lead), and creative industries (with a strong accent on innovative urban regeneration via service hubs)

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SO 1.2: Increase competences for business and social innovation

Foster innovative learning systems to increase competences of employees in the business sector, to strengthen entrepreneurial culture and learning contributing to better meet social needs and the delivery of services in the general interest.



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3rd Call restrictions!!!

- Strengthening the capacity of the so-called support organisations (e.g. employment agencies, trade unions, labour market organisations) to provide innovative entrepreneurial training and education to individuals in the framework of the knowledge-based economy.
- Developing innovative social services able to better meet social needs and to provide services in general interest.

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Contact details PA1



Project Officer PA1
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SO 2.1: Strengthen transnational water management and flood risk prevention



Strengthen joint and integrated approaches to **further develop and implement River Basin Management Plans** in the Partner States in line with the overall Danube River Basin Management Plan in order to **improve transnational water management and flood risk prevention** contributing to the sustainable provision of ecosystem services.

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3rd Call restrictions!!!

- **Water quality management**, e.g. integrated policies, strategies, solutions, measures to reduce nutrient and hazardous substance pollution, improving quality of (surface and ground) waters in the Danube and its transnationally relevant (sub-)River Basin(s) - monitoring is not expected to be in the main focus of such projects
- **More efficient waste water treatment** solutions that can prove transnational impact;
- **Integrated ground water resources management** (from quality and / or quantity aspect), in case the transnational relevance and approach can reasonably be proved by a project.
- Managing water resources from quantitative aspects (balanced usage, inter-sectorial coordination and cooperation) having transnational impact on water bodies.
- Strategic approach in **harmonised and coordinated operative flood prevention measures** (e.g. inter-sectorial coordination, including hydropower, navigation, etc.) for transnationally relevant river (basins) - monitoring is not expected to be in the main focus of such projects

SO 2.2: Foster sustainable use of natural and cultural heritage and resources

Strengthen joint and integrated approaches to preserve and manage the diversity of natural and cultural heritage and resources in the Danube region as a basis for sustainable development and growth strategies.

No restrictions for the 3rd Call!



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SO 2.3: Foster the restoration and management of ecological corridors



Strengthen effective approaches to preservation, restoring and management of bio-corridors and wetlands of transnational relevance to contribute to the better conservation status of ecosystems of European relevance.

No restrictions for the 3rd Call!

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SO 2.4: Improve preparedness for environmental risk management

Establish and develop a more effective governance system for environmental protection addressing emergency situations and improve the preparedness of public authorities and civil protection organisation contributing to the reduction of risks and impact on ecosystem services, biodiversity and human health.

No restrictions for the 3rd Call!



A stream of cooperation

Contact details PA2

Project Officers PA2



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Gusztav Csomor (SO 2.1, 2.3, 2.4)
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SO 3.1: Support environmentally-friendly and safe transport systems and balanced accessibility of urban and rural areas

Improve planning, coordination and creating practical solutions for an environmentally-friendly, low-carbon and safer transport network and services in the programme area contributing to a balanced accessibility of urban and rural areas.



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3rd Call restrictions!!!

- Transport corridors crossing the Danube region (e.g. addressing identified missing links, proposing solutions for improvement including [cross-border] traffic management systems, developing actions plans/ feasibility plans aimed at further developing the transport corridors – considering the pre-identified projects or their development status),
- Cycling routes crossing the Danube region (e.g. identifying missing links, proposing solutions for improvement, developing actions plans/ feasibility plans aimed at further developing the routes)
- Inter- and multi-modality, including development of ports' connections to road and rail transport for the improvement of transport chains

So 3.2 Improve energy security and energy efficiency



Contribute to the energy security and energy efficiency of the region BY supporting the development of joint regional storage and distribution solutions and strategies for increasing energy efficiency and renewable energy usage.

No restrictions for the 3rdCall!

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Contact details PA3



Project Officer PA3
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SO 4.1: Improve institutional capacities to tackle major societal challenges

**Opening is subject to approval of the programme budget reallocation by the EC.*

Strengthen multi-level and transnational governance and institutional capacities and provide viable institutional and legal frameworks for more effective, wider and deeper transnational cooperation across the Danube region in areas with major societal challenges.

No restrictions for the 3rdCall!

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Project Officer PA4
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Thematic seminars

Bucharest (RO), 4th December 2018	Transport Ecological corridors
Prague (CZ), 14th December 2018	Water management and flood protection Natural and cultural heritage Energy
Stuttgart (DE), 19th December 2018	Innovation
Bratislava (SK), 15th January 2019	Governance

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Structure of the thematic events

Room	
Plenary	1. Main elements of the 3 rd Call for Proposals
Plenary + room 1 (and/or 2)	1. Issues/topics already addressed by DTP funded projects
	<i>Coffee break</i>
Plenary + room 1 (and/or 2)	1. Presentation of the topics covered by the 3 rd call Common topic-related misunderstanding or mistakes will also be addressed
	<i>Lunch</i>
Plenary	1. Key elements of DTP projects Proposals-transnationality, result-orientation, contribution to EUSDR, partnership, durability and sustainability of DTP-funded projects
Plenary	1. Project idea generation (brokerage session) This session provides participants with a chance to sit down at a table with the JS team/experts/national contact points depending on the nature of information they want to get

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Maximum duration of projects:
30 months

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Budget* of the 3rd Call for Proposals in EUR

PA	ERDF	IPA	ENI MD	ENI UA	Total
PA1	13,463,630.97	795,801.87	594,479.00	648,471.95	15,502,383.79
PA2	16,460,009.81	974,337.27	743,098.00	810,590.70	18,988,035.78
PA3	11,068,880.71	671,049.92	520,168.50	567,413.00	12,827,512.13
PA4	9,918,396.26	858,974.77	625,000.00	625,000.00	12,027,371.03

**** All amounts in the table are subject to MC approval.***

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How to develop a successful project?

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Start with...

- ... the project idea...
- Look for the most appropriate partners...
- **Develop the intervention logic...**
- Develop the workplan...
- Create synergies and capitalise...

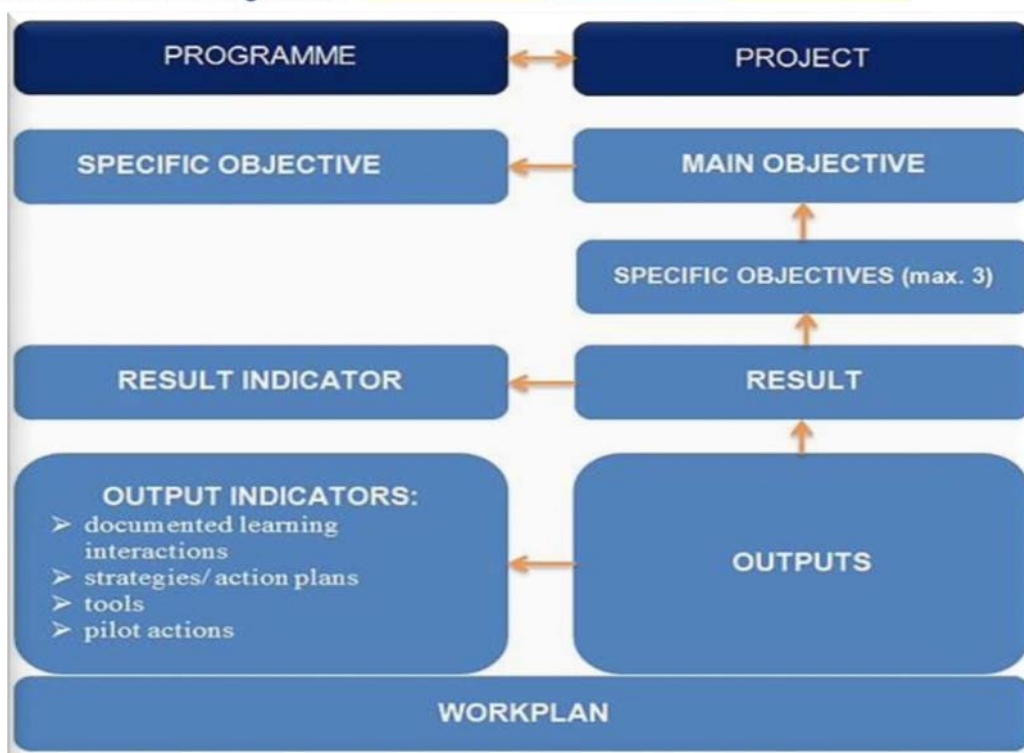
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DTP core principle → *result-orientation*, the basis for this approach being *the change*

Intervention logic → a tool showing *how the change will be achieved* that defines:

- Status quo
- The change
- The specific objectives
- The actions, outputs, stakeholders and target groups
- The results and durability

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Sources of funding:

- 85% EU contribution ensured by DTP (ERDF, IPA, ENI)
- State contribution – check the website!
- Public contribution (national level information, NCP support)
- Private contribution

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How are the project assessed?

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What is the procedure?

EoI	
Eligibility ➤ 6 yes/no questions	Quality ➤ 5 questions

Overall threshold is 60%!

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EoI eligibility assessment

No.	Eligibility criteria	Description
1	The EoI has been submitted within the set deadline (date and time)	The EoI has been submitted within the date and time set in the call announcement.
2	The EoI has been submitted through the eMS	The EoI has been submitted through eMS monitoring system of the programme.
3	The EoI is compiled in English	All parts of the EoI are compiled in English, as the official language of the DTP.
4	Partnership is composed by at least three financing partners from at least three participating countries of which at least one is located in a Member State	Partnership complies with the minimum requirement for a transnational DTP partnership: at least three financing partners (receiving ERDF, IPA or ENI co-financing) from at least three participating countries, of which at least one, the LP, is located in a Member State.
5	Lead Applicants is an eligible beneficiary	The Lead Applicant fulfils the requirement set in Part 2, section II of this manual.
6	The proposal has selected at least two programme output indicators in connection to the outputs defined by the applicant	The proposal has selected the horizontal output indicator and at least another programme output indicator in connection to the outputs defined by the applicant.

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Programme output indicators

1. Horizontal output indicator – mandatory for all the projects:

“**documented learning interactions**” - the process of acquiring/ enhancing institutional knowledge in transnational cooperation context through joint work aimed at a practical solution, transfer of know-how, capacity building, exchange of experience, peer-reviews or any other type of learning processes

!!! It is **expected** that each project develops, implements and documents at least **three** joint learning interactions

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2. Specific programme output indicators – are developed based on a typology of outputs pre-defined at programme level

A. Strategy and action plan

- Starting point-**definition of joint problems/ challenges** of the participating countries/ regions
- Sets up clear mid and long term objectives and priorities reflecting also the common vision of the Danube Region in the specific field
- **Should aim at policy integration** in the Danube area in the selected fields and act as policy drivers below EU level but above national level

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Action plan:

- Breaks down the strategy goals and objectives into specific actions
- Should include the sequence of steps to be taken, or activities that must be performed, for a strategy to succeed

!!! Each developed strategy/action plan, whether implemented or not, should be only counted once under the respective output indicator. Project management and communication-related strategies such as e.g. the project communication strategy should not be considered under this output indicator.

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B. Tools

- The **means for achieving a specific task**.
- Should be jointly developed at transnational level and be innovative.
- Can be **tangible** (physical or technical objects) and **intangible** (methods, concepts or services).

Examples of tools:

- Databases, management concepts, ICT tools etc

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C. Pilot action

- **Practical implementation of newly developed solutions** (e.g. services, tools, methods or approaches, even an investment)
- Has an experimental nature which aims at testing, evaluating and/or demonstrating the feasibility and effectiveness of a scheme
- A pilot action is limited in its scope (area, duration, scale etc.) and must be unprecedented in a comparable environment

Examples of pilot actions:

- *Pilot implementation of innovative management models*
- *Pilot implementation of solutions for sustainable mobility concepts*
- *Pilot application/ testing of new technologies, services etc.*

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1. Is the proposal in line with the focus of the programme and call?

- Project topic - in line with the SO and Call provisions
- Project - is NOT investment or research oriented and it does not target mere networking
- Project - is NOT a duplication of previously funded actions

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2. Is the project intervention logic coherent and in line with the programme one?

- Coherent link between the project main objective, outputs and result and the Programme intervention logic
- Project contributes to the achievement of the specific objective and results of the relevant DTP priority

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3. Does the project have clear transnational dimension and impact?

- Project arises from a common need and it does not represent a collection of local actions
- Project activities have a transnational dimension and the project outputs have a transnational impact

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4. Is the partnership composition relevant, justified and balanced for the proposed project?

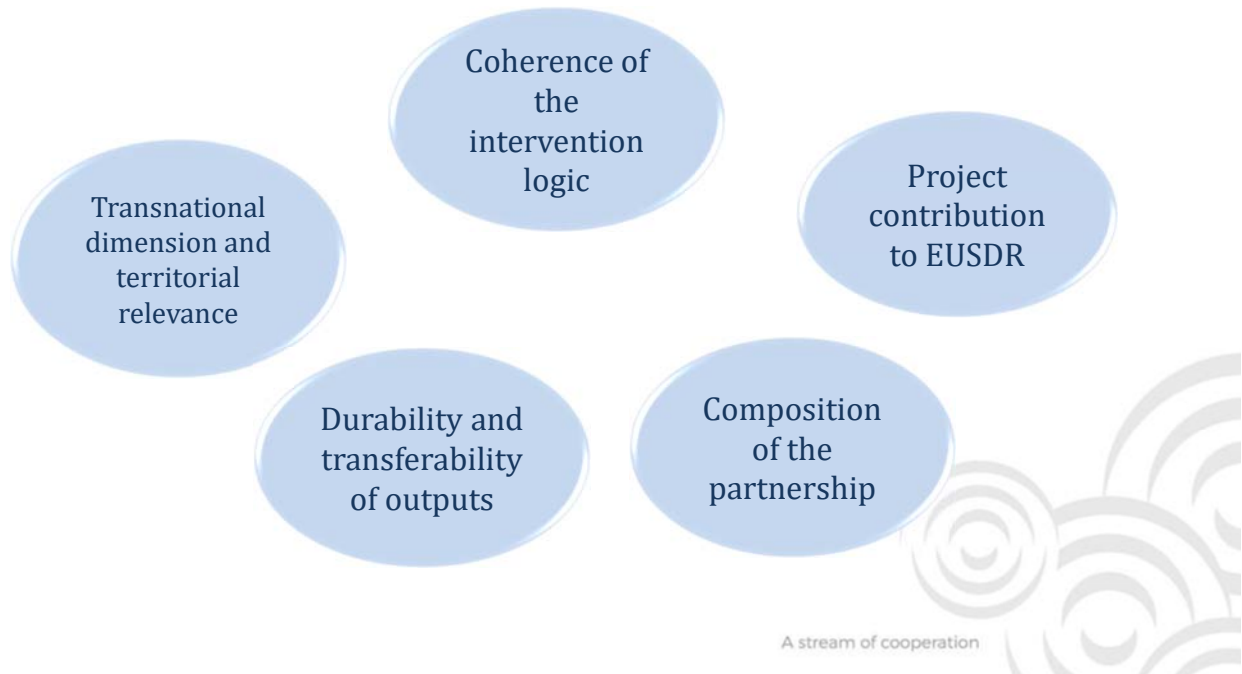
- Partnership is appropriate in terms of geographical coverage and competences in relation to the project topic
- Distribution of tasks among the partners is equitable and it contributes to the achievement of the project objective

5. Is the budget coherent with the planned activities and involved partners?

- Overall value of the proposal
- Distribution of budget per WP and PP in accordance with planned activities and involvement of the PPs

To remember!

What to consider when applying?



Need help?



<http://www.interreg-danube.eu/contacts/national-coordination-and-contact-points>

... and if you are successful

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You have to...

- ... work and report activities
- ... spend and report costs
- ... ensure the quality of the outputs
- ... be available for controls and audits

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Danube Transnational Programme

Postal address:

Ministry of Finance

Danube Transnational Programme Managing Authority | Joint Secretariat
József Nádor Tér 2-4, 1051 Budapest, Hungary

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ÖROK

**Wege zum Erfolg: Erfahrungen und Lessons Learnt in
der Aufsetzung des Projektes Transdanube.Pearls**

Agnes Kurzweil, Umweltbundesamt



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EINREICHEN VON INTERREG-PROJEKTEN – WEGE ZUM ERFOLG

NATIONALER INFO DAY, 6.11.2018

PERSPEKTIVEN FÜR
UMWELT & GESELLSCHAFT **umweltbundesamt**^U

ERFAHRUNGEN AUS DEN PROJEKTEN/EINREICHUNGEN

Visit Alps 2009; Alpine Space





Destinations committed to offer sustainable mobility services to get there, to get around and to travel to the next Danube.Pearl.



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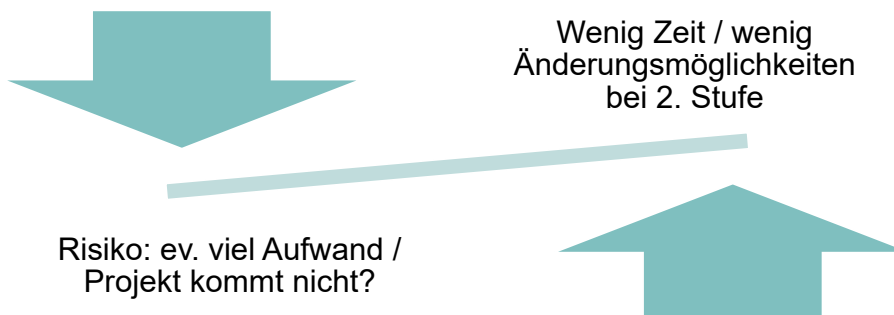
- Interreg Danube Transnational, 1st call
- Priority 3.1
- 01/2017 – 06/2019
- Lead Partner: Umweltbundesamt
- 15 Partner aus 9 Ländern (AT, BG, DE, HR, HU, RO, SE, SK, SLO)
- + ~ 25 Associated Strategic Partner
- 2,94 Mio. Euro
 - ERDF: 2.19 Mio. €
 - IPA: 308.205 €



PERSPEKTIVEN FÜR UMWELT & GESELLSCHAFT **umweltbundesamt**[®]

UNSER GRUNDPRINZIP FÜR DIE EINREICHUNG

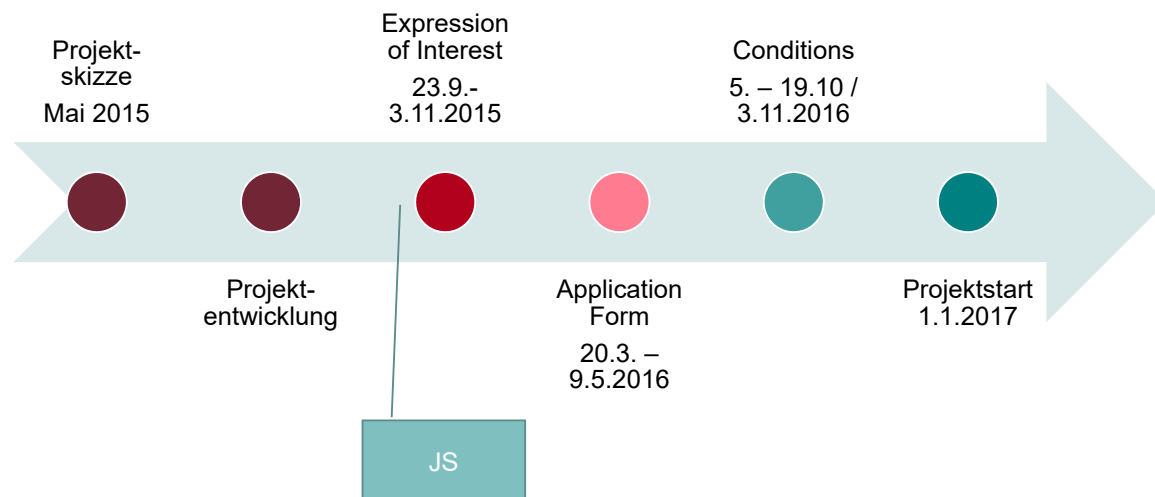
Das Projekt sollte bis zur Öffnung des Calls
mehr oder weniger stehen!



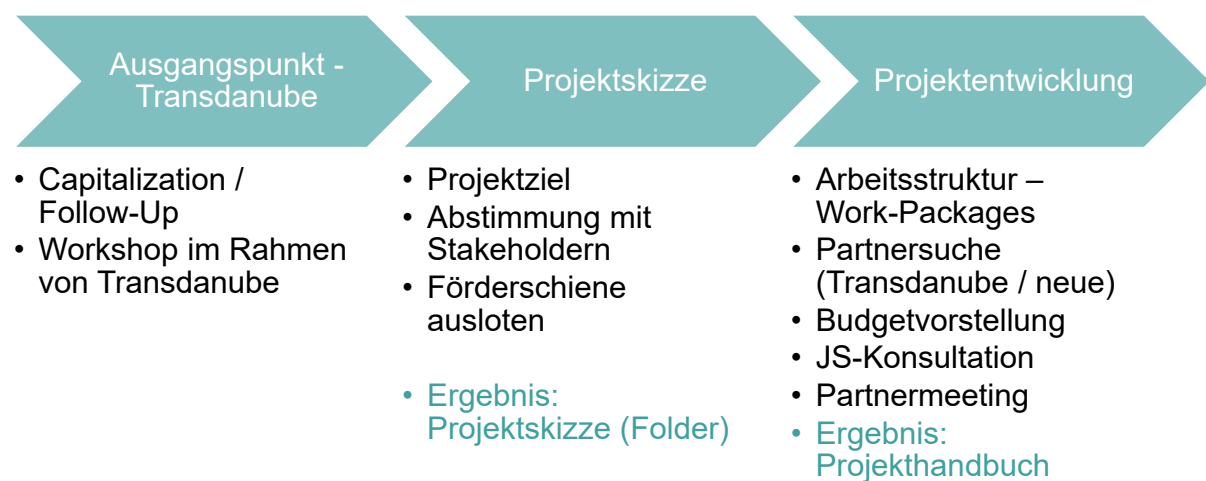
84 | INTERREG EINREICHUNGEN – WEGE ZUM ERFOLG

PERSPEKTIVEN FÜR UMWELT & GESELLSCHAFT **umweltbundesamt**[®]

VON DER IDEE ZUM GENEHMIGTEN PROJEKT (BEISPIEL TRANSDANUBE.PEARLS)



PROJEKTENTWICKLUNG (SCHRITTE VOR EOI)





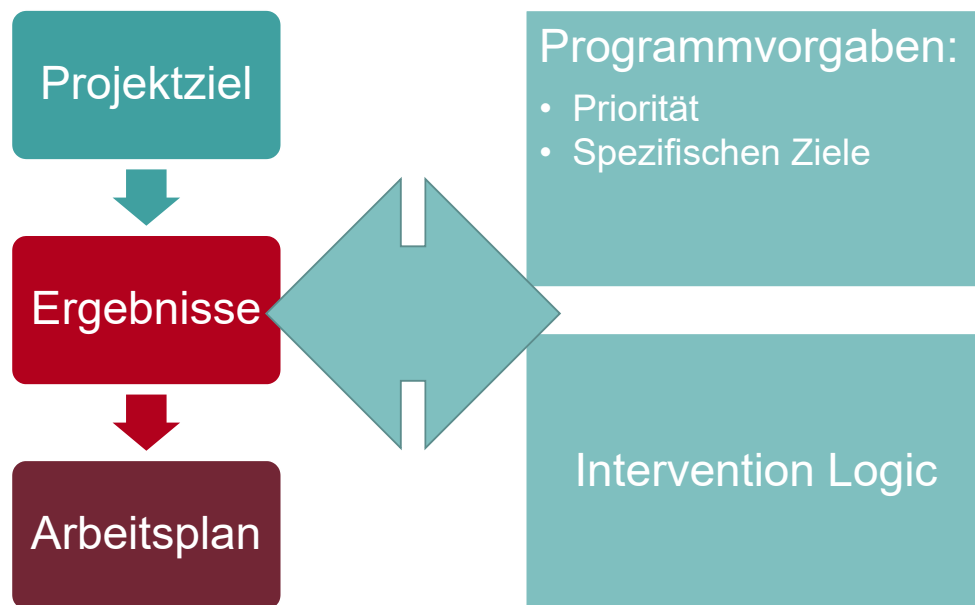
STOLPERSTEINE / HERAUSFORDERUNGEN

- Transnationalität
- Inhaltliche Ausrichtung
- Partnerschaft
- Budget

HERAUSFORDERUNG: TRANSNATIONALITÄT

„Warum soll das Projekt von einem transnationalen Programm gefördert werden?“

HERAUSFORDERUNG: INHALTLICHE AUSRICHTUNG



HERAUSFORDERUNG: ARBEITSPLAN

- Logische Arbeitspakete
- WP-Lead!
- Sichtbare Ergebnisse einplanen!



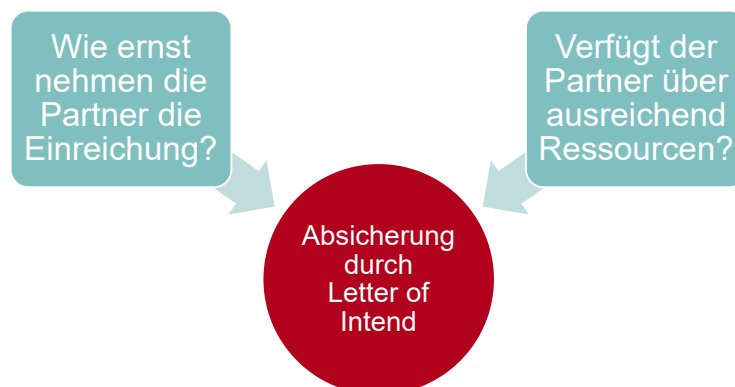
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HERAUSFORDERUNG: PARTNERWAHL

- Wen brauchen wir?
- Empfehlung: 8 – 12 Partner
- Ausgewogenheit:
 - Länder
 - Sektoren
 - Ev. Typen von Institutionen / unterschiedliche Ebenen
- Wer kann strategisch unterstützen!
> ASP mitdenken

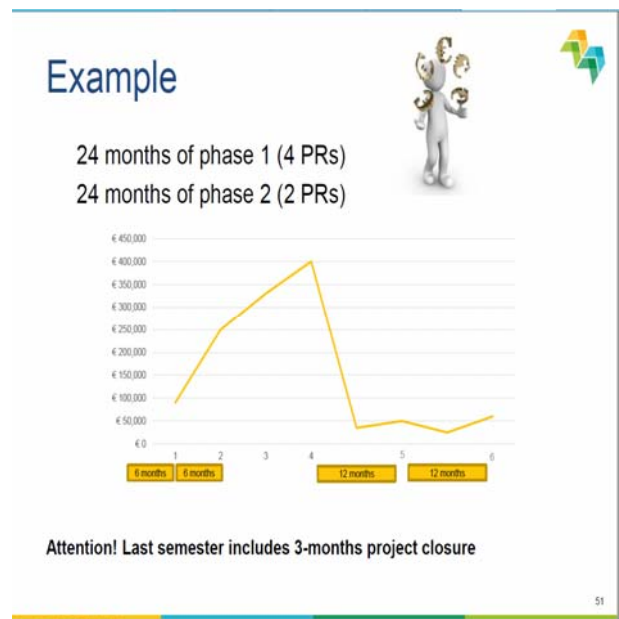


HERAUSFORDERUNG: PARTNER



PROJEKTBUDET

- Ausgewogenheit!
- Problem Underspensing – Spending Plan entsprechend planen:
 - Weniger ambitioniert im ersten Semester
- Zeiten für Ausschreibungen beachten!
- FLC-Kosten einberechnen!
- Auf diese Kosten vergisst man gerne:
 - für diverse Veranstaltungen von Programmseite bedenken
 - Übersetzungskosten von Broschüren – Kosten für Lektorat!



Quelle:

https://www.interregeurope.eu/fileadmin/user_upload/events/Malta/Management__budget_and_finances.pdf

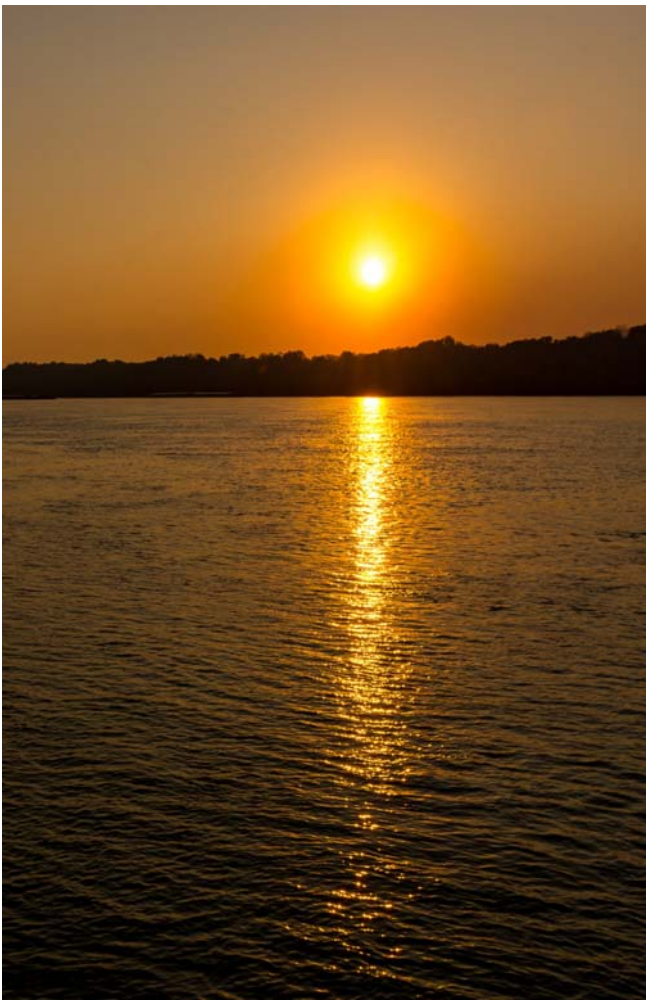
WÄHREND DER EINREICHUNG AN DIE PROJEKTUMSETZUNG DENKEN:

Was in der Application Form steht, gilt:

- Je klarer und eindeutiger, desto besser
- Klare, eindeutige Zuständigkeiten

Dokumentieren Sie – besonders das, was nicht in der Application Form steht

- Man vergisst mehr, als man sich vorstellen kann
- Die handelnden Personen sind oft! nicht die selben wie während der Einreichung



HILFREICHE UNTERSTÜTZUNG

● JS-Konsultation

- NCP – Info Day, persönliche Beratung
- Austausch mit anderen Projekterfahrenen

Programm Manual,
Terms of Reference etc.
genau durchlesen!!



... WENN DAS PROJEKT AUF SCHIENE IST

- Es dauert **mind. 3 Monate**, bis man wirklich starten kann!
- Ausschreibungen dauern!
- Projektteam aufsetzen!
- Projektmanagement installieren!
- Kick-Off-Meeting / Konferenz nicht zu früh!
- FLC!!!

VIEL SPASS & ERFOLG!

Agnes Kurzweil

Tel. Nr. 0043-1-31304-5554,
agnes.kurzweil@umweltbundesamt.at



Umweltbundesamt
www.umweltbundesamt.at

Nationaler Info Day – Interreg Danube Transnational, 3.
Call
Wien • 6.11.2018

ÖROK

Ausblick auf die Projektumsetzung

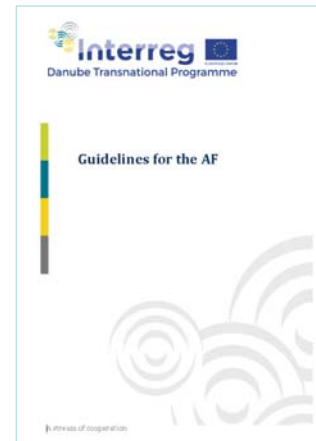
Andrea Rainer Cerovská, ÖROK-Geschäftsstelle - NCP

Ausblick Projekt-Einreichung 2. Stufe

▪ Application Package

wird zu Beginn der 2.Stufe veröffentlicht

- Applicants Manual
- Einreichung eMS
- Declaration of co-financing
- State Aid Declaration
- ASP Declaration
- Declaration for Internat. Organisations
- Partnership Agreement



<http://www.interreg-danube.eu/calls/calls-for-proposals/third-call-for-proposals>

Ausblick auf die Projektumsetzung

- Rahmenbedingungen für Programmumsetzung in AT
- Wichtige Programmdokumente für die Projektabwicklung
- Basisinformation zu Reporting
- Ausblick auf Kostenkategorien
- Kommunikationsanforderungen

Rahmenbedingungen für die Projektumsetzung in AT

GRUNDSÄTZLICHES ZU BUDGET UND FINANZIERUNG

- tatsächlich entstandene Kosten (außer bei flat rates)
- Mindestbeteiligung pro PP (admin. Aufwand!)
- Kofinanzierungsrate (85 %)
- Sicherung der nationalen Kofinanzierung (öffentlich/privat)
- Prinzip der Vorfinanzierung / Rückerstattung EFRE
- Empfehlung an österreichische PP -> Teilabrechnungen ab ~10.000 EUR

Wichtige Programmdokumente (1)



PROJEKT-UMSETZUNG

- **Implementation Manual**
contracting, project start,
project management,
financial management,
reporting, project changes,
audits, project closure
- **Progress report inkl. Guidelines for Progress Report & Partner Report**
 - Berichtszeiträume: 6 Monate;
 - Vorlage des Berichts: 3 Monate



<http://www.interreg-danube.eu/relevant-documents/documents-for-project-implementation>

Wichtige Programmdokumente (2)

PROJEKT-UMSETZUNG

Control Guidelines

- control certificate
- control checklist
- designation of controllers

OTHER RELEVANT DOCUMENTS

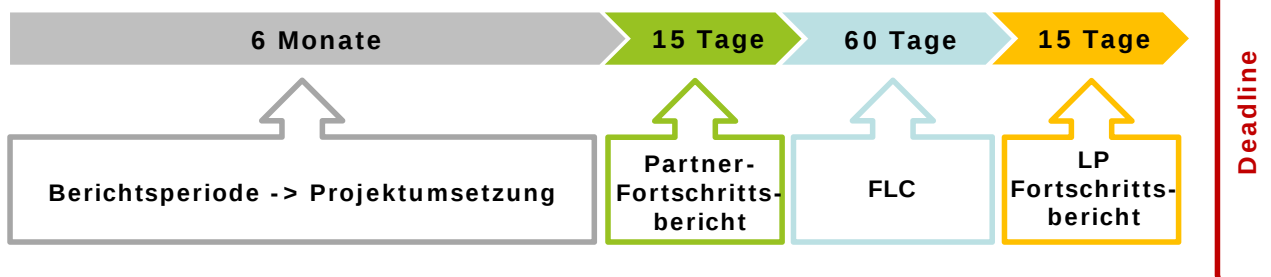
- Information on the control systems in the partner states of DTP
- Information on the national co-financing systems in the partner states of DTP
- Control Guidelines and Annexes



<http://www.interreg-danube.eu/relevant-documents/programme-main-documents>

Basisinformation zu Reporting

- Halbjährliche Berichtslegung durch Lead Partner
> 3-Monatfrist nach Ende einer Berichtsperiode



- Online Berichtslegung via elektronischen Monitoring-System (eMS)

Ausblick auf Kostenkategorien



1. Staff cost

- Real cost
- Flat rate (up to 20% of the direct cost)



2. Office and admin.

- Flatrate (15% of staff cost)



3. Travel & accommodation



4. External expertise & service



5. Equipment



6. Infrastructure & works

Wichtige Programmdokumente (3)

PROJEKT-UMSETZUNG

■ Communication Tools

- Visual Identity Guidelines for DTP projects
- Communication Toolkit for DTP projects
- DTP Project webpages – User Manual

COMMUNICATION TOOLS

VISUAL IDENTITY MANUAL AND DTP PROJECT LOGOS

Document including all the regulations and requirements that DTP project partners must follow in their communication materials and activities. It includes examples of templates and the COMPULSORY LOGO in different formats.

[Download Visual Identity Manual for DTP projects \(version 02/2017\)](#)

Download the DTP project logos in different formats (2x1 cells)

Logos projects Priority 1

Logos projects Priority 2

Logos projects Priority 3

Logos projects Priority 4

The Standard logo version (full colour) should be given priority, and only in exceptional cases, the rest of logo formats can be used. For printing purposes, the Standard logo for printing (in exceptional cases, the other pdf formats provided) should be used. The RGB colour mode provided may be needed to be converted to CMYK mode before printing.

Download here the editable posters for DTP projects

To add the posters either CoreDraw or Inkscape programmes are needed. If you don't count of these programmes consult the DTP Joint Secretariat.

COMMUNICATION TOOLKIT FOR DTP PROJECTS

Document to support DTP project partners in their communication activities, including advice and tips on how to better implement the communication of their projects.

[Download the Communication Toolkit for DTP projects](#)

USER MANUAL FOR DTP PROJECT WEBPAGES

Manual addressed to DTP project Lead partners to guide them in the technical development of the DTP project webpages, including functionalities such as updating information, publishing news, sending online newsletters, sharing documents among partners, publishing outputs, etc.

[Download the DTP Projects webpages - User Manual](#)

www.interreg-danube.eu/relevant-documents/documents-for-project-implementation

Kommunikationsanforderungen (1)

Kommunikation = integraler Bestandteil der Projektumsetzung!
 -> ausreichend Ressourcen einplanen (u.a. auch für Kapitalisierung)

Kommunikationsanforderungen gelten für alle DTP-Projektträger!!!

- Verwendung des vorgegebenen **Projektlogos**
 - ACHTUNG bei Dimension zusätzlicher Logos (-> Institutionslogo kleiner als EU-Flagge)
 - Eigene Logos nur in Ausnahmefällen erlaubt!
- Verwendung des Zusatzes in allen Kommunikationsaktivitäten:
"Project co-funded by European Union funds (ERDF, IPA, ENI)"



Kommunikationsanforderungen (2)

- **Projektwebsite** im Rahmen der Programmwebsite
 - Bsp.: <http://www.interreg-danube.eu/approved-projects/accelerator>
 - Eigene Website nur in Ausnahmefällen förderfähig
- **Verpflichtende Kommunikationsaktivitäten:**
 - **Kommunikationsplan** – Abgabe mit 1. Fortschrittsbericht
 - **Projektposter** (in A3) während der gesamten Projektlaufzeit an einer sichtbaren Stelle in der Projektpartnerinstitution
 - 2 öffentliche Events:
 - **Kick-Off Event** in ersten Monaten (DTP-Empfehlung -> innerhalb von 4 Monaten nach Projektstart)
 - **Final Event** am Ende der Projektlaufzeit
- **Projektbeschreibung** in der Institutionswebsite eines jeden Projektträgers

Serviceangebot des NCP für Programminteressierte

Aktuelles zu den transnationalen & EU-weiten Programmen

- Homepage
<http://www.oerok.gv.at/eu-kooperationen>
- Veranstaltungen und Broschüren
- Interreg/ETZ-Newsletter
<https://www.oerok.gv.at/eu-kooperationen/info-service-oesterreich/ncp-newsletter.html>
-  NEWSLETTER
- Projektdatenbank 2000-2006 + 2007-2013
<http://www.oerok-projektdatenbank.at/>



Weitere Informationen und Kontakte:



National Contact Point bei der
Österreichischen Raumordnungskonferenz:
<http://www.oerok.gv.at/eu-kooperationen/>



NCP-Team	Martina Bach	Andrea Rainer Cerovská	Helene Schabasser
Zuständigkeit	ALPINE SPACE, EUSALP, URBACT	CENTRAL EUROPE, DANUBE TRANSNATIONAL	DANUBE TRANSNATIONAL INTERREG EUROPE, EUSDR
Tel.	01-5353444-22	01-5353444-16	01-5353444-32
Email:	bach@oerok.gv.at	cerovska@oerok.gv.at	schabasser@oerok.gv.at

Prüfsystem und Rahmenbedingungen in Österreich

Luise Fasching, Koordinierende Prüfstelle (FLCC) –

BMNT Abt. VII/5 (aufgrund kurzfristiger Erkrankung vertreten durch Andrea Rainer Cerovská, ÖROK-Gst./NCP)

Relevante Rechtsgrundlagen auf EU-Ebene

- VO 1303/2013 (ESI-VO), v.a. Artikel 65-71
- VO 1299/2013 (ETZ-VO), Artikel 18-20
- Artikel 18 VO 1299/2013 hält fest, dass die EK einen Delegierten Rechtsakt zur Förderfähigkeit von Ausgaben für alle ETZ Programme festlegt >>>
VO 481/2014 (ETZ-FFR-VO)
- **Hierarchie (Förderfähigkeit)** gem. Artikel 18 VO 1299/2013:
 - Programmebene regelt, was nicht auf EU-Ebene geregelt ist
 - nationale Ebene (MS) regelt, was nicht auf EU oder Programmebene bereits geregelt ist.

Kontrollen im Rahmen von ETZ-Programmen

- Kontrollen sollen sicherstellen, dass das Geld des europäischen Steuerzahlers rechtskonform, wirtschaftlich, sparsam und wirksam verwendet wird
 - Vermeidung von Vergeudung und Unregelmäßigkeiten
- **unterschiedliche Ebene von Kontrollen:**
 - First Level Control (FLC)
 - Second Level Control (SLC)
 - Prüfungen der Verwaltungsbehörden (Qualitätskontrollen, sample checks, etc.)
 - Prüfungen der Bescheinigungsbehörde
 - Prüfungen der Europäischen Kommission
 - Prüfungen des Europäischen Rechnungshofes sowie der nationalen Rechnungshöfe
 - Prüfungen der koordinierenden Prüfstelle (Qualitätskontrollen)

Das österreichische FLC-System

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Kontrollen im Rahmen von ETZ-Programmen

First Level Control (FLC)

- kein EFRE-gefördertes Projektes ohne FLC!
- Überprüfung des Projektes von A wie Ausschreibung bis Z wie Zeitaufzeichnung
- ausreichende Ressourcen (Personal, Kosten, Zeit) einplanen

Das österreichische FLC-System

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Überblick über das österr. FLC-System: Rechtsgrundlage und Regelungsinhalte

- **Rechtliche Grundlage:** Vereinbarung nach Art. 15a-B-VG zwischen dem Bund und den Ländern („15a-Vereinbarung“)
- **Regelungsinhalte** zu ETZ transnationale und NW Programme (im Art. 7, Zi. 2 der 15a-Vereinbarung):
 - dezentrales System:
 - Bund und Länder → öffentliche Prüfstellen
 - Prüferpool → externe private Prüfstellen
 - Koordinierende Prüfstelle im BMNT, Abt. VII/5

Siehe auch Beschreibung des ö FLC-Systems (inkl. Download relevanter FLC-Formulare/Dokumente) auf:

<http://www.oerok.gv.at/eu-kooperationen/info-service-oesterreich/finanzkontrollsystem-in-oesterreich.html>

Das österreichische FLC-System

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Notifizierte öffentliche und private Prüfstellen („grüne Liste“) in Österreich

- Öffentliche Prüfstellen:
 - 17 Bundes- und Landesprüfstellen
(3 Stellen prüfen selbst, die anderen 14 Stellen sind Auftraggeber an externe Prüfer = WP/Pool)
- Externe private Prüfstellen (WP/Pool):
 - 4 Wirtschaftsprüfungskanzleien

European territorial cooperation (2014-2020) - transnational & indirect programmes

Public and Private Control Bodies ("First Level Control") in Austria

Control system in Austria	Public Control Bodies	Private Control Bodies	Control system in Austria
Federal Ministry for Sustainability and Tourism (formerly Federal Chancellery 10-10) Bundesministerium für Nachhaltigkeit und Tourismus Postfach 1010, 1010 Wien	Ministry of Sustainability and Tourism Bundesministerium für Nachhaltigkeit und Tourismus Postfach 1010, 1010 Wien	Control system in Austria Control system in Austria Control system in Austria	Control system in Austria Control system in Austria Control system in Austria
Federal Ministry for Education, Science and Research (formerly Federal Ministry of Science, Research and Economy 10-10) Bundesministerium für Bildung, Wissenschaft und Forschung Postfach 1010, 1010 Wien	Ministry of Education, Science and Research Bundesministerium für Bildung, Wissenschaft und Forschung Postfach 1010, 1010 Wien	Control system in Austria Control system in Austria Control system in Austria	Control system in Austria Control system in Austria Control system in Austria
Federal Ministry for Economic Affairs and Consumer Protection (formerly Federal Ministry of Economic Affairs and Consumer Protection 10-10) Bundesministerium für Wirtschaft und Konsumentenschutz Postfach 1010, 1010 Wien	Ministry of Economic Affairs and Consumer Protection Bundesministerium für Wirtschaft und Konsumentenschutz Postfach 1010, 1010 Wien	Control system in Austria Control system in Austria Control system in Austria	Control system in Austria Control system in Austria Control system in Austria
Federal Ministry of Transport, Innovation and Technology Bundesministerium für Verkehr, Innovation und Technologie Postfach 1010, 1010 Wien	Ministry of Transport, Innovation and Technology Bundesministerium für Verkehr, Innovation und Technologie Postfach 1010, 1010 Wien	Control system in Austria Control system in Austria Control system in Austria	Control system in Austria Control system in Austria Control system in Austria
Federal Ministry of Labour, Social Affairs, Health and Consumer Protection (formerly Federal Ministry of Labour, Social Affairs and Consumer Protection 10-10) Bundesministerium für Arbeit, Soziale Dienste und Konsumentenschutz Postfach 1010, 1010 Wien	Ministry of Labour, Social Affairs, Health and Consumer Protection Bundesministerium für Arbeit, Soziale Dienste und Konsumentenschutz Postfach 1010, 1010 Wien	Control system in Austria Control system in Austria Control system in Austria	Control system in Austria Control system in Austria Control system in Austria
Federal Ministry of Agriculture, Forestry, Environment and Water Management (formerly Federal Ministry of Agriculture, Forestry, Environment and Water Management 10-10) Bundesministerium für Landwirtschaft, Forstwirtschaft, Umwelt und Wasserwirtschaft Postfach 1010, 1010 Wien	Ministry of Agriculture, Forestry, Environment and Water Management Bundesministerium für Landwirtschaft, Forstwirtschaft, Umwelt und Wasserwirtschaft Postfach 1010, 1010 Wien	Control system in Austria Control system in Austria Control system in Austria	Control system in Austria Control system in Austria Control system in Austria
Federal Ministry of Health Bundesministerium für Gesundheit Postfach 1010, 1010 Wien	Ministry of Health Bundesministerium für Gesundheit Postfach 1010, 1010 Wien	Control system in Austria Control system in Austria Control system in Austria	Control system in Austria Control system in Austria Control system in Austria
Federal Ministry of Justice Bundesministerium für Justiz Postfach 1010, 1010 Wien	Ministry of Justice Bundesministerium für Justiz Postfach 1010, 1010 Wien	Control system in Austria Control system in Austria Control system in Austria	Control system in Austria Control system in Austria Control system in Austria
Federal Ministry of Finance Bundesministerium für Finanzen Postfach 1010, 1010 Wien	Ministry of Finance Bundesministerium für Finanzen Postfach 1010, 1010 Wien	Control system in Austria Control system in Austria Control system in Austria	Control system in Austria Control system in Austria Control system in Austria
Federal Ministry of the Interior Bundesministerium für Inneres Postfach 1010, 1010 Wien	Ministry of the Interior Bundesministerium für Inneres Postfach 1010, 1010 Wien	Control system in Austria Control system in Austria Control system in Austria	Control system in Austria Control system in Austria Control system in Austria
Federal Ministry of Education, Science and Research (formerly Federal Ministry of Science, Research and Economy 10-10) Bundesministerium für Bildung, Wissenschaft und Forschung Postfach 1010, 1010 Wien	Ministry of Education, Science and Research Bundesministerium für Bildung, Wissenschaft und Forschung Postfach 1010, 1010 Wien	Control system in Austria Control system in Austria Control system in Austria	Control system in Austria Control system in Austria Control system in Austria
Federal Ministry of Agriculture, Forestry, Environment and Water Management (formerly Federal Ministry of Agriculture, Forestry, Environment and Water Management 10-10) Bundesministerium für Landwirtschaft, Forstwirtschaft, Umwelt und Wasserwirtschaft Postfach 1010, 1010 Wien	Ministry of Agriculture, Forestry, Environment and Water Management Bundesministerium für Landwirtschaft, Forstwirtschaft, Umwelt und Wasserwirtschaft Postfach 1010, 1010 Wien	Control system in Austria Control system in Austria Control system in Austria	Control system in Austria Control system in Austria Control system in Austria
Federal Ministry of Health Bundesministerium für Gesundheit Postfach 1010, 1010 Wien	Ministry of Health Bundesministerium für Gesundheit Postfach 1010, 1010 Wien	Control system in Austria Control system in Austria Control system in Austria	Control system in Austria Control system in Austria Control system in Austria
Federal Ministry of Justice Bundesministerium für Justiz Postfach 1010, 1010 Wien	Ministry of Justice Bundesministerium für Justiz Postfach 1010, 1010 Wien	Control system in Austria Control system in Austria Control system in Austria	Control system in Austria Control system in Austria Control system in Austria
Federal Ministry of Finance Bundesministerium für Finanzen Postfach 1010, 1010 Wien	Ministry of Finance Bundesministerium für Finanzen Postfach 1010, 1010 Wien	Control system in Austria Control system in Austria Control system in Austria	Control system in Austria Control system in Austria Control system in Austria
Federal Ministry of the Interior Bundesministerium für Inneres Postfach 1010, 1010 Wien	Ministry of the Interior Bundesministerium für Inneres Postfach 1010, 1010 Wien	Control system in Austria Control system in Austria Control system in Austria	Control system in Austria Control system in Austria Control system in Austria

Federal Ministry of Sustainability and Tourism (formerly Federal Chancellery Austria, Department 10-10)

➔ **Achtung: Bei Externalisierung fallen Prüfkosten an! Ebenso bei der Prüfung durch öffentliche Prüfstelle Land Salzburg.**

Prüfkosten: einmalige Grundpauschale von max. € 2.000 + max. 7% der zur Abrechnung eingereichten Projektkosten des Begünstigten (zzgl. 20% Umsatzsteuer)

Das österreichische FLC-System

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Wer ist meine FLC?

- Art. 7, Zi. 2 der 15a-Vereinbarung regelt für die Wahrnehmung der Prüfaufgaben in den transnationalen und EU-weiten ETZ-Programmen folgendes:
 - **Bundesstellen und Bundeseinrichtungen als Begünstigte** (sowie von Bundesministerien kofinanzierte Projektpartner) -> Durchführung der FLC von einer Prüfstelle des Bundes,
 - **Landesstellen als Begünstigte** (sowie von den Ländern kofinanzierte Projektpartner) -> Durchführung der FLC von einer Prüfstelle des Landes,
 - **Städte und Gemeinden als Begünstigte** -> Durchführung der FLC von Prüfstellen des Landes, auf dessen Landesgebiet die Stadt oder Gemeinde liegt,
 - **Internationale Organisationen** -> Durchführung durch das BMNT, Abt. VII/5,
 - **alle übrigen Begünstigten** (das wären v.a. Private z.B. ohne öffentliche Finanzierung) -> Durchführung der FLC durch das jeweils sachlich zuständige Bundesministerium oder durch das BMNT, Abt. VII/5 Koordination Regionalpolitik und Raumordnung.

Wie komme ich zu meiner FLC?

Ablauf der FLC-Beauftragung

- Identifizierung der Prüfstelle durch den Begünstigten
- **Formular B:** (Einverständniserklärung des österreichischen Begünstigten betreffend die Durchführung der Vorhabensprüfungen – „First Level Control“)
 - Unterfertigung für jedes Projekt durch jeden Begünstigten und Übermittlung an die angeführten Adressaten
- **Formular C:** (Bestätigung der gemäß 15a-Vereinbarung zuständigen österr. öffentlichen Prüfstelle über die Übernahme der Vorhabensprüfung bzw. Bestätigung über die Beauftragung einer externen Prüfstelle – Pool – für die Durchführung der Vorhabensprüfung)
 - Unterfertigung für jedes Projekt / jeden Begünstigten durch zuständige öffentliche Prüfstelle + wo zutreffend, durch externe private Prüfstelle
- Vollumfängliche Information über die Inhalte der Beauftragung an externe Prüfer erhalten Projektträger durch die öffentliche Prüfstelle.

Überblick über FLC-relevante Programmdokumente und Regelungen

- programmspezifische Umsetzungsdokumente sowie Förderfähigkeitsregeln etabliert:
 - Application Manual / Application Form / Terms of Reference / Programme Manual / Control and Audit Guidelines / Fördervertrag / Partnerschaftsabkommen
- Pauschalen/flat rates in Verwendung (z.B. flat rate für overhead: 15 % der Personalkosten)
- halbjährliche Berichte/Abrechnungen
- Berichtswesen sowie FLC in elektronischer Form!



Danke für Ihre Aufmerksamkeit!

Resümee und Ausblick

Roland Arbter, BMNT

Vielen Dank!
Kommen Sie gut nach Hause!