

# **PROGRAMME COMPLEMENT**

## **INTERREG IIIA**

### **Austria – Slovakia**

**Revised version from: December 2005**

Based on Commission Decision C (2001) 2163 of 14 September 2001  
revised by Commission Decision C (2002) 1703 of 27 July 2002, considering the  
comments of the Commission D (2002) 310545 of 08 July 2002 and D (2002) 310858 of 24  
October 2002

**And upon Accession of Slovakia:**

Decision C(2004)4457, revised by Commission Decision K (2005) 5781 of 19 December 2005



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# 1 INTRODUCTION

Following the accession of the Slovak Republic to the European Union on 1st May 2004 the former Interreg IIIA/Phare CBC programme on the external border Austria – Slovakia has now been converted into a regular Interreg IIIA programme on an internal EU border. The Community Initiative Programme (CIP) that has been revised by a decision of the Commission [K (2205) 5781 19 December 2005] forms the basis for this amended Programme Complement.

Besides the CIP the following documents are the legal basis of the Programme Complement:

the EU regulations laying down provisions for the Structural Funds, in particular Council Regulations (EC) No. 1257/1999[PG1] of 17.5.1999, No. 1260/1999[PG2] and No. 1263/1999 of 21.6.1999[PG3], Regulations (EC) No. 1783/1999[PG4] and No. 1784/1999 of the European Parliament and the Council of 12.7.1999[PG5], Commission Regulations (EC) No. 1681/94 of 11.7.1994[PG6], No. 643/2000 of 28.3.2000[PG7], No. 1159/2000 of 30.5.2000[PG8][PG9], No. 438/2001[PG10] and No. 448/2004 of 10.3.2004[PG11], No. 2355/2002 of 27.12.2002 and No. 1145/2003 of 27.6.2003, Commission Staff Working Paper on “Guidelines on the criteria and modalities for the use of structural funds in electronic communications” SEC(2003)895 of 28 July 2003.

The structure of the document has not been changed – the main changes in the document have been made in the following sections:

Chapter 3: the detailed description of the measures has been streamlined to an internal Interreg IIIA programme also indicating that Priority 6 (Special support for Border Regions) does only apply on the Austrian side;

Chapter 4: Project selection has been amended following the recommendations of the mid-term and the on-going programme evaluation

Chapter 5: The communication plan has been up-dated

Chapter 6: The section on data exchange has been up-dated

Chapter 7: The financial Plan has been amended

All Phare related information has been omitted. However, since the Phare CBC Programme is still in the process of implementation, all relevant regulations remain valid and can be found in the former version of the Programme Complement.

Thus the old version of the Programme Complement as well as the JPD still builds the legal basis for the implementation of the Phare CBC Programme Slovakia – Austria whereas the CIP and this revised version of the Programme Complement is valid for the implementation of the Interreg IIIA Programme Austria – Slovakia.

By the end of 2003 the Mid-term evaluation has been carried out. The Mid-term evaluation stated that implementation practice and programme results did not show shortcomings, which would

necessitate a change of the programme's objectives. The recommendations of the ex-ante evaluation have been either already incorporated in the final versions of the programme documents or have been taken into account during implementation. Therefore the chapter on the ex-ante evaluation has been omitted from this document too.

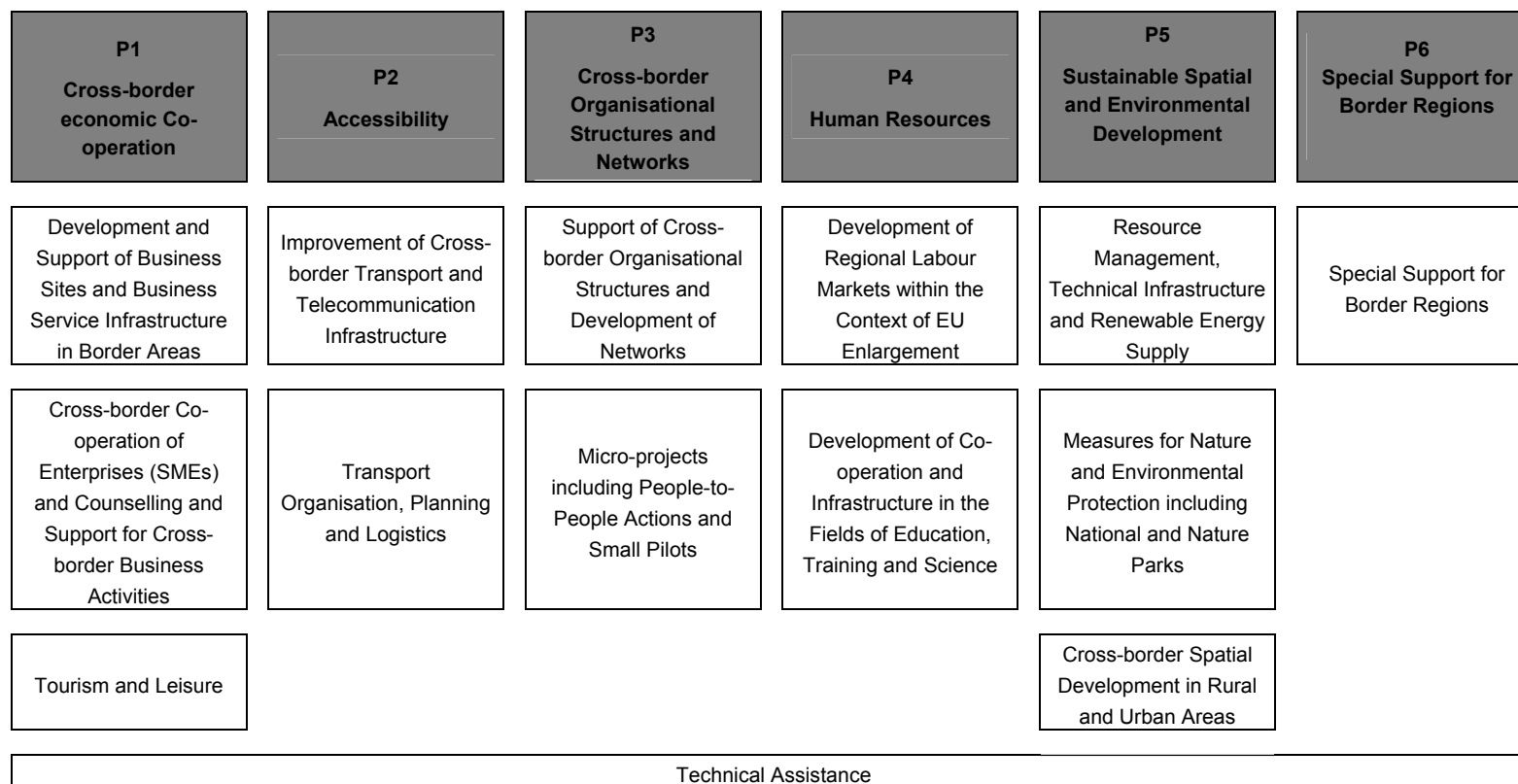
Since no substantial changes of the SWOT analysis are necessary the main finding of the ex-ante evaluation is still valid. The ex-ante evaluators stated that 'the priorities and measures selected respond to the problems and needs identified in the situation analysis'.

Thus the decision of the programme authorities to continue on the basis of the existing and unchanged programme's objectives and structure (priorities, measures) is considered to be in line with the findings of the mid-term evaluation.

## 2 OVERVIEW OF THE PRIORITIES AND MEASURES

The programme consists of six priority axes comprising a total number of 15 measures. Technical assistance, as seventh priority axes, applies to all six priorities. Priority 6 ‘Special Support for Border Regions’ is funded from a separate budget line. These funds have been allocated for the year 2002 to the Austrian eligible regions and have to be spent until the end of 2004.

Figure 1: Overview of the Programme Structure by Priority







### **3 DETAILED DESCRIPTION OF THE MEASURES**

#### **Priority Axis 1 / Measure 1:**

#### **Development and Support of Business Sites and Business Service Infrastructure in Border Areas**

##### **1 Contents / Object of the Measure**

The main objective of this measure is to make border regions more attractive for enterprises by developing and providing assistance for business locations and business service infrastructure such as R&D parks, regional impulse centres, innovation centres and industrial parks, with a specific focus on cross-border activities.

The aim is to promote the establishment of new enterprises in border regions and to promote cooperation with partners from other regions. The main focus is on assistance for cooperation between science and business, in particular in those fields in where promising centres of excellence in a cross-border context might emerge. In Vienna and Bratislava a broad range of players in the fields of research, innovation and development could contribute to the development process.

Based on the options listed in the CIP projects with the focus in the following areas will be supported:

Establishment, operation and co-operation of cross-border-oriented business innovation and information centres (e.g. competence centres, technology transfer centres, impulse centres, science parks, "Grenzüberschreitende Impulszentren – GIZ" (cross-border impulse centres)); providing business services to SMEs, in particular by means of assistance (financial and provision of services), strengthening of the capital base and supporting SMEs with cross-border economic activities

Creation of a business-orientated infrastructure for locations near the border

Support of cross-border business settlements and economic development projects

Support of cross-border co-operation projects between science and business to encourage innovative ideas and to commercialise the output of R&D

Dissemination of information of the R&D results

## 2 Goals

<p>Promotion and improvement of the conditions for business start-ups in the cross-border area, among other things, by improving the access to capital, loans and services for enterprises and by providing capital and services for cross-border economic activities</p> <p>Development of co-operation between national and international partners, in particular between science and business</p> <p>Improvement of the conditions for business settlements and expansions on both sides of the border region</p> <p>Development of (in a broader sense) cross-border economic core competencies (e.g. telecommunication, transport and logistics, environmental and medical technologies, East-West know-how transfer)</p> <p>Expansion of cross-border business services</p> <p>Guiding SMEs to innovation and technology</p> <p>Increasing the competitiveness of enterprises (especially SMEs)</p>
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## 3 Final Recipients / Final Beneficiaries

Austria	Slovakia
<p>Enterprises</p> <p>Infrastructure companies</p> <p>Associations</p> <p>Local and regional authorities</p> <p>Natural and legal persons under private and public law and partnerships under civil law</p>	<p>Associations of Legal Persons (Záujmové združenie právnických osôb) (§20 písm. f) Občianskeho zákonníka)</p> <p>Civil Associations (Občianske združenie) (zák. Č. 83/1990 Zb.)</p> <p>Foundations (Nadácia) (zák. č. 34/2002 Z. z.)</p> <p>Non-profit Organisations providing Services of Benefit to the Public (Nezisková organizácia poskytujúca všeobecne prospešné služby) (podľa zákona č. 213/1997 Z. z.)</p> <p>Non-investive funds (Neinvestičný fond) (zákon č. 147/1997 Z. z.)</p> <p>Universities (Vysoká škola) (zák. č. 131/2002 Z. z.)</p> <p>Regional School Office (Krajský školský úrad) (zák. č. 596/2003 Z. z.)</p> <p>Slovak Academy of Science (SAV) (zák. č. 133/2002 Z. z.)</p> <p>Municipalities (Obec) (zák. č. 369/1990 Zb.)</p> <p>Regional Self-Governments (Samosprávny kraj) (zák. č. 302/2001 Z. z.)</p> <p>Other Legal Persons under Public Law (e. g. Professional Organisations (Chambers – Slovak Tradesman Chamber and Trade and Industrial Chambers)) (Iné právnické osoby verejného práva (Slovenská živnostenská komora a obchodné a priemyselné komory))</p> <p>Institutions of State Administration (Orgány štátnej správy)</p> <p>State Budgetary Organizations (Rozpočtové organizácie štátu) (zák. č. 303/1995 Z. z.)</p> <p>State Budget Assisted Organisations (Príspevkové organizácie štátu) (zák. č. 303/1995 Z. z.)</p> <p>súkromné školy (private schools)</p> <p>rozpočtové a príspevkové organizácie obce (Budgetary Organizations of Municipality and Budget Assisted Organizations of Municipality)</p>

#### **4 Formal Criteria for EU Co-financing**

Economic and organisational capacity of the entity responsible for the project

Amount and appropriateness of the costs of the project

Secured financing, appropriateness of the ratio between own funds and public assistance (taking into account the possible EU co-financing according to the programme as well as any other national public funds applied for, already granted or promised)

Relevant project aim which are in line with sectoral policy objectives (if required, also including statements of other administrative bodies concerned)

Fulfilment of the specific requirements for assistance under INTERREG IIIA – pursuant to the CIP and the Programme Complement (see item no. 5)

Compliance with the criteria for ERDF assistance (pursuant to Council Regulation no. 1783/99) and with the Commission Regulation concerning the eligibility of operations (also Regulation no. 448/04)

Compliance with other relevant EU regulations (subsidy laws, rules for the awarding of public contracts, environmental law, etc.)

Observance of the condition that any current business of institutions within the framework of their legal mandate or any activities covered by basic subsidies cannot be funded under Interreg IIIA.

#### **5 Specific Criteria for the Project Selection**

See chapter 4 of the Programme Complement.

#### **6 Eligible Costs**

As a general rule:

Project-related material costs and operating expenses

Personnel costs

Planning costs

Project-related investment costs (e.g. construction, infrastructure, development, machinery, hard/software)

Consulting expenses

**7 Type and Amount of EU Assistance**

Austria	Slovakia
<p>Unrecoverable assistance, according to programme 50% at max.</p> <p>It has to be stated that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded.</p>	<p>Unrecoverable assistance, according to programme 75% at max., in case of projects implemented in Bratislava region 50% at max.</p> <p>In Trnava region co-financing from the state budget amounts to 20 % at max. and co-financing from the final beneficiary amounts to 5 % at min.</p> <p>In Bratislava region co-financing from the state budget is 45 % at max. and co-financing from the final beneficiary is 5 % at min.</p> <p>In cases where the final beneficiary belongs to the state resort, (the state budgetary organizations, state budget assisted organizations), the whole co-financing is ensured from the state budget. It means that in such cases the co-financing from the state budget in Trnava region amounts to 25 % and co-financing from the state budget in Bratislava region amounts to 50 %.</p> <p>It has to be stated that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded.</p>

## 8 Implementation Instruments – Legal Basis

a) Legal basis for the granting of the EU funds

b) Legal basis for the granting of national funds

Austria	Slovakia
<p>a) Einzelentscheidungen der Länder Burgenland, Niederösterreich, Wien</p> <p>b) Einzelentscheidungen der Länder Burgenland, Niederösterreich, Wien</p> <p>Einzelentscheidungen von Gemeinden in Burgenland , Niederösterreich</p> <p>Allgemeine Rahmenrichtlinie für die Gewährung von Förderungen aus Bundesmitteln (BMF.-Z.01 3301/7-II/3/77 of 5 May 1977, as amended)</p> <p>Einzelentscheidungen des Bundes</p> <p>Telematik &amp; C-Tech Wien (Zuschüsse; de minimis)</p> <p>Innovations- und Technologieförderung der Stadt Wien (Zuschüsse; notifiziert FTE)</p> <p>Umwelt- und Technologieförderung der Stadt Wien (interest subsidy, duration as from 1.3.2001 until revoked; de-minimis)</p> <p>Richtlinien des Ziel 1-Programmes Burgenland as amended</p> <p>Eco-Plus-Richtlinien für die regionale Infrastrukturförderung in Niederösterreich (N109/98 duration until 31.12.2006)</p> <p>Richtlinien für die Förderungsaktion der NÖ Grenzlandförderungsgesellschaft</p> <p>ERP-Fonds: Richtlinie "ERP-Infrastrukturprogramm"</p> <p>Sonderrichtlinie für regionale Impulsförderung RIF 2000-2006</p>	<p>a) Decision taken by the Ministry of Construction and Regional Development, Interreg A Management Department acting as National Authority</p> <p>b) zákon č. 291/2002 Z. z. o štátnej pokladnici (Act Number 291/2002 State Treasury) as amended</p> <p>In addition the following regulations have been taken into consideration:</p> <p>Act No 39/1993 Collections on the Supreme Audit Office SR as amended</p> <p>Act No 289/1995 Collections on Value Added Tax as amended</p> <p>Act No 303/1995 Collections on Budgetary Rules as amended</p> <p>Act No 231/1999 Collections on State Aid as amended</p> <p>Act No 263/1999 Collections on Public Procurement as amended</p> <p>Act No 440/2000 Collections on Financial Control Administrations as amended by Act No 150/2001 Collections.</p> <p>Act No 502/2001 Collections on Financial Control and Internal Audit as amended</p> <p>Act No 503/2001 Collections on Regional Development Support as amended</p> <p>Act No 431/2002 Collections on Accounting</p>

## 9 Competent Authority / Contact Point for SF Grants

Austria	Slovakia
<p><b>Intermediary Bodies:</b></p> <p><b>Burgenland:</b> Regionalmanagement Burgenland GmbH. (subsidiary, the competent sector department of the Amt der Burgenländischen Landesregierung) Marktstraße 3, A-7000 Eisenstadt Phone: ++(43)(2682)-704-2424 Fax: ++(43)(2682)-704-2410 e-mail: <a href="mailto:harald.ladich@rmb.co.at">harald.ladich@rmb.co.at</a></p> <p><b>Niederösterreich:</b> Amt der Niederösterreichischen Landesregierung, Abt. RU2, Landhausplatz 1, A-3109 St. Pölten Phone: ++(43)(2742)-9005-14329 Fax: ++(43)(2742)-9005-14170 e-mail: <a href="mailto:francois-edouard.pailleron@noel.gv.at">francois-edouard.pailleron@noel.gv.at</a></p> <p><b>Wien:</b> Amt der Wiener Landesregierung, MA 27 – EU-Strategie und Wirtschaftsentwicklung, Rathaus, A-1080 Vienna Phone: ++(43)(1)-4000-82582 Fax: ++(43)(1)-4000-9982582 e-mail: <a href="mailto:sto@meu.magwien.gv.at">sto@meu.magwien.gv.at</a></p> <p>In the event of difficulties in deciding who is to be responsible, the Managing Authority (Republic of Austria, Federal Chancellery, Department IV/4, Hohenstaufengasse 3, A-1010 Vienna) shall reach a decision on the assignment of a project to a responsible authority for the co-ordination of the project.</p>	<p><b>Intermediary Bodies:</b></p> <p>Ministry of Construction and Regional Development Regional Development Support Agency Spitálska 8, 816 44 Bratislava Phone: +421-2-5975 – 3221 Fax: +421-2- 5975 – 3233 e-mail: <a href="mailto:interreg@build.gov.sk">interreg@build.gov.sk</a></p> <p>In close co-operation with the VÚC (Higher Territorial Units):</p> <p>Bratislavský samosprávny kraj Trnavská cesta 8/A, 820 05 Bratislava Tel : +421-2-55 42 31 38 Fax: +421-2-55 42 31 38 e-mail : <a href="mailto:zschneiderova@region-bsk.sk">zschneiderova@region-bsk.sk</a></p> <p>Trnavský samosprávny kraj Vajanského 2, 917 01Trnava Tel : +421-33-593 33 18 Fax: +421-33-593 33 18 e-mail : <a href="mailto:karpatyova.maria@trnava-vuc.sk">karpatyova.maria@trnava-vuc.sk</a></p>

## 10 Code Numbers for Assistance from the Structural Funds

<p>161 – investment in physical capital (plant and equipment, co-financing of state aid)</p> <p>162 – environment-friendly technologies, clean and economical energy technologies</p> <p>163 – enterprise advisory service (information, business planning, consultancy services, marketing, management, design, internationalisation, exporting, environmental management, purchase of technology)</p> <p>164 – shared business services (business estates, incubator units, stimulation, promotional services, networking, conferences, trade fairs)</p> <p>1641</p> <p>165 – financial engineering</p> <p>166 – services in support of the social economy (providing care for dependents, health and safety, cultural activities)</p> <p>167 – SME – and craft-specific vocational training</p> <p>182 – innovation and technology transfers, establishment of networks and partnerships between businesses and/or research institutes</p> <p>183 – RTDI Infrastructure</p>
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## 11 Desired Effects on the Integration of the Border Region

Creation of and co-operation in cross-border business centres Enhanced attractiveness of the location area on both sides of the border Cross-border know-how transfer
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## 12 Indicators for Monitoring and Evaluation

### Impact Indicators:

To create and expand cross-border business information and communication, and business services structures  
To improve the overall conditions for building up cross-border co-operation between and among businesses as well as co-operation networks  
Know-how and technology transfer, networking with science, R&D facilities  
Common external image / integrated marketing of border regions  
Reduction of legal and technical barriers

### Output Indicators:

Number of projects providing physical support for SME (plant and equipment etc.)  
Number of projects providing financial support to introduce environmental technologies or to develop eco-products  
Number of projects providing business advisory services  
Number of projects providing support for information networks, operational expenditure, and technology oriented business databases, software, presentations, cooperation meetings, participation in fares etc.  
Number of projects providing support for building up or furnishing regional impulse centres  
Number of projects providing new financial engineering (venture and seed capital funds, etc.) for SME; Number of new business launched  
Number of projects providing services in the support of the social economy (providing care for pendants, health and safety, cultural activities;  
Number of vocational training and training projects; Number of trainees  
Number of projects providing support for RTDI infrastructure;  
Number of projects creating networks or services for knowledge transfer

### Co-operation Indicators:

5 phases of cross-border co-operation; planned / achieved

### Gender mainstreaming indicators:

the project: has equality between the sexes as its main focus, is positive in terms of male-female equality or is neutral in terms of such equality

### Environmental Indicator:

the project: has the environment as its main focus; is environmentally friendly; is environmentally neutral

### Location Indicator:

the project is: urban; rural; not geographically delimited

Priority Axis 1 / Measure 1:

Development and Support of Business Sites and Business Service Infrastructure in Border Areas

### 13 Financial Plan

In EURO	Total
<b>Total cost of the measure</b>	7,898,485
<b>ERDF</b>	4,202,364
<b>National co-financing</b>	3,696,121
Public funds	2,356,997
Private funds	1,339,124



**Priority Axis 1 / Measure 2:**

**Cross-border Co-operation of Enterprises (SMEs) and Counselling and Support of Cross-border Business Activities**

**1 Contents / Object of the Measure**

The main goal of this measure is to strengthen the economic structures in border regions through the support of an active structural transformation process. Due to Vienna and Bratislava a large number of SMEs is located in the Austrian-Slovak border region. However – in particular with regard to the fact that considerable shares of these enterprisers rank among the small firms – the SMEs reveal serious deficits with regard to technology, innovation, exports or cooperation. Moreover due to the fact that the border regions have been separated for several decades the dynamic structural transformation process heading for internationalisation makes it difficult for SMEs to efficiently take advantage of the opportunities offered by *cross-border* economic structures and regional production and service competencies. Specific services and assistance actions could help to intensify cross-border supply and demand relations, improve cooperation networks and enhance joint marketing efforts aimed at reinforcing the (international) competitive situation. This measure is designed for targeted assistance to cross-border business cooperation and consulting services in order to better exploit potential synergy effects.

The main driving force behind this development process is SMEs. The measure is targeted at helping SMEs become the most important drivers—with respect to employment and GDP-growth — of economic development. The measure is especially geared to SMEs that attain high value added.

<p>Relying on the options listed in the CIP projects with the focus in the following areas will be supported:</p> <p>Support of economic co-operation and co-operation of and with R&amp;D institutions; support of joint development of new products and new production processes, including marketing and advertising activities (e.g. industry and agriculture), development of qualification associations of enterprises (e.g. management skills)</p> <p>Building up cross-border business co-operation networks and platforms (e.g. supply and demand networks, SMEs &amp; joint ventures, business broking and clustering) for all sectors</p> <p>Supporting of existing platforms of innovative companies in order to encourage innovative ideas and to commercialise outputs of R&amp;D</p> <p>Accompanying consultancy and coaching of cross-border economic activities (e.g. export/import, advice on implications of differences in legal systems)</p> <p>Development of new cross-border business services including private and social services (e.g. technology transfer, information distribution, consultancy)</p> <p>Building up information systems and support for the implementation of events (partner search fora, trade fairs, information on financing etc.)</p> <p>Supporting analyses and studies with the focus on the contents of this measure</p> <p>Support for changing the traditional structures of production (e.g. agriculture) through know-how transfer, increasing producer awareness of niche markets, speciality markets and opportunities to increase local and regional added value</p>
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## 2 Goals

Development of regional core competencies (e.g. telecommunications, transport and logistics, environmental and medical technologies, East-West know-how transfer)

Intensified business co-operation projects (esp. SMEs) in the border area in order to strengthen the region's economic power and fostering export activities

Increasing the innovative power and competitiveness of enterprises (esp. SMEs)

Safeguarding and expanding employment in the industrial and service sectors in the border regions

Awareness-raising for opportunities in economic cooperation, generation of added value and the development of innovative enterprises

## 3 Final Recipients / Final Beneficiaries

Austria	Slovakia
<p>Enterprises Alliances of enterprises Infrastructure companies Associations Local and regional authorities Natural and legal persons under private and public law and partnerships under civil law</p>	<p>Associations of Legal Persons (Záujmové združenie právnických osôb) (§20 písm. f) Občianskeho zákonníka) Civil Associations (Občianske združenie) (zák. Č. 83/1990 Zb.) Foundations (Nadácia) (zák. č. 34/2002 Z. z.) Non-profit Organisations providing Services of Benefit to the Public (Nezisková organizácia poskytujúca všeobecne prospešné služby) (podľa zákona č. 213/1997 Z. z.) Non-investive funds (Neinvestičný fond) (zákon č. 147/1997 Z. z.) Universities (Vysoká škola) (zák. č. 131/2002 Z. z.) Regional School Office (Krajský školský úrad) (zák. č. 596/2003 Z. z.) Slovak Academy of Science (SAV) (zák. č. 133/2002 Z. z.) Municipalities (Obec) (zák. č. 369/1990 Zb.) Regional Self-Governments (Samosprávny kraj) (zák. č. 302/2001 Z. z.) Other Legal Persons under Public Law (e. g. Professional Organisations (Chambers – Slovak Tradesman chamber and Trade and Industrial Chambers) ) (Iné právnické osoby verejného práva (Slovenská živnostenská komora a obchodné a priemyselné komory)) Institutions of State Administration (Orgány štátnej správy) State Budgetary Organizations (Rozpočtové organizácie štátu) (zák. č. 303/1995 Z. z.) State Budget Assisted Organizations (Príspevkové organizácie štátu) (zák. č. 303/1995 Z. z.) súkromné školy (private schools) rozpočtové a príspevkové organizácie obce (Budgetary Organizations of Municipality and Budget Assisted Organizations of Municipality)</p>

#### 4 Formal Criteria for EU Co-financing

ERDF
Economic and organisational capacity of the entity responsible for the project
Amount and appropriateness of the costs of the project
Secured financing, appropriateness of the ratio between own funds and public assistance (taking into account the possible EU co-financing according to the programme as well as any other national public funds applied for, already granted or promised)
Relevant project aim which are in line with sectoral policy objectives (if required, also including statements of other administrative bodies concerned)
Fulfilment of the specific requirements for assistance under INTERREG IIIA – pursuant to the CIP and the Programme Complement (see item no. 5)
Compliance with the criteria for ERDF assistance (pursuant to Council Regulation no. 1783/99) and with the Commission Regulation concerning the eligibility of operations (also Regulation no. 448/04)
Compliance with other relevant EU regulations (subsidy laws, rules for the awarding of public contracts, environmental law, etc.)
Observance of the condition that any current business of institutions within the framework of their legal mandate or any activities covered by basic subsidies cannot be funded under Interreg IIIA.

#### 5 Specific Criteria for the Project Selection

See chapter 4 of the Programme Complement.

#### 6 Eligible Costs

As a general rule:

- Project-related material costs and operating expenses
- Personnel costs
- Planning costs
- Project-related investment costs (e.g. hard/software)
- Consulting expenses

## 7 Type and Amount of EU Assistance

Austria	Slovakia
<p>Unrecoverable assistance, according to programme 50% at max.</p> <p>It has to be stated that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded.</p>	<p>Unrecoverable assistance, according to programme 75% at max., in case of projects implemented in Bratislava region 50% at max.</p> <p>In Trnava region co-financing from the state budget amounts to 20 % at max. and co-financing from the final beneficiary amounts to 5 % at min.</p> <p>In Bratislava region co-financing from the state budget is 45 % at max. and co-financing from the final beneficiary is 5 % at min.</p> <p>In cases where the final beneficiary belongs to the state resort, (state budgetary organizations, state budget assisted organizations) the whole co-financing is ensured from the state budget. It means that in such cases the co-financing from the state budget in Trnava region amounts to 25 % and co-financing from the state budget in Bratislava region amounts to 50 %.</p> <p>It has to be stated that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded.</p>

Priority Axis 1 / Measure 2:

Cross-border Co-operation of Enterprises (SMEs) and Counselling and Support of Cross-border Business Activities

## 8 Implementation Instruments – Legal Basis

a) Legal basis for the granting of the EU funds

b) Legal basis for the granting of national funds

Austria	Slovakia
<p>a) Einzelentscheidungen der Länder Burgenland, Niederösterreich, Wien</p> <p>b) Einzelentscheidungen der Länder Burgenland, Niederösterreich, Wien</p> <p>Einzelentscheidungen von Gemeinden in Burgenland , Niederösterreich</p> <p>Allgemeine Rahmenrichtlinie für die Gewährung von Förderungen aus Bundesmitteln (BMF.-Z.01 3301/7-II/3/77 of 5 May 1977, as amended)</p> <p>Einzelentscheidungen des Bundes</p> <p>Telematik &amp; C-Tech Wien (Zuschüsse, de minimis)</p> <p>Öko Business Plan der Stadt Wien (Zuschüsse, de minimis)</p> <p>Nahversorgungs Starthilfe der Stadt Wien (Zuschüsse, de minimis)</p> <p>Strukturverbesserungsaktion der Stadt Wien (Zuschüsse, notifiziert KMU)</p> <p>Innovations- und Technologieförderung der Stadt Wien (Zuschüsse, notifiziert FTE)</p> <p>Richtlinien des Ziel 1-Programmes Burgenland as amended Eco-Plus-Richtlinie für regionale betriebliche Investitionsprojekte in Niederösterreich (N 109/98, duration until 31.12.2006)</p> <p>Richtlinien für die Förderungsaktion der NÖ Grenzlandförderungsgesellschaft</p> <p>Richtlinien für die Förderung der Markterschließung des Landes Niederösterreich NÖ d 24</p> <p>Richtlinien für die Förderung von Kooperationen des Landes Niederösterreich NÖ d 25</p> <p>Bundesministerium für Wirtschaft und Arbeit (BMWA): Einzelentscheidung</p> <p>Bundesministerium für Verkehr, Innovation und Technologie (BMVIT): Sonderrichtlinie Produktfindung</p> <p>Richtlinien für die Gewährung von Förderungen gemäß Innovations- und Technologiefondsgesetz (ITFG)</p>	<p>a) Decision taken by the Ministry of Construction and Regional Development, Interreg A Management Department acting as National Authority</p> <p>b) zákon č. 291/2002 Z. z. o štátnej pokladnici (Act Number 291/2002 State Treasury) as amended</p> <p>In addition the following regulations have been taken into consideration:</p> <p>Act No 39/1993 Collections on the Supreme Audit Office SR as amended</p> <p>Act No 289/1995 Collections on Value Added Tax as amended</p> <p>Act No 303/1995 Collections on Budgetary Rules as amended</p> <p>Act No 231/1999 Collections on State Aid as amended</p> <p>Act No 263/1999 Collections on Public Procurement as amended</p> <p>Act No 440/2000 Collections on Financial Control Administrations as amended by Act No 150/2001 Collections.</p> <p>Act No 502/2001 Collections on Financial Control and Internal Audit as amended</p> <p>Act No 503/2001 Collections on Regional Development Support as amended</p> <p>Act No 431/2002 Collections on Accounting</p>

Priority Axis 1 / Measure 2:

Cross-border Co-operation of Enterprises (SMEs) and Counselling and Support of Cross-border Business Activities

## 9 Competent Authority / Contact Point for SF Grants

Austria	Slovakia
<p><b>Intermediary Bodies:</b></p> <p><b>Burgenland:</b> Regionalmanagement Burgenland GmbH. (subsidiary, the competent sector department of the Amt der Burgenländischen Landesregierung) Marktstraße 3, A-7000 Eisenstadt Phone: ++(43)(2682)-704-2424 Fax: ++(43)(2682)-704-2410 e-mail: <a href="mailto:harald.ladich@rmb.co.at">harald.ladich@rmb.co.at</a></p> <p><b>Niederösterreich:</b> Amt der Niederösterreichischen Landesregierung, Abt. RU2, Landhausplatz 1, A-3109 St. Pölten Phone: ++(43)(2742)-9005-14329 Fax: ++(43)(2742)-9005-14170 e-mail: <a href="mailto:francois-edouard.pailleron@noel.gv.at">francois-edouard.pailleron@noel.gv.at</a></p> <p><b>Wien:</b> Amt der Wiener Landesregierung, MA 27 – EU-Strategie und Wirtschaftsentwicklung, Rathaus, A-1080 Vienna Phone: ++(43)(1)-4000-82582 Fax: ++(43)(1)-4000-9982582 e-mail: <a href="mailto:sto@meu.magwien.gv.at">sto@meu.magwien.gv.at</a></p> <p>In the event of difficulties in deciding who is to be responsible, the Managing Authority (Republic of Austria, Federal Chancellery, Department IV/4, Hohenstaufengasse 3, A-1010 Vienna) shall reach a decision on the assignment of a project to a responsible authority for the co-ordination of the project.</p>	<p><b>Intermediary Bodies:</b></p> <p>Ministry of Construction and Regional Development Regional Development Support Agency Spitálska 8, 816 44 Bratislava Phone: +421-2-5975 – 3221 Fax: +421-2- 5975 – 3233 e-mail: <a href="mailto:interreg@build.gov.sk">interreg@build.gov.sk</a></p> <p>In close co-operation with the VÚC (Higher Territorial Units):</p> <p>Bratislavský samosprávny kraj Trnavská cesta 8/A, 820 05 Bratislava Tel : +421-2-55 42 31 38 Fax: +421-2-55 42 31 38 e-mail : <a href="mailto:zschneiderova@region-bsk.sk">zschneiderova@region-bsk.sk</a></p> <p>Trnavský samosprávny kraj Vajanského 2, 917 01Trnava Tel : +421-33-593 33 18 Fax: +421-33-593 33 18 e-mail : <a href="mailto:karpatyova.maria@trnava-vuc.sk">karpatyova.maria@trnava-vuc.sk</a></p>

Priority Axis 1 / Measure 2:

Cross-border Co-operation of Enterprises (SMEs) and Counselling and Support of Cross-border Business Activities

## **10 Code Numbers for Assistance from the Structural Funds**

161 – Investment in physical capital (plant and equipment, co-financing of state aids)  
162 – environment-friendly technologies, clean and economical energy technologies  
163 – enterprise advisory service (information, business planning, consultancy services, marketing, management, design, internationalisation, exporting, environmental management, purchase of technology)  
164 – shared business services (business estates, incubator units, stimulation, promotional services, networking, conferences, trade fairs)  
165 – financial engineering  
166 – services in support of the social economy (providing care for dependents, health and safety, cultural activities)  
182 – innovation and technology transfers, establishment of networks and partnerships between businesses and/or research institutes  
184 – training for researchers  
167 – SME-and craft-specific vocational training  
113 – agriculture-specific vocational training  
128 – forestry-specific vocation training  
1305 – basic services for the rural economy and population  
1307 – diversification of agricultural activities and activities close to agriculture, to provide multiple activities or alternative incomes

## **11 Desired Effects on the Integration of the Border Region**

Cross-border co-operation projects of enterprises, networks and clusters  
Enhanced attractiveness of the location area on both sides of the border  
Cross-border know-how transfer

## 12 Indicators for Monitoring and Evaluation

Impact Indicators:

Expansion of market / integrated development of offerings, marketing and external image  
 Integrated production (factor combinations) and development of services, building up of supply and delivery relationships  
 Intensifying partner searches, and the development and creation of co-operation projects and networks  
 Know-how and technology transfer, consulting, common services for enterprises  
 Support for co-operation among enterprises in sectors experiencing strong structural changes

Output Indicators:

Number of projects providing physical support for SME (plant and equipment etc.) [number of jobs created]  
 Number of projects providing financial support to introduce environmental technologies or to develop eco-products  
 Number of projects providing business advisory services  
 Number of projects providing support for information networks, operational expenditure, and technology oriented business databases, software, presentations, cooperation meetings, participation in fares etc.  
 Number of projects providing support for building up or furnishing regional impulse centres  
 Number of projects providing new financial engineering (venture and seed capital funds, etc.) for SME; Number of new business launched  
 Number of projects providing services in the support of the social economy (providing care for pendants, health and safety, cultural activities;  
 Number of projects providing support for RTDI infrastructure;  
 Number of projects creating networks or services for knowledge transfer  
 Number of vocational training and training projects (rural development, forestry, SMEs); number of trainees  
 Number of projects providing services for promoting the adoption and the development of rural areas

Cooperation Indicators:

5 phases of crossborder cooperation; planned / achieved

Gender mainstreaming indicators:

the project: has equality between the sexes as its main focus, is positive in terms of male-female equality or is neutral in terms of such equality

Environmental Indicator:

the project: has the environment as its main focus; is environmental friendly; is environmentally neutral

Location Indicator:

the project is: urban; rural; not geographically delimited

## 13 Financial Plan

In EURO	Total
<b>Total cost of the measure</b>	5,221,133
<b>ERDF</b>	2,864,500
<b>National co-financing</b>	2,356,833
Public funds	1,845,567
Private funds	511,266



## **Priority Axis 1 / Measure 3: Tourism and Leisure**

### **1 Contents / Object of the Measure**

The broad range of tourist and leisure time facilities in the region with offers in culture, sports, nature, wellness and health are the basis for developing cross-border tourism activities in line with the intended intensity of tourism and the stage of development in the sub-regions. In order to strengthen the border region's position on national and international markets, it is important to increase the scope and variety of tourism and leisure time facilities as well as to establish sound marketing adjusted to the interests of various target groups at national and international levels. Due to the fact that a considerable number of sensitive and vulnerable areas is located in the programme area the developments have to take nature and environmental protection into account.

An efficient development of tourism industries requires coordination networks at several levels. Actions as part of this measure should also seek to create new as well as to strengthen existing networks with cross-border focus in tourism.

<p>Relying on the options listed in the CIP projects with the focus in the following areas will be supported:</p> <p>Extension: and improvement of activities in health, wellness, congress and cultural tourism, nature tourism</p> <p>Development of joint destinations and destination management</p> <p>Networks of facilities and tourist offers</p> <p>Joint marketing of the border regions</p> <p>Development of joint and harmonised attractions</p> <p>Development of a joint soft tourist infrastructure</p> <p>Building up and developing of joint information, reservation etc. Systems for collaboration in tourism and leisure</p> <p>Support of know-how transfer activities (e.g. practical experiences from existing local/regional tourist boards)</p> <p>Support of agro-tourism activities</p>
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### **2 Goals**

<p>Joint development, enhanced attractiveness and modernisation of cross-border tourist and leisure facilities, products and services</p> <p>Using the potential for synergy effects with other sectors (tourism-induced demand effects)</p> <p>Highlighting the special features of the joint region</p> <p>Joint appearance on international markets (broader spectrum of tourist and leisure facilities/services)</p>
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### 3 Final Recipients / Final Beneficiaries

Austria	Slovakia
<p>Enterprises Infrastructure companies Associations Local and regional authorities Local tourist boards Regional tourist boards Provincial tourist boards Natural and legal persons under private and public law and partnerships under civil law</p>	<p>Associations of Legal Persons (Záujmové združenie právnických osôb) (§20 písm. f) Občianskeho zákonníka) Civil Associations (Občianske združenie) (zák. Č. 83/1990 Zb.) Foundations (Nadácia) (zák. č. 34/2002 Z. z.) Non-profit Organisations providing Services of Benefit to the Public (Nezisková organizácia poskytujúca všeobecne prospešné služby) (podľa zákona č. 213/1997 Z. z.) Non-investive funds (Neinvestičný fond) (zákon č. 147/1997 Z. z.) Universities (Vysoká škola) (zák. č. 131/2002 Z. z.) Regional School Office (Krajský školský úrad) (zák. č. 596/2002 Z. z.) Slovak Academy of Science (SAV) (zák. č. 133/2002 Z. z.) Municipalities (Obec) (zák. č. 369/1990 Zb.) Regional Self-Governments (Samosprávny kraj) (zák. č. 302/2001 Z. z.) Other Legal Persons under Public Law (e. g. Professional Organisations (Chambers – chambers constituted by individual act: e. g. Slovak Forestry Chamber, Slovak Chamber of Auditors, Slovak Tradesman Chamber, Slovak Chamber of Doctors, Slovak Chamber of Agriculture and Grocery, Slovak Chamber of Veterinary of SR and chambers constituted on the base of the act with wider object: Slovak Chamber of Architects and Slovak Chamber of Engineers)) (Iné právnické osoby verejného práva (Komory zriadené osobitným zákonom: Slovenská lesnícka komora, Slovenská komora audítorov, Slovenská komora sestier a pôrodných asistentiek, Slovenská živnostenská komora, Slovenská lekárska komora, Slovenská lekárnická komora, Slovenská komora výchovných zariadení autoškôl, Komora kominárov Slovenska, Slovenskej živnostenská komora, Slovenská banská komora, Slovenská komora daňových poradcov, Komora geodetov a kartografov, Komora reštaurátorov, Slovenská komora psychológov, Slovenská poľnohospodárska a potravinárska komora, Slovenská komora vysokoškolsky vzdelaných zdravotníckych pracovníkov, Slovenská komora stredných zdravotníckych pracovníkov, Slovenská komora zubných technikov, Slovenská komora zubných lekárov, Komora veterinárnych lekárov Slovenskej republiky a Komory zriadené na základe zákona so širším predmetom: Slovenská komora architektov a Slovenská komora stavebných inžinierov)) Institutions of State Administration (Orgány štátnej správy) State Budgetary Organizations (Rozpočtové organizácie štátu) (zák. č. 303/1995 Z. z.) State Budget Assisted Organizations (Príspevkové organizácie štátu) (zák. č. 303/1995 Z. z.) Ecclesiastical Organizations (Cirkevné organizácie) (zák. č. 308/1991) súkromné školy (private schools) rozpočtové a príspevkové organizácie obce (Budgetary Organizations of Municipality and Budget Assisted Organizations of Municipality)</p>

#### **4 Formal Criteria for EU Co-financing**

Economic and organisational capacity of the entity responsible for the project

Amount and appropriateness of the costs of the project

Secured financing, appropriateness of the ratio between own funds and public assistance (taking into account the possible EU co-financing according to the programme as well as any other national public funds applied for, already granted or promised)

Relevant project aim which are in line with sectoral policy objectives (if required, also including statements of other administrative bodies concerned)

Fulfilment of the specific requirements for assistance under INTERREG IIIA – pursuant to the CIP and the Programme Complement (see item no. 5)

Compliance with the criteria for ERDF assistance (pursuant to Council Regulation no. 1783/99) and with the Commission Regulation concerning the eligibility of operations (also Regulation no. 448/04)

Compliance with other relevant EU regulations (subsidy laws, rules for the awarding of public contracts, environmental law, etc.)

Observance of the condition that any current business of institutions within the framework of their legal mandate or any activities covered by basic subsidies cannot be funded under Interreg IIIA.

#### **5 Specific Criteria for the Project Selection**

See chapter 4 of the Programme Complement.

#### **6 Eligible Costs**

As a general rule:

Project-related material costs and operating expenses

Personnel costs

Planning costs

Project-related investment costs (e.g. construction, infrastructure, development, machinery, hard/software)

Consulting expenses

**7 Type and Amount of EU Assistance**

Austria	Slovakia
<p>Unrecoverable assistance, according to programme 50% at max.</p> <p>It has to be stated that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded.</p>	<p>Unrecoverable assistance, according to programme 75% at max., in case of projects implemented in Bratislava region 50% at max.</p> <p>In Trnava region co-financing from the state budget amounts to 20 % at max. and co-financing from the final beneficiary amounts to 5 % at min.</p> <p>In Bratislava region co-financing from the state budget is 45 % at max. and co-financing from the final beneficiary is 5 % at min.</p> <p>In cases where the final beneficiary belongs to the state resort, (state budgetary organizations, state budget assisted organizations), the whole co-financing is ensured from the state budget. It means that in such cases the co-financing from the state budget in Trnava region amounts to 25 % and co-financing from the state budget in Bratislava region amounts to 50 %.</p> <p>It has to be stated that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded.</p>

## 8 Implementation Instruments – Legal Basis

a) Legal basis for the granting of the EU funds

b) Legal basis for the granting of national funds

Austria	Slovakia
<p>a) Einzelentscheidungen der Länder Burgenland, Niederösterreich, Wien</p> <p>b) Einzelentscheidungen der Länder Burgenland, Niederösterreich, Wien</p> <p>Einzelentscheidungen von Gemeinden in Burgenland , Niederösterreich</p> <p>Allgemeine Rahmenrichtlinie für die Gewährung von Förderungen aus Bundesmitteln (BMF.-Z.01 3301/7-II/3/77 of 5 May 1977, as amended)</p> <p>Einzelentscheidungen des Bundes</p> <p>Richtlinien des Ziel 1-Programmes Burgenland as amended</p> <p>Eco-Plus-Richtlinien für die regionale Infrastrukturförderung in Niederösterreich (N109/98 duration until 31.12.2006)</p> <p>NÖ Kulturförderungsgesetz 1996. LGBl.5301; Richtlinien für die Förderung nach dem NÖ Kulturförderungsgesetz 1996</p> <p>NÖ.F.I.T.2006TOP (Investitionsförderung) N 157/96</p> <p>NÖ.F.I.T.2006INFRA (Investitionsförderung – investment assistance not subject to competition law)</p> <p>NÖ.F.I.T.2006PROFIL (Softwareförderung) N 16</p> <p>ERP-Fonds: Richtlinie “ERP – Tourismusprogramm”</p> <p>Bundesministerium für Wirtschaft und Arbeit (BMWA):</p> <p>- TOP-Tourismus-Förderung 2000-2006</p> <p>- Einzelentscheidung</p> <p>Einzelentscheidung des Bundesministeriums für Bildung, Wissenschaft und Kultur (BMBWK)</p> <p>Einzelentscheidung des Bundeskanzleramtes (BKA)</p>	<p>a) Decision taken by the Ministry of Construction and Regional Development, Interreg A Management Department acting as National Authority</p> <p>b) zákon č. 291/2002 Z. z. o štátnej pokladnici (Act Number 291/2002 State Treasury) as amended</p> <p>In addition the following regulations have been taken into consideration:</p> <p>Act No 39/1993 Collections on the Supreme Audit Office SR as amended</p> <p>Act No 289/1995 Collections on Value Added Tax as amended</p> <p>Act No 303/1995 Collections on Budgetary Rules as amended</p> <p>Act No 231/1999 Collections on State Aid as amended</p> <p>Act No 263/1999 Collections on Public Procurement as amended</p> <p>Act No 440/2000 Collections on Financial Control Administrations as amended by Act No 150/2001 Collections.</p> <p>Act No 502/2001 Collections on Financial Control and Internal Audit as amended</p> <p>Act No 503/2001 Collections on Regional Development Support as amended</p> <p>Act No 431/2002 Collections on Accounting</p>

## 9 Competent Authority / Contact Point for SF Grants

Austria	Slovakia
<p><b>Intermediary Bodies:</b></p> <p><b>Burgenland:</b> Regionalmanagement Burgenland GmbH. (subsidiary, the competent sector department of the Amt der Burgenländischen Landesregierung) Marktstraße 3, A-7000 Eisenstadt Phone: ++(43)(2682)-704-2424 Fax: ++(43)(2682)-704-2410 e-mail: <a href="mailto:harald.ladich@rmb.co.at">harald.ladich@rmb.co.at</a></p> <p><b>Niederösterreich:</b> Amt der Niederösterreichischen Landesregierung, Abt. RU2, Landhausplatz 1, A-3109 St. Pölten Phone: ++(43)(2742)-9005-14329 Fax: ++(43)(2742)-9005-14170 e-mail: <a href="mailto:francois-edouard.pailleron@noel.gv.at">francois-edouard.pailleron@noel.gv.at</a></p> <p><b>Wien:</b> Amt der Wiener Landesregierung, MA 27 – EU-Strategie und Wirtschaftsentwicklung, Rathaus, A-1080 Vienna Phone: ++(43)(1)-4000-82582 Fax: ++(43)(1)-4000-9982582 e-mail: <a href="mailto:sto@meu.magwien.gv.at">sto@meu.magwien.gv.at</a></p> <p>In the event of difficulties in deciding who is to be responsible, the Managing Authority (Republic of Austria, Federal Chancellery, Department IV/4, Hohenstaufengasse 3, A-1010 Vienna) shall reach a decision on the assignment of a project to a responsible authority for the co-ordination of the project.</p>	<p><b>Intermediary Bodies:</b></p> <p>Ministry of Construction and Regional Development Regional Development Support Agency Spitálska 8, 816 44 Bratislava Phone: +421-2-5975 – 3221 Fax: +421-2- 5975 – 3233 e-mail: <a href="mailto:interreg@build.gov.sk">interreg@build.gov.sk</a></p> <p>In close co-operation with the VÚC (Higher Territorial Units):</p> <p>Bratislavský samosprávny kraj Trnavská cesta 8/A, 820 05 Bratislava Tel : +421-2-55 42 31 38 Fax: +421-2-55 42 31 38 e-mail : <a href="mailto:zschneiderova@region-bsk.sk">zschneiderova@region-bsk.sk</a></p> <p>Trnavský samosprávny kraj Vajanského 2, 917 01Trnava Tel : +421-33-593 33 18 Fax: +421-33-593 33 18 e-mail : <a href="mailto:karpatyova.maria@trnava-vuc.sk">karpatyova.maria@trnava-vuc.sk</a></p>

## 10 Code Numbers for Assistance from the Structural Funds

1711 – 1714, 1712+1713, 172 + 173, 174, 1310

## 11 Desired Effects on the Integration of the Border Region

Cross-border co-ordination and marketing of offerings  
Strengthening of the tourism and leisure-time industry in the border area

## 12 Indicators for Monitoring and Evaluation

**Impact Indicators:**

Expansion of the market / integrated development of offerings, marketing and external image

Development of services and integrated production (factor combinations)

Intensifying partner searches, and the development and creation of co-operation projects and networks

Know-how transfer, consulting, common services for enterprises

Improving the services and products and the joint use of tourism infrastructure.

**Output Indicators:**

Number of projects providing support for tourism facilities, attractions, tourism business

km of biking / hiking / horseback riding path constructed

Number of projects providing support for crossborder products and services for sporting, cultural and leisure activities

Number of vocational training and training projects (tourism); number of trainees

Number of projects providing support for rural tourism

**Cooperation Indicators:**

5 phases of crossborder cooperation; planned / achieved

**Gender mainstreaming indicators:**

the project: has equality between the sexes as its main focus, is positive in terms of male-female equality or is neutral in terms of such equality

**Environmental Indicator:**

the project: has the environment as its main focus; is environmental friendly; is environmentally neutral

**Location Indicator:**

the project is: urban; rural; not geographically delimited

## 13 Financial Plan

In EURO	Total
<b>Total cost of the measure</b>	3,664,181
<b>ERDF</b>	2,085,136
<b>National co-financing</b>	1,579,045
Public funds	532,436
Private funds	1,046,609

## **Priority Axis 2 / Measure 1:**

### **Improvement of Cross-border Transport and Telecommunication Infrastructure**

#### **1 Contents / Object of the Measure**

Cross-border economic development and cooperation as well as intensified cross-border activities and relationships require new or enlarged capacities in transport infrastructure and organisation. This measure is aimed at improving the infrastructure of business locations in border regions (e.g. cross-border business centres) in order to ensure sustainable economic growth in the cross-border region in the long term.

Creating and improving accessibility to a high performance telecommunications infrastructure that enables users to benefit from the advantages of modern information technology opens an opportunity for peripheral parts of the programme area to compensate partially the disadvantages caused by their location. Such networks could contribute to fast and stable access to information, cooperation partners and the virtual assets concentrated in agglomeration areas. Quick and easy access to information based on high capacity data networks and adequate information systems is an important prerequisite for creating cross-border innovation centres.

However, investments in telecommunications will take the relevant Guidelines of the Commission into account.<sup>1</sup> In general it is not envisaged to finance large-scale telecommunications infrastructure under this programme. In case of pilot investments in infrastructure it will be guaranteed that either the infrastructure is open to all service providers or that the service providers are selected in a tendering procedure fully respecting the relevant legislation.

Relying on the options listed in the CIP projects with the focus in the following areas will be supported:

Development of a cross-border infrastructure and support of intermodality (e.g. bridges, road, railway, water, air- and combined transport) in order to improve the interregional and supra-regional accessibility of the cross-border region (e.g. modernisation of technology on the selected railway network)

Development of cross-border information and communication technologies as well as the necessary applications (e.g. border crossing data highway – regional intersection points in co-ordination with existing nets and telematic facilities, telematic projects, etc.)

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<sup>1</sup> In particular the Commission's Working Paper on Criteria and Modalities of Implementation of Structural Funds in Support of Electronic Communications



## **2 Goals**

Improvement of cross-border traffic and transport flows and cross-border mobility  
Improvement of the prevailing conditions for cross-border traffic and transport on the regional level  
Linking of infrastructure as a basis for a joint international appearance of the cross-border region  
Strengthening information and know-how transfer in order to benefit from synergies  
Creation of infrastructure for cross-border business centres and other important business sites  
Creation and development of information structures and communication technologies  
Strengthening of co-operation in the field of freight transport

### 3 Final Recipients / Final Beneficiaries

Austria	Slovakia
<p>Enterprises and enterprise co-operation projects</p> <p>Local and regional authorities</p> <p>Interest groups</p> <p>Regional associations</p> <p>Transport operators</p> <p>Transport alliances (Verkehrsverbände)</p> <p>Other infrastructure operators</p> <p>Associations</p> <p>Regional tourist boards</p> <p>Provincial tourist boards</p> <p>Natural and legal persons under private and public law and partnerships under civil law</p>	<p>Associations of Legal Persons (Záujmové združenie právnických osôb) (§20 písm. f) Občianskeho zákonníka)</p> <p>Civil Associations (Občianske združenie) (zák. č. 83/1990 Zb.)</p> <p>Foundations (Nadácia) (zák. č. 34/2002 Z. z.)</p> <p>Non-profit Organisations providing Services of Benefit to the Public (Nezisková organizácia poskytujúca všeobecne prospešné služby) (podľa zákona č. 213/1997 Z. z.)</p> <p>Non-investive funds (Neinvestičný fond) (zákon č. 147/1997 Z. z.)</p> <p>Universities (Vysoká škola) (zák. č. 131/2002 Z. z.)</p> <p>Regional School Office (Krajský školský úrad) (zák. č. 596/2003 Z. z.)</p> <p>Slovak Academy of Science (SAV) (zák. č. 133/2002 Z. z.)</p> <p>Municipalities (Obec) (zák. č. 369/1990 Zb.)</p> <p>Regional Self-Governments (Samosprávny kraj) (zák. č. 302/2001 Z. z.)</p> <p>Other Legal Persons under Public Law (e. g. Professional Organisations (Chambers – chambers constituted by individual act: e. g. Slovak Forestry Chamber, Slovak Chamber of Auditors, Slovak Tradesman Chamber, Slovak Chamber of Doctors, Slovak Chamber of Agriculture and Grocery, Slovak Chamber of Veterinary of SR and chambers constituted on the base of the act with wider object: Slovak Chamber of Architects and Slovak Chamber of Engineers)) (Iné právnické osoby verejného práva (Komory zriadené osobitným zákonom: Slovenská lesnícka komora, Slovenská komora auditorov, Slovenská komora sestier a pôrodných asistentiek, Slovenská živnostenská komora, Slovenská lekárska komora, Slovenská lekárska komora, Slovenská komora výcvikových zariadení autoškôl, Komora kominárov Slovenska, Slovenskej živnostenská komora, Slovenská banská komora, Slovenská komora daňových poradcov, Komora geodetov a kartografov, Komora reštaurátorov, Slovenská komora psychológov, Slovenská poľnohospodárska a potravinárska komora, Slovenská komora vysokoškolsky vzdelaných zdravotníckych pracovníkov, Slovenská komora stredných zdravotníckych pracovníkov, Slovenská komora zubných technikov, Slovenská komora zubných lekárov, Komora veterinárnych lekárov Slovenskej republiky a Komory zriadené na základe zákona so širším predmetom: Slovenská komora architektov a Slovenská komora stavebných inžinierov)) Institutions of State Administration (Orgány štátnej správy)</p> <p>State Budgetary Organizations (Rozpočtové organizácie štátu) (zák. č. 303/1995 Z. z.)</p> <p>State Budget Assisted Organizations (Príspevkové organizácie štátu) (zák. č. 303/1995 Z. z.)</p> <p>súkromné školy (private schools)</p> <p>rozpočtové a príspevkové organizácie obce (Budgetary Organizations of Municipality and Budget Assisted Organizations of Municipality)</p>

#### **4 Formal Criteria for EU Co-financing**

Economic and organisational capacity of the entity responsible for the project

Amount and appropriateness of the costs of the project

Secured financing, appropriateness of the ratio between own funds and public assistance (taking into account the possible EU co-financing according to the programme as well as any other national public funds applied for, already granted or promised)

Relevant project aim which are in line with sectoral policy objectives (if required, also including statements of other administrative bodies concerned)

Fulfilment of the specific requirements for assistance under INTERREG IIIA – pursuant to the CIP and the Programme Complement (see item no. 5)

Compliance with the criteria for ERDF assistance (pursuant to Council Regulation no. 1783/99) and with the Commission Regulation concerning the eligibility of operations (also Regulation no. 448/04)

Compliance with other relevant EU regulations (subsidy laws, rules for the awarding of public contracts, environmental law, etc.)

Observance of the condition that any current business of institutions within the framework of their legal mandate or any activities covered by basic subsidies cannot be funded under Interreg IIIA.

#### **5 Specific Criteria for the Project Selection**

See chapter 3 of the Programme Complement.

#### **6 Eligible Costs**

As a general rule:

Project-related material costs and operating expenses

Personnel costs

Planning costs

Project-related investment costs (e.g. construction, infrastructure, development, machinery, hard/software)

Consulting expenses

**7 Type and Amount of EU Assistance**

Austria	Slovakia
<p>Unrecoverable assistance, according to programme 50% at max.</p> <p>It has to be stated that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded.</p>	<p>Unrecoverable assistance, according to programme 75% at max., in case of projects implemented in Bratislava region 50% at max.</p> <p>In Trnava region co-financing from the state budget amounts to 20 % at max. and co-financing from the final beneficiary amounts to 5 % at min.</p> <p>In Bratislava region co-financing from the state budget is 45 % at max. and co-financing from the final beneficiary is 5 % at min.</p> <p>In cases where the final beneficiary belongs to the state resort, (state budgetary organizations, state budget assisted organizations), the whole co-financing is ensured from the state budget. It means that in such cases the co-financing from the state budget in Trnava region amounts to 25 % and co-financing from the state budget in Bratislava region amounts to 50 %.</p> <p>It has to be stated that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded.</p>

## 8 Implementation Instruments – Legal Basis

- a) Legal basis for the granting of the EU funds
- b) Legal basis for the granting of national funds

Austria	Slovakia
<p>a) Einzelentscheidungen der Länder Burgenland, Niederösterreich, Wien</p> <p>b) Einzelentscheidungen der Länder Burgenland, Niederösterreich, Wien Einzelentscheidungen von Gemeinden in Burgenland , Niederösterreich Allgemeine Rahmenrichtlinie für die Gewährung von Förderungen aus Bundesmitteln (BMF.-Z.01 3301/7-II/3/77 of 5 May 1977, as amended) Einzelentscheidungen des Bundes Telematik &amp; C-Tech Wien (Zuschüsse, de minimis) Innovations- und Technologieförderung der Stadt Wien (Zuschüsse, notifiziert FTE), U-Bahn Hilfsaktion d. Stadt Wien &amp;WKW (Zuschüsse, Zinszuschuß) Richtlinien des Ziel 1-Programmes Burgenland as amended</p>	<p>a) Decision taken by the Ministry of Construction and Regional Development, Interreg A Management Department acting as National Authority</p> <p>b) zákon č. 291/2002 Z. z. o štátnej pokladnici (Act Number 291/2002 State Treasury) as amended</p> <p>In addition the following regulations have been taken into consideration:</p> <p>Act No 39/1993 Collections on the Supreme Audit Office SR as amended</p> <p>Act No 289/1995 Collections on Value Added Tax as amended</p> <p>Act No 303/1995 Collections on Budgetary Rules as amended</p> <p>Act No 231/1999 Collections on State Aid as amended</p> <p>Act No 263/1999 Collections on Public Procurement as amended</p> <p>Act No 440/2000 Collections on Financial Control Administrations as amended by Act No 150/2001 Collections.</p> <p>Act No 502/2001 Collections on Financial Control and Internal Audit as amended</p> <p>Act No 503/2001 Collections on Regional Development Support as amended</p> <p>Act No 431/2002 Collections on Accounting</p>

## 9 Competent Authority / Contact Point for SF Grants

Austria	Slovakia
<p><b>Intermediary Bodies:</b></p> <p><b>Burgenland:</b> Regionalmanagement Burgenland GmbH. (subsidiary, the competent sector department of the Amt der Burgenländischen Landesregierung) Marktstraße 3, A-7000 Eisenstadt Phone: ++(43)(2682)-704-2424 Fax: ++(43)(2682)-704-2410 e-mail: <a href="mailto:harald.ladich@rmb.co.at">harald.ladich@rmb.co.at</a></p> <p><b>Niederösterreich:</b> Amt der Niederösterreichischen Landesregierung, Abt. RU2, Landhausplatz 1, A-3109 St. Pölten Phone: ++(43)(2742)-9005-14329 Fax: ++(43)(2742)-9005-14170 e-mail: <a href="mailto:francois-edouard.pailleron@noel.gv.at">francois-edouard.pailleron@noel.gv.at</a></p> <p><b>Wien:</b> Amt der Wiener Landesregierung, MA 27 – EU-Strategie und Wirtschaftsentwicklung, Rathaus, A-1080 Vienna Phone: ++(43)(1)-4000-82582 Fax: ++(43)(1)-4000-9982582 e-mail: <a href="mailto:sto@meu.magwien.gv.at">sto@meu.magwien.gv.at</a></p> <p>In the event of difficulties in deciding who is to be responsible, the Managing Authority (Republic of Austria, Federal Chancellery, Department IV/4, Hohenstaufengasse 3, A-1010 Vienna) shall reach a decision on the assignment of a project to a responsible authority for the co-ordination of the project.</p>	<p><b>Intermediary Bodies:</b></p> <p>Ministry of Construction and Regional Development Regional Development Support Agency Spitálska 8, 816 44 Bratislava Phone: +421-2-5975 – 3221 Fax: +421-2- 5975 – 3233 e-mail: <a href="mailto:interreg@build.gov.sk">interreg@build.gov.sk</a></p> <p>In close co-operation with the VÚC (Higher Territorial Units):</p> <p>Bratislavský samosprávny kraj Trnavská cesta 8/A, 820 05 Bratislava Tel : +421-2-55 42 31 38 Fax: +421-2-55 42 31 38 e-mail : <a href="mailto:zschneiderova@region-bsk.sk">zschneiderova@region-bsk.sk</a></p> <p>Trnavský samosprávny kraj Vajanského 2, 917 01Trnava Tel : +421-33-593 33 18 Fax: +421-33-593 33 18 e-mail : <a href="mailto:karpatyova.maria@trnava-vuc.sk">karpatyova.maria@trnava-vuc.sk</a></p>

## 10 Code Numbers for Assistance from the Structural Funds

311- rail
312 – roads
3121 – national roads
3122 – regional/local roads
3123 – bicycle lanes
313 – motorways
314 – airports
315 – ports
316 – waterways
317 – urban transport
318 – multimodal transport
319 – intelligent transport systems
322 – information and communication technology (including security and safe transmission measures)
323 – services and applications for the citizen (health, administration, education)
324 – services and applications for SMEs (electronic commerce and transactions, education and training, networking)

## 11 Desired Effects on the Integration of the Border Region

Harmonisation / increased compatibility of infrastructure systems in the border region  
Converging of the border region by measures of transport policy  
Co-ordinated efforts in the transport sector in the border area  
Intensified cross-border information exchange through an improved infrastructure  
Development of common standards  
Physical and virtual networking within the border region  
Improvement of accessibility within the border region

## 12 Indicators for Monitoring and Evaluation

### Impact Indicators:

(Preparation of) cross-border infrastructure, faster and improved transportation links

(Preparation of) links to international transportation routes

Cross-border networking of business locations

Improving the services and products, and integrated use of information technology and telecommunications infrastructure.

Cross-border development and use of IT applications, telematics services, etc.

### Output Indicators:

Number of projects providing support for the improvement of rail, road, airport, urban transport, ports, multimodal transport intelligent transport systems;

Number of projects providing support for the improvement of Information and Communication technology

Number of projects providing IT services and applications for citizens (health, administration, education)

Vocational training and training projects (information society); number of projects providing IT services and applications for SMEs

### Cooperation Indicators:

5 phases of cross-border cooperation; planned / achieved

### Gender mainstreaming indicators:

the project: has equality between the sexes as its main focus, is positive in terms of male-female equality or is neutral in terms of such equality

### Environmental Indicator:

the project: has the environment as its main focus; is environmental friendly; is environmentally neutral

### Location Indicator:

the project is: urban; rural; not geographically delimited

### 13 Financial Plan

In EURO	Total
<b>Total cost of the measure</b>	8,191,806
<b>ERDF</b>	4,538,903
<b>National co-financing</b>	3,652,903
Public funds	3,071,304
Private funds	581,599



## **Priority Axis 2 / Measure 2: Transport Organisation, Planning and Logistics**

### **1 Contents / Object of the Measure**

The joint vision of intensified cross-border economic development and co-operation thus leading to increasing numbers of cross-border business partnerships, trade volumes and last but not least people-to-people contacts requires joint efforts in transportation planning, traffic engineering and development of intermodal transport and logistics. The objective of this measure is the planning, organisation and optimisation of cross-border transportation in order to be able to cope with the continuous increase of traffic flows. This includes all modes of traffic although special emphasis should be placed upon public transport as environmentally friendly mode of traffic. The main focus is on the improvement of links to TENs (Trans-European Networks) and PENs (Pan-European Networks) as well as on cross-border accessibility.

In accordance with the options listed in the CIP projects with the focus in the following areas will be supported:

- Support of the cross-border-orientated elements of transport organisation and planning with a special focus on border crossing centres of business and tourism
- Feasibility studies and planning
- Improvement and enhancement of systems for cross-border public transport and logistics
- Networks of freight transport logistics
- Creation, development and extension: of transport alliances, cross-border information systems, transport and logistics concepts, planning and feasibility studies, analyses, establishment of logistics centres, development and establishment of networks

### **2 Goals**

- Improvement of cross-border transport flows and cross-border mobility and accessibility; improvement of cross-border freight transport and logistics
- Improvement of links to the TEN (Trans European Network) and PEN (Pan European Network)
- Co-ordinated implementation of the activities for developing the transport sector in the cross-border region

### 3 Final Recipients / Final Beneficiaries

Austria	Slovakia
<p>Local and regional authorities</p> <p>Transport operators</p> <p>Natural and legal persons under private and public law and partnerships under civil law</p> <p>Interest groups</p> <p>Regional associations</p> <p>Transport alliances (Verkehrsverbände)</p> <p>Other infrastructure operators</p> <p>Associations</p> <p>Research institutions</p> <p>Enterprises</p>	<p>Civil Associations (Občianske združenie) (zák. Č. 83/1990 Zb.)</p> <p>Foundations (Nadácia) (zák. č. 34/2002 Z. z.)</p> <p>Non-profit Organisations providing Services of Benefit to the Public (Nezisková organizácia poskytujúca všeobecne prospešné služby) (podľa zákona č. 213/1997 Z. z.)</p> <p>Non-investive funds (Neinvestičný fond) (zákon č. 147/1997 Z. z.)</p> <p>Universities (Vysoká škola) (zák. č. 131/2002 Z. z.)</p> <p>Regional School Office (Krajský školský úrad) (zák. č. 596/2003 Z. z.)</p> <p>Slovak Academy of Science (SAV) (zák. č. 133/2002 Z. z.)</p> <p>Municipalities (Obec) (zák. č. 369/1990 Zb.)</p> <p>Regional Self-Governments (Samosprávny kraj) (zák. č. 302/2001 Z. z.)</p> <p>Other Legal Persons under Public Law (e. g. Professional Organisations (Chambers – chambers constituted by individual act: e. g. Slovak Forestry Chamber, Slovak Chamber of Auditors, Slovak Tradesman Chamber, Slovak Chamber of Doctors, Slovak Chamber of Agriculture and Grocery, Slovak Chamber of Veterinary of SR and chambers constituted on the base of the act with wider object: Slovak Chamber of Architects and Slovak Chamber of Engineers)) (Iné právnické osoby verejného práva (Komory zriadené osobitným zákonom: Slovenská lesnícka komora, Slovenská komora audítorov, Slovenská komora sestier a pôrodných asistentiek, Slovenská živnostenská komora, Slovenská lekárska komora, Slovenská lekárnická komora, Slovenská komora výcvikových zariadení autoškôl, Komora kominárov Slovenska, Slovenskej živnostenská komora, Slovenská banská komora, Slovenská komora daňových poradcov, Komora geodetov a kartografov, Komora reštaurátorov, Slovenská komora psychológov, Slovenská poľnohospodárska a potravinárska komora, Slovenská komora vysokoškolsky vzdelaných zdravotníckych pracovníkov, Slovenská komora stredných zdravotníckych pracovníkov, Slovenská komora zubných technikov, Slovenská komora zubných lekárov, Komora veterinárnych lekárov Slovenskej republiky a Komory zriadené na základe zákona so širším predmetom: Slovenská komora architektov a Slovenská komora stavebných inžinierov))</p> <p>Institutions of State Administration (Orgány štátnej správy)</p> <p>State Budgetary Organizations (Rozpočtové organizácie štátu) (zák. č. 303/1995 Z. z.)</p> <p>State Budget Assisted Organisations (Príspevkové organizácie štátu) (zák. č. 303/1995 Z. z.)</p> <p>súkromné školy (private schools)</p> <p>rozpočtové a príspevkové organizácie obce (Budgetary Organizations of Municipality and Budget Assisted Organizations of Municipality)</p>

#### **4 Formal Criteria for EU Co-financing**

Economic and organisational capacity of the entity responsible for the project

Amount and appropriateness of the costs of the project

Secured financing, appropriateness of the ratio between own funds and public assistance (taking into account the possible EU co-financing according to the programme as well as any other national public funds applied for, already granted or promised)

Relevant project aim which are in line with sectoral policy objectives (if required, also including statements of other administrative bodies concerned)

Fulfilment of the specific requirements for assistance under INTERREG IIIA – pursuant to the CIP and the Programme Complement (see item no. 5)

Compliance with the criteria for ERDF assistance (pursuant to Council Regulation no. 1783/99) and with the Commission Regulation concerning the eligibility of operations (also Regulation no. 448/04)

Compliance with other relevant EU regulations (subsidy laws, rules for the awarding of public contracts, environmental law, etc.)

Observance of the condition that any current business of institutions within the framework of their legal mandate or any activities covered by basic subsidies cannot be funded under Interreg IIIA.

#### **5 Specific Criteria for the Project Selection**

See chapter 4 of the Programme Complement.

#### **6 Eligible Costs**

As a general rule:

Project-related material costs and operating expenses

Personnel costs

Planning costs

Project-related investment costs (e.g. construction, infrastructure, development, machinery, hard/software)

Consulting expenses

## 7 Type and Amount of EU Assistance

Austria	Slovakia
<p>Unrecoverable assistance, according to programme 50% at max.</p> <p>It has to be stated that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded.</p>	<p>Unrecoverable assistance, according to programme 75% at max., in case of projects implemented in Bratislava region 50% at max.</p> <p>In Trnava region co-financing from the state budget amounts to 20 % at max. and co-financing from the final beneficiary amounts to 5 % at min.</p> <p>In Bratislava region co-financing from the state budget is 45 % at max. and co-financing from the final beneficiary is 5 % at min.</p> <p>In cases where the final beneficiary belongs to the state resort, ( state budgetary organizations, state budget assisted organizations), the whole co-financing is ensured from the state budget. It means that in such cases the co-financing from the state budget in Trnava region amounts to 25 % and co-financing from the state budget in Bratislava region amounts to 50 %.</p> <p>It has to be stated that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded.</p>

## 8 Implementation Instruments – Legal Basis

a) Legal basis for the granting of the EU funds

b) Legal basis for the granting of national funds

Austria	Slovakia
<p>a) Einzelentscheidungen der Länder Burgenland, Niederösterreich, Wien</p> <p>b) Einzelentscheidungen der Länder Burgenland, Niederösterreich, Wien Einzelentscheidungen von Gemeinden in Burgenland , Niederösterreich 2.Allgemeine Rahmenrichtlinie für die Gewährung von Förderungen aus Bundesmitteln (BMF.-Z.01 3301/7-II/3/77 of 5 May 1977, as amended) Einzelentscheidungen des Bundes Telematik &amp; C-Tech Wien (Zuschüsse, de minimis) Innovations- und Technologieförderung der Stadt Wien (Zuschüsse, notifiziert FTE), U-Bahn Hilfsaktion d. Stadt Wien &amp;WKW (Zuschüsse, Zinszuschuß) Richtlinien des Ziel 1-Programmes Burgenland, as amended</p>	<p>a) Decision taken by the Ministry of Construction and Regional Development, Interreg A Management Department acting as National Authority</p> <p>b) zákon č. 291/2002 Z. z. o štátnej pokladnici (Act Number 291/2002 State Treasury) as amended</p> <p>In addition the following regulations have been taken into consideration:</p> <p>Act No 39/1993 Collections on the Supreme Audit Office SR as amended</p> <p>Act No 289/1995 Collections on Value Added Tax as amended</p> <p>Act No 303/1995 Collections on Budgetary Rules as amended</p> <p>Act No 231/1999 Collections on State Aid as amended</p> <p>Act No 263/1999 Collections on Public Procurement as amended</p> <p>Act No 440/2000 Collections on Financial Control Administrations as amended by Act No 150/2001 Collections.</p> <p>Act No 502/2001 Collections on Financial Control and Internal Audit as amended</p> <p>Act No 503/2001 Collections on Regional Development Support as amended</p> <p>Act No 431/2002 Collections on Accounting</p>

## 9 Competent Authority / Contact Point for SF Grants

Austria	Slovakia
<p><b>Intermediary Bodies:</b></p> <p><b>Burgenland:</b> Regionalmanagement Burgenland GmbH. (subsidiary, the competent sector department of the Amt der Burgenländischen Landesregierung) Marktstraße 3, A-7000 Eisenstadt Phone: ++(43)(2682)-704-2424 Fax: ++(43)(2682)-704-2410 e-mail: <a href="mailto:harald.ladich@rmb.co.at">harald.ladich@rmb.co.at</a></p> <p><b>Niederösterreich:</b> Amt der Niederösterreichischen Landesregierung, Abt. RU2, Landhausplatz 1, A-3109 St. Pölten Phone: ++(43)(2742)-9005-14329 Fax: ++(43)(2742)-9005-14170 e-mail: <a href="mailto:francois-edouard.pailleron@noel.gv.at">francois-edouard.pailleron@noel.gv.at</a></p> <p><b>Wien:</b> Amt der Wiener Landesregierung, MA 27 – EU-Strategie und Wirtschaftsentwicklung, Rathaus, A-1080 Vienna Phone: ++(43)(1)-4000-82582 Fax: ++(43)(1)-4000-9982582 e-mail: <a href="mailto:sto@meu.magwien.gv.at">sto@meu.magwien.gv.at</a></p> <p>In the event of difficulties in deciding who is to be responsible, the Managing Authority (Republic of Austria, Federal Chancellery, Department IV/4, Hohenstaufengasse 3, A-1010 Vienna) shall reach a decision on the assignment of a project to a responsible authority for the co-ordination of the project.</p>	<p><b>Intermediary Bodies:</b></p> <p>Ministry of Construction and Regional Development Regional Development Support Agency Spitálska 8, 816 44 Bratislava Phone: +421-2-5975 – 3221 Fax: +421-2- 5975 – 3233 e-mail: <a href="mailto:interreg@build.gov.sk">interreg@build.gov.sk</a></p> <p>In close co-operation with the VÚC (Higher Territorial Units):</p> <p>Bratislavský samosprávny kraj Trnavská cesta 8/A, 820 05 Bratislava Tel : +421-2-55 42 31 38 Fax: +421-2-55 42 31 38 e-mail : <a href="mailto:zschneiderova@region-bsk.sk">zschneiderova@region-bsk.sk</a></p> <p>Trnavský samosprávny kraj Vajanského 2, 917 01Trnava Tel : +421-33-593 33 18 Fax: +421-33-593 33 18 e-mail : <a href="mailto:karpatyova.maria@trnava-vuc.sk">karpatyova.maria@trnava-vuc.sk</a></p>

## 10 Code Numbers for Assistance from the Structural Funds

311- rail
312 – roads
3121 – national roads
3122 – regional/local roads
3123 – bicycle lanes
313 – motorways
314 – airports
315 – ports
316 – waterways
317 – urban transport
318 – multimodal transport
319 – intelligent transport systems
322 – information and communication technology (including security and safe transmission measures)
323 – services and applications for the citizen (health, administration, education)
324 – services and applications for SMEs (electronic commerce and transactions, education and training, networking)

## 11 Desired Effects on the Integration of the Border Region

Integration of the border region by harmonising and optimising cross-border transport services, especially with regard to public and combined transport

Convergence of the border region by measures relating to transport organisation, planning and design

Co-ordinated efforts in the transport sector in the border region

Improvement of the links of the border region to trans-European networks

Improving/facilitating neighbourly trade and intensified networking through an improved infrastructure

## 12 Indicators for Monitoring and Evaluation

### Impact Indicators:

(Preparation of the) improvement of cross-border infrastructure, faster and improved transportation links

(Preparation of) links to international transportation routes

Harmonised, integrated planning and use of transportation and telecommunications infrastructure

Contribution to shifting traffic loads (from roads) through the harmonised/integrated use of transportation infrastructure and means

### Output Indicators:

Number of research and planning projects providing support for the improvement of rail, road, airport, urban transport, ports, multimodal transport intelligent transport systems;

Number of research and planning projects providing support for the improvement of Information and Communication technology

Number of projects providing IT services and applications for citizens (health, administration, education)

Vocational training and training projects (information society); number of projects providing IT services and applications for SMEs

### Cooperation Indicators:

5 phases of cross-border cooperation; planned / achieved

### Gender mainstreaming indicators:

the project: has equality between the sexes as its main focus, is positive in terms of male-female equality or is neutral in terms of such equality

### Environmental Indicator:

the project: has the environment as its main focus; is environmental friendly; is environmentally neutral

### Location Indicator:

the project is: urban; rural; not geographically delimited

**13 Financial Plan**

In EURO	Total
<b>Total cost of the measure</b>	6,462,861
<b>ERDF</b>	3,548,097
<b>National co-financing</b>	2,914,764
Public funds	2,551,431
Private funds	363,333



## **Priority Axis 3 / Measure 1:**

### **Support of Cross-border Organisational Structures and Development of Networks**

#### **1 Contents / Object of the Measure**

The goal of this measure is

- Firstly to create and support institutionalised cooperation structures for the professional preparation and coordination of project applications. Functioning networks should ensure cross-border cooperation and the integration of the border region (e.g. in Egregious)
- Secondly all kinds of thematic networks which contribute to the socio-economic development of the cross-border region could be supported

It is obvious that communications strategies, marketing activities as well as information management deserve particular attention in the field of networking.

In accordance with the options listed in the CIP projects with the focus in the following areas will be supported:

(Further) development of organisational structures and thematic co-operation networks (e. g. Euregios, regional management, networks of cities, administration and regional development agencies, thematic networks in health, agriculture, youth, women, social care, integration, civil protection/defence, culture, crime prevention ...)

Developing of rural-urban co-operation projects

Co-ordination and organisation of cross-border projects

Public relations work and information management

Activities to improve the competence of institutions working in the fields of regional and socio-cultural development

#### **2 Goals**

Optimising co-operation between associations, unions, administrations and authorities and NGO's in the border regions

Improvement of resources, structures and capacity for preparation, monitoring and evaluation of cross-border projects

Development networks to strengthen cross-border integration and intensification of the economic, social and cultural contacts

Improving cross-border cooperation in the field of environment

**3 Final Recipients / Final Beneficiaries**

Austria	Slovakia
<p>Enterprises</p> <p>Infrastructure companies</p> <p>Associations</p> <p>Local and regional authorities</p> <p>Natural and legal persons under private and public law and partnerships under civil law</p>	<p>Associations of Legal Persons (Záujmové združenie právnických osôb) (§20 písm. f) Občianskeho zákonníka)</p> <p>Civil Associations (Občianske združenie) (zák. Č. 83/1990 Zb.)</p> <p>Foundations (Nadácia) (zák. č. 34/2002 Z. z.)</p> <p>Non-profit Organisations providing Services of Benefit to the Public (Nezisková organizácia poskytujúca všeobecne prospešné služby) (podľa zákona č. 213/1997 Z. z.)</p> <p>Non-investive funds (Neinvestičný fond) (zákon č. 147/1997 Z. z.)</p> <p>Universities (Vysoká škola) (zák. č. 131/2002 Z. z.)</p> <p>Regional School Office (Krajský školský úrad) (zák. č. 596/2003 Z. z.)</p> <p>Slovak Academy of Science (SAV) (zák. č. 133/2002 Z. z.)</p> <p>Municipalities (Obec) (zák. č. 369/1990 Zb.)</p> <p>Regional Self-Governments (Samosprávny kraj) (zák. č. 302/2001 Z. z.)</p> <p>Other Legal Persons under Public Law (e. g. Professional Organisations (Chambers – chambers constituted by individual act: e. g. Slovak Forestry Chamber, Slovak Chamber of Auditors, Slovak Tradesman Chamber, Slovak Chamber of Doctors, Slovak Chamber of Agriculture and Grocery, Slovak Chamber of Veterinary of SR and chambers constituted on the base of the act with wider object: Slovak Chamber of Architects and Slovak Chamber of Engineers)) (Iné právnické osoby verejného práva (Komory zriadené osobitným zákonom: Slovenská lesnícka komora, Slovenská komora auditorov, Slovenská komora sestier a pôrodných asistentiek, Slovenská živnostenská komora, Slovenská lekárska komora, Slovenská lekárnická komora, Slovenská komora výchovných zariadení autoškôl, Komora kominárov Slovenska, Slovenskej živnostenská komora, Slovenská banská komora, Slovenská komora daňových poradcov, Komora geodetov a kartografov, Komora reštaurátorov, Slovenská komora psychológov, Slovenská poľnohospodárska a potravinárska komora, Slovenská komora vysokoškolsky vzdelaných zdravotníckych pracovníkov, Slovenská komora stredných zdravotníckych pracovníkov, Slovenská komora zubných technikov, Slovenská komora zubných lekárov, Komora veterinárnych lekárov Slovenskej republiky a Komory zriadené na základe zákona so širším predmetom: Slovenská komora architektov a Slovenská komora stavebných inžinierov))</p> <p>Institutions of State Administration (Orgány štátnej správy)</p> <p>State Budgetary Organizations (Rozpočtové organizácie štátu) (zák. č. 303/1995 Z. z.)</p> <p>State Budget Assisted Organizations (Príspevkové organizácie štátu) (zák. č. 303/1995 Z. z.)</p> <p>Ecclesiastical Organizations (Cirkevné organizácie) (zák. č. 308/1991)</p> <p>súkromné školy (private schools)</p> <p>rozpočtové a príspevkové organizácie obce (Budgetary Organizations of Municipality and Budget Assisted Organizations of Municipality)</p>

#### **4 Formal Criteria for EU Co-financing**

Economic and organisational capacity of the entity responsible for the project

Amount and appropriateness of the costs of the project

Secured financing, appropriateness of the ratio between own funds and public assistance (taking into account the possible EU co-financing according to the programme as well as any other national public funds applied for, already granted or promised)

Relevant project aim which are in line with sectoral policy objectives (if required, also including statements of other administrative bodies concerned)

Fulfilment of the specific requirements for assistance under INTERREG IIIA – pursuant to the CIP and the Programme Complement (see item no. 5)

Compliance with the criteria for ERDF assistance (pursuant to Council Regulation no. 1783/99) and with the Commission Regulation concerning the eligibility of operations (also Regulation no. 448/04)

Compliance with other relevant EU regulations (subsidy laws, rules for the awarding of public contracts, environmental law, etc.)

Observance of the condition that any current business of institutions within the framework of their legal mandate or any activities covered by basic subsidies cannot be funded under Interreg IIIA.

#### **5 Specific Criteria for the Project Selection**

See chapter 4 of the Programme Complement.

#### **6 Eligible Costs**

As a general rule:

Project-related material costs and operating expenses

Personnel costs

Planning costs

Project-related investment costs (e.g. construction, infrastructure, development, machinery, hard/software)

Consulting expenses

## 7 Type and Amount of EU Assistance

Austria	Slovakia
<p>Unrecoverable assistance, according to programme 50% at max.</p> <p>It has to be stated that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded.</p>	<p>Unrecoverable assistance, according to programme 75% at max., in case of projects implemented in Bratislava region 50% at max.</p> <p>In Trnava region co-financing from the state budget amounts to 20 % at max. and co-financing from the final beneficiary amounts to 5 % at min.</p> <p>In Bratislava region co-financing from the state budget is 45 % at max. and co-financing from the final beneficiary is 5 % at min.</p> <p>In cases where the final beneficiary belongs to the state resort, (state budgetary organizations, state budget assisted organizations), the whole co-financing is ensured from the state budget. It means that in such cases the co-financing from the state budget in Trnava region amounts to 25 % and co-financing from the state budget in Bratislava region amounts to 50 %.</p> <p>It has to be stated that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded.</p>

## 8 Implementation Instruments – Legal Basis

a) Legal basis for the granting of the EU funds

b) Legal basis for the granting of national funds

Austria	Slovakia
<p>a) Einzelentscheidungen der Länder Burgenland, Niederösterreich, Wien</p> <p>b) Einzelentscheidungen der Länder Burgenland, Niederösterreich, Wien Einzelentscheidungen von Gemeinden in Burgenland , Niederösterreich Allgemeine Rahmenrichtlinie für die Gewährung von Förderungen aus Bundesmitteln (BMF.-Z.01 3301/7-II/3/77 of 5 May 1977, as amended) Einzelentscheidungen des Bundes Richtlinien des Ziel 1-Programmes Burgenland as amended NÖ Kulturförderungsgesetz 1996. LGBl.5301; Richtlinien für die Förderung nach dem NÖ Kulturförderungsgesetz 1996</p>	<p>a) Decision taken by the Ministry of Construction and Regional Development, Interreg A Management Department acting as National Authority</p> <p>b) zákon č. 291/2002 Z. z. o štátnej pokladnici (Act Number 291/2002 State Treasury) as amended</p> <p>In addition the following regulations have been taken into consideration:</p> <p>Act No 39/1993 Collections on the Supreme Audit Office SR as amended</p> <p>Act No 289/1995 Collections on Value Added Tax as amended</p> <p>Act No 303/1995 Collections on Budgetary Rules as amended</p> <p>Act No 231/1999 Collections on State Aid as amended</p> <p>Act No 263/1999 Collections on Public Procurement as amended</p> <p>Act No 440/2000 Collections on Financial Control Administrations as amended by Act No 150/2001 Collections.</p> <p>Act No 502/2001 Collections on Financial Control and Internal Audit as amended</p> <p>Act No 503/2001 Collections on Regional Development Support as amended</p> <p>Act No 431/2002 Collections on Accounting</p>

## 9 Competent Authority / Contact Point for SF Grants

Austria	Slovakia
<p><b>Intermediary Bodies:</b></p> <p><b>Burgenland:</b> Regionalmanagement Burgenland GmbH. (subsidiary, the competent sector department of the Amt der Burgenländischen Landesregierung) Marktstraße 3, A-7000 Eisenstadt Phone: ++(43)(2682)-704-2424 Fax: ++(43)(2682)-704-2410 e-mail: <a href="mailto:harald.ladich@rmb.co.at">harald.ladich@rmb.co.at</a></p> <p><b>Niederösterreich:</b> Amt der Niederösterreichischen Landesregierung, Abt. RU2, Landhausplatz 1, A-3109 St. Pölten Phone: ++(43)(2742)-9005-14329 Fax: ++(43)(2742)-9005-14170 e-mail: <a href="mailto:francois-edouard.pailleron@noel.gv.at">francois-edouard.pailleron@noel.gv.at</a></p> <p><b>Wien:</b> Amt der Wiener Landesregierung, MA 27 – EU-Strategie und Wirtschaftsentwicklung, Rathaus, A-1080 Vienna Phone: ++(43)(1)-4000-82582 Fax: ++(43)(1)-4000-9982582 e-mail: <a href="mailto:sto@meu.magwien.gv.at">sto@meu.magwien.gv.at</a></p> <p>In the event of difficulties in deciding who is to be responsible, the Managing Authority (Republic of Austria, Federal Chancellery, Department IV/4, Hohenstaufengasse 3, A-1010 Vienna) shall reach a decision on the assignment of a project to a responsible authority for the co-ordination of the project.</p>	<p><b>Intermediary Bodies:</b></p> <p>Ministry of Construction and Regional Development Regional Development Support Agency Spitálska 8, 816 44 Bratislava Phone: +421-2-5975 – 3221 Fax: +421-2- 5975 – 3233 e-mail: <a href="mailto:interreg@build.gov.sk">interreg@build.gov.sk</a></p> <p>In close co-operation with the VÚC (Higher Territorial Units):</p> <p>Bratislavský samosprávny kraj Trnavská cesta 8/A, 820 05 Bratislava Tel : +421-2-55 42 31 38 Fax: +421-2-55 42 31 38 e-mail : <a href="mailto:zschneiderova@region-bsk.sk">zschneiderova@region-bsk.sk</a></p> <p>Trnavský samosprávny kraj Vajanského 2, 917 01Trnava Tel : +421-33-593 33 18 Fax: +421-33-593 33 18 e-mail : <a href="mailto:karpatyova.maria@trnava-vuc.sk">karpatyova.maria@trnava-vuc.sk</a></p>

## 10 Code Numbers for Assistance from the Structural Funds

<p>1642 –</p> <p>1643 -</p>	
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## 11 Desired Effects on the Integration of the Border Region

<p>Creation of cross-border co-operation structures</p> <p>Support of projects across the border</p> <p>Enhanced attractiveness of the location area by cross-border integration</p>
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## 12 Indicators for Monitoring and Evaluation

Impact Indicators:

Networking of private and/or public services

To create and expand co-operation networks and structures

Creation and reinforcement of structures for the regular, mutual exchange of information and project collaboration

To create and expand support structures for cross-border projects

Output Indicators:

Number of projects providing support for information networks, SME cooperation networks, development concepts, stimulation and promotional services etc.

Number of projects providing support for regional development plans, concepts and studies, regional management EuRegios etc.

Cooperation Indicators:

5 phases of cross-border cooperation; planned / achieved

Gender mainstreaming indicators:

the project: has equality between the sexes as its main focus, is positive in terms of male-female equality or is neutral in terms of such equality

Environmental Indicator:

the project: has the environment as its main focus; is environmental friendly; is environmentally neutral

Location Indicator:

the project is: urban; rural; not geographically delimited

## 13 Financial Plan

In EURO	Total
<b>Total cost of the measure</b>	3,937,713
<b>ERDF</b>	2,159,190
<b>National co-financing</b>	1,778,523
Public funds	1,615,456
Private funds	163,067

## **Priority Axis 3 / Measure 2:**

### **Micro-projects incl. People-to-People Actions and Small Pilots**

#### **1 Contents / Object of the Measure**

This measure is aimed at providing assistance for small projects in the areas of qualification and education, culture, youth, social and environmental affairs in order to promote the cross-border integration processes involving people in the border regions. The umbrella or the tool for the support of these projects are the so-called Micro-Project-Funds, which have been established by the Intermediary Bodies. The management of these Micro-Project Funds is pro-actively supported by the Regional Managements / Euregios / VÚCs. Besides these Funds as key actions within this measure also individual pilot projects in a broad range of fields are supported.

Relying on the options listed in the CIP projects with the focus in the following areas will be supported:

Support of sustainable small projects in all fields of measures such as qualification, education, women, culture, youth, social and environmental topics, cultural exchange, integration, medicine and health, dialogue-based events, including small pilots

Support of local development campaigns

#### **2 Goals**

Development networks to strengthen cross-border integration and intensify the economic, social and cultural contacts

Strengthening and promoting long-term communication and co-operation in the border region

Fostering the social and economic integration of the border regions

Intensifying the economic, cultural and social contacts

Screening of potential fields of co-operation and first contacts



**3 Final Recipients / Final Beneficiaries**

Austria	Slovakia
<p>Natural and legal persons under private and public law and partnerships under civil law</p> <p>Associations of all types</p> <p>Social, cultural, research and training facilities and organisations</p> <p>Local and regional authorities</p> <p>Enterprises</p>	<p>Associations of Legal Persons (Záujmové združenie právnických osôb) (§20 písm. f) Občianskeho zákonníka)</p> <p>Civil Associations (Občianske združenie) (zák. Č. 83/1990 Zb.)</p> <p>Foundations (Nadácia) (zák. č. 34/2002 Z. z.)</p> <p>Non-profit Organisations providing Services of Benefit to the Public (Nezisková organizácia poskytujúca všeobecne prospešné služby) (podľa zákona č. 213/1997 Z. z.)</p> <p>Non-investive funds (Neinvestičný fond) (zákon č. 147/1997 Z. z.)</p> <p>Universities (Vysoká škola) (zák. č. 131/2002 Z. z.)</p> <p>Regional School Office (Krajský školský úrad) (zák. č. 596/2003 Z. z.)</p> <p>Slovak Academy of Science (SAV) (zák. č. 133/2002 Z. z.)</p> <p>Municipalities (Obec) (zák. č. 369/1990 Zb.)</p> <p>Regional Self-Governments (Samosprávny kraj) (zák. č. 302/2001 Z. z.)</p> <p>Other Legal Persons under Public Law (e. g. Professional Organisations (Chambers – chambers constituted by individual act: e. g. Slovak Forestry Chamber, Slovak Chamber of Auditors, Slovak Tradesman Chamber, Slovak Chamber of Doctors, Slovak Chamber of Agriculture and Grocery, Slovak Chamber of Veterinary of SR and chambers constituted on the base of the act with wider object: Slovak Chamber of Architects and Slovak Chamber of Engineers)) (Iné právnické osoby verejného práva (Komory zriadené osobitným zákonom: Slovenská lesnícka komora, Slovenská komora auditorov, Slovenská komora sestier a pôrodných asistentiek, Slovenská živnostenská komora, Slovenská lekárska komora, Slovenská lekárnická komora, Slovenská komora výcvikových zariadení autoškôl, Komora kominárov Slovenska, Slovenskej živnostenská komora, Slovenská banská komora, Slovenská komora daňových poradcov, Komora geodetov a kartografov, Komora reštaurátorov, Slovenská komora psychológov, Slovenská poľnohospodárska a potravinárska komora, Slovenská komora vysokoškolsky vzdelaných zdravotníckych pracovníkov, Slovenská komora stredných zdravotníckych pracovníkov, Slovenská komora zubných technikov, Slovenská komora zubných lekárov, Komora veterinárnych lekárov Slovenskej republiky a Komory zriadené na základe zákona so širším predmetom: Slovenská komora architektov a Slovenská komora stavebných inžinierov))</p> <p>Institutions of State Administration (Orgány štátnej správy)</p> <p>State Budgetary Organizations (Rozpočtové organizácie štátu) (zák. č. 303/1995 Z. z.)</p> <p>State Budget Assisted Organisations (Príspevkové organizácie štátu) (zák. č. 303/1995 Z. z.)</p> <p>Ecclesiastical Organizations (Cirkevné organizácie) (zák. č. 308/1991)</p> <p>súkromné školy (private schools)</p> <p>rozpočtové a príspevkové organizácie obce (Budgetary Organizations of Municipality and Budget Assisted Organizations of Municipality)</p>

#### **4 Formal Criteria for EU Co-financing**

Economic and organisational capacity of the entity responsible for the project

Amount and appropriateness of the costs of the project

Secured financing, appropriateness of the ratio between own funds and public assistance (taking into account the possible EU co-financing according to the programme as well as any other national public funds applied for, already granted or promised)

Relevant project aim which are in line with sectoral policy objectives (if required, also including statements of other administrative bodies concerned)

Fulfilment of the specific requirements for assistance under INTERREG IIIA – pursuant to the CIP and the Programme Complement (see item no. 5)

Compliance with the criteria for ERDF assistance (pursuant to Council Regulation no. 1783/99) and with the Commission Regulation concerning the eligibility of operations (also Regulation no. 448/04)

Compliance with other relevant EU regulations (subsidy laws, rules for the awarding of public contracts, environmental law, etc.)

Observance of the condition that any current business of institutions within the framework of their legal mandate or any activities covered by basic subsidies cannot be funded under Interreg IIIA.

#### **5 Specific Criteria for the Project Selection**

See chapter 4 of the Programme Complement.

#### **6 Eligible Costs**

As a general rule:

Project-related material costs and operating expenses

Personnel costs

Planning costs

Project-related investment costs (e.g. construction, infrastructure, development, machinery, hard/software)

Consulting expenses

## 7 Type and Amount of EU Assistance

Austria	Slovakia
<p>Unrecoverable assistance, according to programme 50% at max.</p> <p>It has to be stated that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded.</p>	<p>Unrecoverable assistance, according to programme 75% at max., in case of projects implemented in Bratislava region 50% at max.</p> <p>In Trnava region co-financing from the state budget amounts to 20 % at max. and co-financing from the final beneficiary amounts to 5 % at min.</p> <p>In Bratislava region co-financing from the state budget is 45 % at max. and co-financing from the final beneficiary is 5 % at min.</p> <p>In cases where the final beneficiary belongs to the state resort, (state budgetary organizations, state budget assisted organizations), the whole co-financing is ensured from the state budget. It means that in such cases the co-financing from the state budget in Trnava region amounts to 25 % and co-financing from the state budget in Bratislava region amounts to 50 %.</p> <p>It has to be stated that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded.</p>

## 8 Implementation Instruments – Legal Basis

a) Legal basis for the granting of the EU funds

b) Legal basis for the granting of national funds

Austria	Slovakia
<p>a) Einzelentscheidungen der Länder Burgenland, Niederösterreich, Wien</p> <p>b) Einzelentscheidungen der Länder Burgenland, Niederösterreich, Wien Einzelentscheidungen von Gemeinden in Burgenland , Niederösterreich Allgemeine Rahmenrichtlinie für die Gewährung von Förderungen aus Bundesmitteln (BMF.-Z.01 3301/7-II/3/77 of 5 May 1977, as amended) Einzelentscheidungen des Bundes Richtlinien des Ziel 1-Programmes Burgenland as amended NÖ Kulturförderungsgesetz 1996. LGBl.5301; Richtlinien für die Förderung nach dem NÖ Kulturförderungsgesetz 1996</p>	<p>a) Decision taken by the Ministry of Construction and Regional Development, Interreg A Management Department acting as National Authority</p> <p>b) zákon č. 291/2002 Z. z. o štátnej pokladnici (Act Number 291/2002 State Treasury) as amended</p> <p>In addition the following regulations have been taken into consideration:</p> <p>Act No 39/1993 Collections on the Supreme Audit Office SR as amended</p> <p>Act No 289/1995 Collections on Value Added Tax as amended</p> <p>Act No 303/1995 Collections on Budgetary Rules as amended</p> <p>Act No 231/1999 Collections on State Aid as amended</p> <p>Act No 263/1999 Collections on Public Procurement as amended</p> <p>Act No 440/2000 Collections on Financial Control Administrations as amended by Act No 150/2001 Collections.</p> <p>Act No 502/2001 Collections on Financial Control and Internal Audit as amended</p> <p>Act No 503/2001 Collections on Regional Development Support as amended</p> <p>Act No 431/2002 Collections on Accounting</p>

## 9 Competent Authority / Contact Point for SF Grants

Austria	Slovakia
<p><b>Intermediary Bodies:</b></p> <p><b>Burgenland:</b> Regionalmanagement Burgenland GmbH. (subsidiary, the competent sector department of the Amt der Burgenländischen Landesregierung) Marktstraße 3, A-7000 Eisenstadt Phone: ++(43)(2682)-704-2424 Fax: ++(43)(2682)-704-2410 e-mail: <a href="mailto:harald.ladich@rmb.co.at">harald.ladich@rmb.co.at</a></p> <p><b>Niederösterreich:</b> Amt der Niederösterreichischen Landesregierung, Abt. RU2, Landhausplatz 1, A-3109 St. Pölten Phone: ++(43)(2742)-9005-14329 Fax: ++(43)(2742)-9005-14170 e-mail: <a href="mailto:francois-edouard.pailleron@noel.gv.at">francois-edouard.pailleron@noel.gv.at</a></p> <p><b>Wien:</b> Amt der Wiener Landesregierung, MA 27 – EU-Strategie und Wirtschaftsentwicklung, Rathaus, A-1080 Vienna Phone: ++(43)(1)-4000-82582 Fax: ++(43)(1)-4000-9982582 e-mail: <a href="mailto:sto@meu.magwien.gv.at">sto@meu.magwien.gv.at</a></p> <p>In the event of difficulties in deciding who is to be responsible, the Managing Authority (Republic of Austria, Federal Chancellery, Department IV/4, Hohenstaufengasse 3, A-1010 Vienna) shall reach a decision on the assignment of a project to a responsible authority for the co-ordination of the project.</p>	<p><b>Intermediary Bodies:</b></p> <p>Ministry of Construction and Regional Development Regional Development Support Agency Spitálska 8, 816 44 Bratislava Phone: +421-2-5975 – 3221 Fax: +421-2- 5975 – 3233 e-mail: <a href="mailto:interreg@build.gov.sk">interreg@build.gov.sk</a></p> <p>In close co-operation with the VÚC (Higher Territorial Units):</p> <p>Bratislavský samosprávny kraj Trnavská cesta 8/A, 820 05 Bratislava Tel : +421-2-55 42 31 38 Fax: +421-2-55 42 31 38 e-mail : <a href="mailto:zschneiderova@region-bsk.sk">zschneiderova@region-bsk.sk</a></p> <p>Trnavský samosprávny kraj Vajanského 2, 917 01Trnava Tel : +421-33-593 33 18 Fax: +421-33-593 33 18 e-mail : <a href="mailto:karpatyova.maria@trnava-vuc.sk">karpatyova.maria@trnava-vuc.sk</a></p> <p>The Ministry of Construction and Regional Development is in charge of financial management and contracting of Final Recipients. The samosprávne kraje (VÚCs) are in charge of all other management tasks such as launching the Calls for Proposal and providing the evaluation of project proposals. Sub-steering committees at regional level will be established.</p> <p>The Micro Project Fund belongs to the so-called 'Direct Projects' which are subject to a specific internal control procedure: the Control Department of the Regional Development Support Agency (APRR) will be responsible for these control activities. The routines are described in the Internal Manual (Interný Manuál).</p>

## 10 Code Numbers for Assistance from the Structural Funds

1644 -	
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## 11 Desired Effects on the Integration of the Border Region

<p>Establishing cross-border contacts of long-term use</p> <p>Development and implementation of innovative ideas and measures by granting funds in a non-bureaucratic manner</p> <p>Intensifying and supporting the cross-border integration process, especially in the social and cultural sphere, in particular with regard to the people and at a sub-regional level</p> <p>Sustainable development of the border region</p>
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## 12 Indicators for Monitoring and Evaluation

Impact Indicators:

Reduction of mental barriers through the creation of a sustainable and broad co-operation base

Creation of sustainable co-operation structures

Development of new topics such as the first testing of new forms of collaboration in the meaning of pilot projects

Contribution to strategic project development

Output Indicators:

Number of Micro projects incl. people to people actions and small pilots

Cooperation Indicators:

5 phases of cross-border cooperation; planned / achieved

Gender mainstreaming indicators:

the project: has equality between the sexes as its main focus, is positive in terms of male-female equality or is neutral in terms of such equality

Environmental Indicator:

the project: has the environment as its main focus; is environmental friendly; is environmentally neutral

Location Indicator:

the project is: urban; rural; not geographically delimited

## 13 Financial Plan

In EURO	Total
<b>Total cost of the measure</b>	3,228,666
<b>ERDF</b>	1,899,000
<b>National co-financing</b>	1,329,666
Public funds	789,733
Private funds	539,933

## **Priority Axis 4 / Measure 1:**

### **Development of Regional Labour Markets within the Context of EU Enlargement**

#### **1 Contents / Object of the Measure**

The emergence and development of cross-border labour market relations are shaped by the economic structures of the regional economies. Trends specific to the dominating economic sectors and branches, national regulations are major general factors in this process. The main characteristic of border regions is, that the border – in some cases – marks quite sharp disparities in terms of socio-economic development and welfare between the two countries involved. Since in particular wage levels differ considerably between Slovakia and Austria, significant cross-border migration and commuting patterns exist.. The overall objective of this measure is to overcome the negative effects of the imbalance, i.e. in particular the risk of brain drain on one side of the border and the threat of social tensions on the other side. The projects should assist to develop an integrated regional labour market on both sides of the border in order to reduce unemployment.

Based on the options listed in the CIP projects with the focus in the following areas will be supported:

Establishment of a joint labour-market monitoring and information system (e.g. labour market policy, statistics)

Development and intensification of co-operation and networking of the regional actors and social partners and activities for know-how transfer

Information on the legal framework concerning labour-market issues (e.g. social policy, unemployment regulations, safety provisions for workers, ....)

Development of regional cross-border "employment-creation alliances"

Studies and analyses

Development of regulatory systems in order to establish socially integrated cross-border labour market relations

Development of job opportunities close to the regional core competencies (e.g. tourism, new media and IT, social services, technical skilled workers, highly qualified persons with specific "east-know-how")

Information and special events (e.g. manuals)

Establishment of EURES partnership

#### **2 Goals**

Cross-border information and know-how transfer (e.g. on labour-market situation, national and regional labour-market strategies, social standards...)

Intensification of co-operation between the labour-market institutions and to profit from synergetic effects through co-operation

Strengthening of local (labour-market policy) actors

Activities to reduce the obstacles in the different legal systems and information transfer in the social sphere

Support of creation of job opportunities for persons with lower educational and/or qualification degree

### 3 Final Recipients / Final Beneficiaries

Austria	Slovakia
<p>Local and regional authorities</p> <p>Natural and legal persons under private and public law and partnerships under civil law</p> <p>Associations of all types</p> <p>Institutions of labour-market administration</p> <p>Regional management organisations</p> <p>Funds</p> <p>Interest representation associations</p> <p>Counselling facilities</p> <p>Consultants</p> <p>Enterprises</p> <p>Project management organisations</p>	<p>Associations of Legal Persons (Záujmové združenie právnických osôb) (§20 písm. f) Občianskeho zákonníka)</p> <p>Civil Associations (Občianske združenie) (zák. č. 83/1990 Zb.)</p> <p>Foundations (Nadácia) (zák. č. 34/2002 Z. z.)</p> <p>Non-profit Organisations providing Services of Benefit to the Public (Nezisková organizácia poskytujúca všeobecne prospešné služby) (podľa zákona č. 213/1997 Z. z.)</p> <p>Non-investive funds (Neinvestičný fond) (zákon č. 147/1997 Z. z.)</p> <p>Universities (Vysoká škola) (zák. č. 131/2002 Z. z.)</p> <p>Regional School Office (Krajský školský úrad) (zák. č. 596/2003 Z. z.)</p> <p>Slovak Academy of Science (SAV) (zák. č. 133/2002 Z. z.)</p> <p>Municipalities (Obec) (zák. č. 369/1990 Zb.)</p> <p>Regional Self-Governments (Samosprávny kraj) (zák. č. 302/2001 Z. z.)</p> <p>Other Legal Persons under Public Law (e. g. Professional Organisations (Chambers – chambers constituted by individual act: e. g. Slovak Forestry Chamber, Slovak Chamber of Auditors, Slovak Tradesman Chamber, Slovak Chamber of Doctors, Slovak Chamber of Agriculture and Grocery, Slovak Chamber of Veterinary of SR and chambers constituted on the base of the act with wider object: Slovak Chamber of Architects and Slovak Chamber of Engineers)) (Iné právnické osoby verejného práva (Komory zriadené osobitným zákonom: Slovenská lesnícka komora, Slovenská komora auditorov, Slovenská komora sestier a pôrodných asistentiek, Slovenská živnostenská komora, Slovenská lekárska komora, Slovenská lekárnická komora, Slovenská komora výcvikových zariadení autoškôl, Komora kominárov Slovenska, Slovenskej živnostenská komora, Slovenská banská komora, Slovenská komora daňových poradcov, Komora geodetov a kartografov, Komora reštaurátorov, Slovenská komora psychológov, Slovenská poľnohospodárska a potravinárska komora, Slovenská komora vysokoškolsky vzdelaných zdravotníckych pracovníkov, Slovenská komora stredných zdravotníckych pracovníkov, Slovenská komora zubných technikov, Slovenská komora zubných lekárov, Komora veterinárnych lekárov Slovenskej republiky a Komory zriadené na základe zákona so širším predmetom: Slovenská komora architektov a Slovenská komora stavebných inžinierov))</p> <p>Institutions of State Administration (Orgány štátnej správy)</p> <p>State Budgetary Organisations (Rozpočtové organizácie štátu) (zák. č. 303/1995 Z. z.)</p> <p>State</p> <p>State Budget Assisted Organisations (Príspevkové organizácie štátu) (zák. č. 303/1995 Z. z.)</p> <p>Ecclesiastical Organizations (Cirkevné organizácie) (zák. č. 308/1991)</p> <p>súkromné školy (private schools)</p> <p>rozpočtové a príspevkové organizácie obce (Budgetary Organizations of Municipality and Budget Assisted Organizations of Municipality)</p>

### 4 Formal Criteria for EU Co-financing



Economic and organisational capacity of the entity responsible for the project

Amount and appropriateness of the costs of the project

Secured financing, appropriateness of the ratio between own funds and public assistance (taking into account the possible EU co-financing according to the programme as well as any other national public funds applied for, already granted or promised)

Relevant project aim which are in line with sectoral policy objectives (if required, also including statements of other administrative bodies concerned)

Fulfillment of the specific requirements for assistance under INTERREG IIIA – pursuant to the CIP and the Programme Complement (see item no. 5)

Compliance with the criteria for ERDF assistance (pursuant to Council Regulation no. 1783/99) and with the Commission Regulation concerning the eligibility of operations (also Regulation no. 448/04)

Compliance with other relevant EU regulations (subsidy laws, rules for the awarding of public contracts, environmental law, etc.)

Observance of the condition that any current business of institutions within the framework of their legal mandate or any activities covered by basic subsidies cannot be funded under Interreg IIIA.

## **5 Specific Criteria for the Project Selection**

See chapter 4 of the Programme Complement.

## **6 Eligible Costs**

As a general rule:

Project-related material costs and operating expenses

Personnel costs

Planning costs

Project-related investment costs (e.g. construction, infrastructure, development, machinery, hard/software)

Consulting expenses

## 7 Type and Amount of EU Assistance

Austria	Slovakia
<p>Unrecoverable assistance, according to programme 50% at max.</p> <p>It has to be stated that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded.</p>	<p>Unrecoverable assistance, according to programme 75% at max., in case of projects implemented in Bratislava region 50% at max.</p> <p>In Trnava region co-financing from the state budget amounts to 20 % at max. and co-financing from the final beneficiary amounts to 5 % at min.</p> <p>In Bratislava region co-financing from the state budget is 45 % at max. and co-financing from the final beneficiary is 5 % at min.</p> <p>In cases where the final beneficiary belongs to the state resort, (state budgetary organizations, state budget assisted organizations), the whole co-financing is ensured from the state budget. It means that in such cases the co-financing from the state budget in Trnava region amounts to 25 % and co-financing from the state budget in Bratislava region amounts to 50 %.</p> <p>It has to be stated that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded.</p>

## 8 Implementation Instruments – Legal Basis

a) Legal basis for the granting of the EU funds

b) Legal basis for the granting of national funds

Austria	Slovakia
<p>a) Einzelentscheidungen der Länder Burgenland, Niederösterreich, Wien</p> <p>b) Einzelentscheidungen der Länder Burgenland, Niederösterreich, Wien Einzelentscheidungen von Gemeinden in Burgenland , Niederösterreich Allgemeine Rahmenrichtlinie für die Gewährung von Förderungen aus Bundesmitteln (BMF.-Z.01 3301/7-II/3/77 of 5 May 1977, as amended) Einzelentscheidungen des Bundes Richtlinien des Ziel 1-Programmes Burgenland as amended</p>	<p>a) Decision taken by the Ministry of Construction and Regional Development, Interreg A Management Department acting as National Authority</p> <p>b) zákon č. 291/2002 Z. z. o štátnej pokladnici (Act Number 291/2002 State Treasury) as amended</p> <p>In addition the following regulations have been taken into consideration:</p> <p>Act No 39/1993 Collections on the Supreme Audit Office SR as amended</p> <p>Act No 289/1995 Collections on Value Added Tax as amended</p> <p>Act No 303/1995 Collections on Budgetary Rules as amended</p> <p>Act No 231/1999 Collections on State Aid as amended</p> <p>Act No 263/1999 Collections on Public Procurement as amended</p> <p>Act No 440/2000 Collections on Financial Control Administrations as amended by Act No 150/2001 Collections.</p> <p>Act No 502/2001 Collections on Financial Control and Internal Audit as amended</p> <p>Act No 503/2001 Collections on Regional Development Support as amended</p> <p>Act No 431/2002 Collections on Accounting</p>

## 9 Competent Authority / Contact Point for SF Grants

Austria	Slovakia
<p><b>Intermediary Bodies:</b></p> <p><b>Burgenland:</b> Regionalmanagement Burgenland GmbH. (subsidiary, the competent sector department of the Amt der Burgenländischen Landesregierung) Marktstraße 3, A-7000 Eisenstadt Phone: ++(43)(2682)-704-2424 Fax: ++(43)(2682)-704-2410 e-mail: <a href="mailto:harald.ladich@rmb.co.at">harald.ladich@rmb.co.at</a></p> <p><b>Niederösterreich:</b> Amt der Niederösterreichischen Landesregierung, Abt. RU2, Landhausplatz 1, A-3109 St. Pölten Phone: ++(43)(2742)-9005-14329 Fax: ++(43)(2742)-9005-14170 e-mail: <a href="mailto:francois-edouard.pailleron@noel.gv.at">francois-edouard.pailleron@noel.gv.at</a></p> <p><b>Wien:</b> Amt der Wiener Landesregierung, MA 27 – EU-Strategie und Wirtschaftsentwicklung, Rathaus, A-1080 Vienna Phone: ++(43)(1)-4000-82582 Fax: ++(43)(1)-4000-9982582 e-mail: <a href="mailto:sto@meu.magwien.gv.at">sto@meu.magwien.gv.at</a></p> <p>In the event of difficulties in deciding who is to be responsible, the Managing Authority (Republic of Austria, Federal Chancellery, Department IV/4, Hohenstaufengasse 3, A-1010 Vienna) shall reach a decision on the assignment of a project to a responsible authority for the co-ordination of the project.</p>	<p><b>Intermediary Bodies:</b></p> <p>Ministry of Construction and Regional Development Regional Development Support Agency Spitálska 8, 816 44 Bratislava Phone: +421-2-5975 – 3221 Fax: +421-2- 5975 – 3233 e-mail: <a href="mailto:interreg@build.gov.sk">interreg@build.gov.sk</a></p> <p>In close co-operation with the VÚC (Higher Territorial Units):</p> <p>Bratislavský samosprávny kraj Trnavská cesta 8/A, 820 05 Bratislava Tel : +421-2-55 42 31 38 Fax: +421-2-55 42 31 38 e-mail : <a href="mailto:zschneiderova@region-bsk.sk">zschneiderova@region-bsk.sk</a></p> <p>Trnavský samosprávny kraj Vajanského 2, 917 01Trnava Tel : +421-33-593 33 18 Fax: +421-33-593 33 18 e-mail : <a href="mailto:karpatyova.maria@trnava-vuc.sk">karpatyova.maria@trnava-vuc.sk</a></p>

## 10 Code Numbers for Assistance from the Structural Funds

21 – labour market policy  
 22 – social inclusion  
 24 – workforce flexibility, entrepreneurial activity, innovation, information and communication technologies (persons, firms)  
 323 – services and applications for the citizen (health, administration, education)  
 361 –  
 362 -

## 11 Desired Effects on the Integration of the Border Region

Overcoming of the negative effects resulting from the disparities between the border regions  
 Establishment of joint institutions and information systems as a prerequisite for the development of a cross-border integrated labour market  
 Creation of a cross-border integrated labour market  
 Development of cross-border "employment-creation alliances"  
 Labour-market-related exchange of experience and know-how transfer on both sides of the border

## 12 Indicators for Monitoring and Evaluation

**Impact Indicators:**

Reduction of organisational and legal barriers, and of information deficits

Building up and intensification of collaboration between the labour market and social policy institutions as well as social partners

Development of new, cross-border-adjusted occupational fields and qualifications

Contribution to the development of instruments and cross-border labour market and social policy/development and observation

Development of new job opportunities (creation of regional centres of core competencies)

**Output Indicators:**

Number of projects supporting studies, information systems etc. dealing with labour market policy or social integration

Number of cooperation projects, networks of SMEs or public administration dealing with labour market policy or social integration

Number of vocational training or training projects; number of trainees

Number of projects providing IT services and applications for citizens (health, administration, education)

Number of centres for disabled people supported

Number of kindergartens supported

**Cooperation Indicators:**

5 phases of crossborder cooperation; planned / achieved

**Gender mainstreaming indicators:**

the project: has equality between the sexes as its main focus, is positive in terms of male-female equality or is neutral in terms of such equality

**Environmental Indicator:**

the project: has the environment as its main focus; is environmental friendly; is environmentally neutral

**Location Indicator:**

the project is: urban; rural; not geographically delimited

## 13 Financial Plan

In EURO	Total
<b>Total cost of the measure</b>	2,325,334
<b>ERDF</b>	1,258,000
<b>National co-financing</b>	1,067,334
Public funds	1,048,267
Private funds	19,067

## **Priority Axis 4 / Measure 2:**

### **Development of Co-operation and Infrastructure in the Fields of Education, Training and Science**

#### **1 Contents / Object of the Measure**

With two capital cities in short distance and a broad range of infrastructural assets the region has excellent pre-conditions to become an important player at European level. Existing institutions and organisations in the field of education, qualification and research are a sound foundation to develop pilot projects in a broad range of sectors, which in turn should lead to intense cross-border cooperation in these fields. The objective of the measure is to improve the infrastructure; the education and qualification supply in order to prepare the border region for the challenges of the competition of regions in an enlarged Europe. It is important that the Vienna-Bratislava region develops its manifold potentials and to strengthens its position as an innovative regional economy.

<p>Based on the options listed in the CIP projects with the focus in the following areas will be supported:</p> <p>Development and implementation of joint education, further education and qualification programmes with relevance to the regional development and the cross-border strategy (e.g. environment, tourism, new media, social services, languages courses, civil protection/defence, etc.)</p> <p>Implementation and improvement of the education, further education and qualification and research infrastructure (e.g. civil protection/defence)</p> <p>Implementation of cross-border information services and information centres concerned with educational and qualification offers</p> <p>Support programmes for the establishment of vocational and re-qualification centres</p> <p>Exchange programmes on an institutional level</p> <p>Development of multi-lingual materials for teaching</p> <p>Elaboration and implementation of studies and analyses in the fields of education, further education and qualification, science and research development</p> <p>Development of reference systems for education and qualification</p> <p>Promotion of cross-border projects organised by and for minorities (exchange, support, qualification and research projects) as well as support to marginalised groups</p> <p>Creation of joint counselling structures</p> <p>Establishment of networks, organisation of seminars, special events and platforms for dialogue</p> <p>Public relations work</p>
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#### **2 Goals**

<p>Co-ordination in the field of education, further education and qualification, and information (e.g. developing joint offers)</p> <p>Preparation of the region for the enlargement of the EU in education, further education and training</p> <p>Harmonisation of labour-force profile (qualification level) with conditions and requirements of the EU countries</p> <p>Intensification of co-operation between institutions of education and qualification (schools, institutions of adult education...)</p> <p>Strengthening the research exchange</p>
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**3 Final Recipients / Final Beneficiaries**

Austria	Slovakia
<p>Local and regional authorities</p> <p>Natural and legal persons under private and public law and partnerships under civil law</p> <p>Associations of all types</p> <p>Educational, training and qualification facilities</p> <p>Counselling organisations</p> <p>Consultants</p> <p>Funds</p> <p>Enterprises</p> <p>Project management organisations</p>	<p>Associations of Legal Persons (Záujmové združenie právnických osôb) (§20 písm. f) Občianskeho zákonníka)</p> <p>Civil Associations (Občianske združenie) (zák. Č. 83/1990 Zb.)</p> <p>Foundations (Nadácia) (zák. č. 34/2002 Z. z.)</p> <p>Non-profit Organisations providing Services of Benefit to the Public (Nezisková organizácia poskytujúca všeobecne prospešné služby) (podľa zákona č. 213/1997 Z. z.)</p> <p>Non-investive funds (Neinvestičný fond) (zákon č. 147/1997 Z. z.)</p> <p>Universities (Vysoká škola) (zák. č. 131/2002 Z. z.)</p> <p>Regional School Office (Krajský školský úrad) (zák. č. 596/2003 Z. z.)</p> <p>Slovak Academy of Science (SAV) (zák. č. 133/2002 Z. z.)</p> <p>Municipalities (Obec) (zák. č. 369/1990 Zb.)</p> <p>Regional Self-Governments (Samosprávny kraj) (zák. č. 302/2001 Z. z.)</p> <p>Other Legal Persons under Public Law (e. g. Professional Organisations (Chambers – chambers constituted by individual act: e. g. Slovak Forestry Chamber, Slovak Chamber of Auditors, Slovak Tradesman Chamber, Slovak Chamber of Doctors, Slovak Chamber of Agriculture and Grocery, Slovak Chamber of Veterinary of SR and chambers constituted on the base of the act with wider object: Slovak Chamber of Architects and Slovak Chamber of Engineers)) (Iné právnické osoby verejného práva (Komory zriadené osobitným zákonom: Slovenská lesnícka komora, Slovenská komora auditorov, Slovenská komora sestier a pôrodných asistentiek, Slovenská živnostenská komora, Slovenská lekárska komora, Slovenská lekárnická komora, Slovenská komora výchovných zariadení autoškôl, Komora kominárov Slovenska, Slovenskej živnostenská komora, Slovenská banská komora, Slovenská komora daňových poradcov, Komora geodetov a kartografov, Komora reštaurátorov, Slovenská komora psychológov, Slovenská poľnohospodárska a potravinárska komora, Slovenská komora vysokoškolsky vzdelaných zdravotníckych pracovníkov, Slovenská komora stredných zdravotníckych pracovníkov, Slovenská komora zubných technikov, Slovenská komora zubných lekárov, Komora veterinárnych lekárov Slovenskej republiky a Komory zriadené na základe zákona so širším predmetom: Slovenská komora architektov a Slovenská komora stavebných inžinierov))</p> <p>Institutions of State Administration (Orgány štátnej správy)</p> <p>State Budgetary Organizations (Rozpočtové organizácie štátu) (zák. č. 303/1995 Z. z.)</p> <p>State Budget Assisted Organisations (Príspevkové organizácie štátu) (zák. č. 303/1995 Z. z.)</p> <p>Ecclesiastical Organizations (Cirkevné organizácie) (zák. č. 308/1991)</p> <p>súkromné školy (private schools)</p> <p>rozpočtové a príspevkové organizácie obce (Budgetary Organizations of Municipality and Budget Assisted Organizations of Municipality)</p>

#### **4 Formal Criteria for EU Co-financing**

Economic and organisational capacity of the entity responsible for the project

Amount and appropriateness of the costs of the project

Secured financing, appropriateness of the ratio between own funds and public assistance (taking into account the possible EU co-financing according to the programme as well as any other national public funds applied for, already granted or promised)

Relevant project aim which are in line with sectoral policy objectives (if required, also including statements of other administrative bodies concerned)

Fulfilment of the specific requirements for assistance under INTERREG IIIA – pursuant to the CIP and the Programme Complement (see item no. 5)

Compliance with the criteria for ERDF assistance (pursuant to Council Regulation no. 1783/99) and with the Commission Regulation concerning the eligibility of operations (also Regulation no. 448/04)

Compliance with other relevant EU regulations (subsidy laws, rules for the awarding of public contracts, environmental law, etc.)

Observance of the condition that any current business of institutions within the framework of their legal mandate or any activities covered by basic subsidies cannot be funded under Interreg IIIA.

#### **5 Specific Criteria for the Project Selection**

See chapter 4 of the Programme Complement.

#### **6 Eligible Costs**

As a general rule:

Project-related material costs and operating expenses

Personnel costs

Planning costs

Project-related investment costs (e.g. construction, infrastructure, development, machinery, hard/software)

Consulting expenses



## 7 Type and Amount of EU Assistance

Austria	Slovakia
<p>Unrecoverable assistance, according to programme 50% at max.</p> <p>It has to be stated that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded.</p>	<p>Unrecoverable assistance, according to programme 75% at max., in case of projects implemented in Bratislava region 50% at max.</p> <p>In Trnava region co-financing from the state budget amounts to 20 % at max. and co-financing from the final beneficiary amounts to 5 % at min.</p> <p>In Bratislava region co-financing from the state budget is 45 % at max. and co-financing from the final beneficiary is 5 % at min.</p> <p>In cases where the final beneficiary belongs to the state resort, (state budgetary organizations, state budget assisted organizations), the whole co-financing is ensured from the state budget. It means that in such cases the co-financing from the state budget in Trnava region amounts to 25 % and co-financing from the state budget in Bratislava region amounts to 50 %.</p> <p>It has to be stated that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded.</p>

## 8 Implementation Instruments – Legal Basis

a) Legal basis for the granting of the EU funds

b) Legal basis for the granting of national funds

Austria	Slovakia
<p>a) Einzelentscheidungen der Länder Burgenland, Niederösterreich, Wien</p> <p>b) Einzelentscheidungen der Länder Burgenland, Niederösterreich, Wien Einzelentscheidungen von Gemeinden in Burgenland , Niederösterreich Allgemeine Rahmenrichtlinie für die Gewährung von Förderungen aus Bundesmitteln (BMF.-Z.01 3301/7-II/3/77 of 5 May 1977, as amended) Einzelentscheidungen des Bundes Richtlinien des Ziel 1-Programmes Burgenland as amended NÖ Kulturförderungsgesetz 1996. LGBl.5301; Richtlinien für die Förderung nach dem NÖ Kulturförderungsgesetz 1996 Gesetz über die Förderung der Erwachsenenbildung und des Volksbüchereiwesens aus Landesmitteln, LGBl.5300</p>	<p>a) Decision taken by the Ministry of Construction and Regional Development, Interreg A Management Department acting as National Authority</p> <p>b) zákon č. 291/2002 Z. z. o štátnej pokladnici (Act Number 291/2002 State Treasury) as amended</p> <p>In addition the following regulations have been taken into consideration:</p> <p>Act No 39/1993 Collections on the Supreme Audit Office SR as amended</p> <p>Act No 289/1995 Collections on Value Added Tax as amended</p> <p>Act No 303/1995 Collections on Budgetary Rules as amended</p> <p>Act No 231/1999 Collections on State Aid as amended</p> <p>Act No 263/1999 Collections on Public Procurement as amended</p> <p>Act No 440/2000 Collections on Financial Control Administrations as amended by Act No 150/2001 Collections.</p> <p>Act No 502/2001 Collections on Financial Control and Internal Audit as amended</p> <p>Act No 503/2001 Collections on Regional Development Support as amended</p> <p>Act No 431/2002 Collections on Accounting</p>

## 9 Competent Authority / Contact Point for SF Grants

Austria	Slovakia
<p><b>Intermediary Bodies:</b></p> <p><b>Burgenland:</b> Regionalmanagement Burgenland GmbH. (subsidiary, the competent sector department of the Amt der Burgenländischen Landesregierung) Marktstraße 3, A-7000 Eisenstadt Phone: ++(43)(2682)-704-2424 Fax: ++(43)(2682)-704-2410 e-mail: <a href="mailto:harald.ladich@rmb.co.at">harald.ladich@rmb.co.at</a></p> <p><b>Niederösterreich:</b> Amt der Niederösterreichischen Landesregierung, Abt. RU2, Landhausplatz 1, A-3109 St. Pölten Phone: ++(43)(2742)-9005-14329 Fax: ++(43)(2742)-9005-14170 e-mail: <a href="mailto:francois-edouard.pailleron@noel.gv.at">francois-edouard.pailleron@noel.gv.at</a></p> <p><b>Wien:</b> Amt der Wiener Landesregierung, MA 27 – EU-Strategie und Wirtschaftsentwicklung, Rathaus, A-1080 Vienna Phone: ++(43)(1)-4000-82582 Fax: ++(43)(1)-4000-9982582 e-mail: <a href="mailto:sto@meu.magwien.gv.at">sto@meu.magwien.gv.at</a></p> <p>In the event of difficulties in deciding who is to be responsible, the Managing Authority (Republic of Austria, Federal Chancellery, Department IV/4, Hohenstaufengasse 3, A-1010 Vienna) shall reach a decision on the assignment of a project to a responsible authority for the co-ordination of the project.</p>	<p><b>Intermediary Bodies:</b></p> <p>Ministry of Construction and Regional Development Regional Development Support Agency Spitálska 8, 816 44 Bratislava Phone: +421-2-5975 – 3221 Fax: +421-2- 5975 – 3233 e-mail: <a href="mailto:interreg@build.gov.sk">interreg@build.gov.sk</a></p> <p>In close co-operation with the VÚC (Higher Territorial Units):</p> <p>Bratislavský samosprávny kraj Trnavská cesta 8/A, 820 05 Bratislava Tel : +421-2-55 42 31 38 Fax: +421-2-55 42 31 38 e-mail : <a href="mailto:zschneiderova@region-bsk.sk">zschneiderova@region-bsk.sk</a></p> <p>Trnavský samosprávny kraj Vajanského 2, 917 01Trnava Tel : +421-33-593 33 18 Fax: +421-33-593 33 18 e-mail : <a href="mailto:karpatyova.maria@trnava-vuc.sk">karpatyova.maria@trnava-vuc.sk</a></p>

## 10 Code Numbers for Assistance from the Structural Funds

- 23 – developing educational and vocational training not linked to a specific sector (persons, firms)
- 24 – workforce flexibility, entrepreneurial activity, innovation, information and communication technologies (persons, firms)
- 181 – research projects based on in universities and research institutes
- 324 – services and applications for SMEs (electronic commerce and transactions, education and training, networking)
- 323 – services and applications for the citizen (health, administration, education)

## 11 Desired Effects on the Integration of the Border Region

- Improvement of the infrastructure, enhancing, expanding and co-ordinating cross-border educational and qualification offers, tuned to regional needs
- Preparation of the border region for the EU enlargement process and the necessary preconditions for a convergence of the region
- Intensified cross-border co-operation in the field of research

## 12 Indicators for Monitoring and Evaluation

Impact Indicators:

Ongoing collaboration and exchange of information between education and science institutions, creation of co-operation structures

Development/implementation of education and qualification modules with a cross-border focus and multi-/dual lingual educational programmes and teaching materials

Intensification of scientific co-operation and exchange

Contribution to the development of reference systems for education and qualification

Output Indicators:

Number of vocational training or training projects (information society); number of trainees

Number of projects providing IT services and applications for citizens (health, administration, education)

Cooperation Indicators:

5 phases of cross-border cooperation; planned / achieved

Gender mainstreaming indicators:

the project: has equality between the sexes as its main focus, is positive in terms of male-female equality or is neutral in terms of such equality

Environmental Indicator:

the project: has the environment as its main focus; is environmental friendly; is environmentally neutral

Location Indicator:

the project is: urban; rural; not geographically delimited

## 13 Financial Plan

In EURO	Total
<b>Total cost of the measure</b>	6,631,106
<b>ERDF</b>	3,537,553
<b>National co-financing</b>	3,093,553
Public funds	2,869,153
Private funds	224,400

## **Priority Axis 5 / Measure 1:**

### **Resource management, Technical Infrastructure and Renewable Energy Supply**

#### **1 Contents / Object of the Measure**

The general objective of this measure is to support the efficient and careful use of the natural resources as well as to support the upgrading of small technical infrastructure and the alternative energy supply. With Vienna and Bratislava as two large cities and thus also major pollution sources resource management in the fields of water, air, soil is particularly important. With regard to basic technical infrastructure parts of the joint cross-border region reveal considerable investment needs. It is evident that under this programme financing of large-scale infrastructure cannot be envisaged, but the focus should be on innovative concepts and pilot investments with significant cross-border impacts. Cross-border concepts for the management of wastewater, know-how transfer and cooperation in waste separation and recycling should contribute to a more sustainable development path of the region.

Based on the options listed in the CIP projects with the focus in the following areas will be supported:

Studies and concepts (environmental planning), environmental monitoring and information systems, ecological research, e.g. concerning air, water, soil, energy

Supported development of environmentally friendly technologies and methods

Actions in the fields of water and waste-water management (pilots, cross-border concepts and infrastructure, feasibility studies, etc., e.g. improvement of the sewage and waste disposal systems)

Rehabilitation of contaminated sites

Facilities of technical infrastructure (water equipment, sewage, gas, energy and waste facilities)

Public relations work and enhanced public awareness

Setting up of a fund for environment and technology for enterprises acting across the border in the field of environmental protection, energy efficiency and renewable energy resources

#### **2 Goals**

Intensified utilisation of renewable energy

Protection of the environment and the natural resources

Optimal use of the existing resources

Reduction of negative impacts on the environment

Developed technical infrastructure to support sustainable development

Strengthening and support of enterprises acting across the border in the field of environmental protection, energy efficiency and renewable energy resources and sustainable improvement of the environmental situation in the border region

### 3 Final Recipients / Final Beneficiaries

Austria	Slovakia
<p>Local and regional authorities</p> <p>Interest groups</p> <p>Regional associations</p> <p>Water management associations</p> <p>Waste management associations</p> <p>Energy supply services</p> <p>Regional energy agencies</p> <p>Associations</p> <p>Enterprises</p> <p>Research institutions</p> <p>Other operators of technical infrastructure facilities</p> <p>Natural and legal persons under private and public law and partnerships under civil law</p> <p>Water co-operatives</p> <p>Funds</p>	<p>Associations of Legal Persons (Záujmové združenie právnických osôb) (§20 písm. f) Občianskeho zákonníka)</p> <p>Civil Associations (Občianske združenie) (zák. Č. 83/1990 Zb.)</p> <p>Foundations (Nadácia) (zák. č. 34/2002 Z. z.)</p> <p>Non-profit Organisations providing Services of Benefit to the Public (Nezisková organizácia poskytujúca všeobecne prospešné služby) (podľa zákona č213/1997 Z. z.)</p> <p>Non-investive funds (Neinvestičný fond) (zákon č. 147/1997 Z. z.)</p> <p>Universities (Vysoká škola) (zák. č. 131/2002 Z. z.)</p> <p>Regional School Office (Krajský školský úrad) (zák. č. 596/2003 Z. z.)</p> <p>Slovak Academy of Science (SAV) (zák. č. 133/2002 Z. z.)</p> <p>Municipalities (Obec) (zák. č. 369/1990 Zb.)</p> <p>Regional Self-Governments (Samosprávny kraj) (zák. č. 302/2001 Z. z.)</p> <p>Other Legal Persons under Public Law (e. g. Professional Organisations (Chambers – chambers constituted by individual act: e. g. Slovak Forestry Chamber, Slovak Chamber of Auditors, Slovak Tradesman Chamber, Slovak Chamber of Doctors, Slovak Chamber of Agriculture and Grocery, Slovak Chamber of Veterinary of SR and chambers constituted on the base of the act with wider object: Slovak Chamber of Architects and Slovak Chamber of Engineers)) (Iné právnické osoby verejného práva (Komory zriadené osobitným zákonom: Slovenská lesnícka komora, Slovenská komora auditorov, Slovenská komora sestier a pôrodných asistentiek, Slovenská živnostenská komora, Slovenská lekárska komora, Slovenská lekárnická komora, Slovenská komora výcvikových zariadení autoškôl, Komora kominárov Slovenska, Slovenskej živnostenská komora, Slovenská banská komora, Slovenská komora daňových poradcov, Komora geodetov a kartografov, Komora reštaurátorov, Slovenská komora psychológov, Slovenská poľnohospodárska a potravinárska komora, Slovenská komora vysokoškolsky vzdelaných zdravotníckych pracovníkov, Slovenská komora stredných zdravotníckych pracovníkov, Slovenská komora zubných technikov, Slovenská komora zubných lekárov, Komora veterinárnych lekárov Slovenskej republiky a Komory zriadené na základe zákona so širším predmetom: Slovenská komora architektov a Slovenská komora stavebných inžinierov))</p> <p>Institutions of State Administration (Orgány štátnej správy)</p> <p>State Budgetary Organizations (Rozpočtové organizácie štátu) (zák. č. 303/1995 Z. z.)</p> <p>State Budget Assisted Organisations (Príspevkové organizácie štátu) (zák. č. 303/1995 Z. z.)</p> <p>súkromné školy (private schools)</p> <p>rozpočtové a príspevkové organizácie obce (Budgetary Organizations of Municipality and Budget Assisted Organizations of Municipality)</p>

#### **4 Formal Criteria for EU Co-financing**

Economic and organisational capacity of the entity responsible for the project

Amount and appropriateness of the costs of the project

Secured financing, appropriateness of the ratio between own funds and public assistance (taking into account the possible EU co-financing according to the programme as well as any other national public funds applied for, already granted or promised)

Relevant project aim which are in line with sectoral policy objectives (if required, also including statements of other administrative bodies concerned)

Fulfilment of the specific requirements for assistance under INTERREG IIIA – pursuant to the CIP and the Programme Complement (see item no. 5)

Compliance with the criteria for ERDF assistance (pursuant to Council Regulation no. 1783/99) and with the Commission Regulation concerning the eligibility of operations (also Regulation no. 448/04)

Compliance with other relevant EU regulations (subsidy laws, rules for the awarding of public contracts, environmental law, etc.)

Observance of the condition that any current business of institutions within the framework of their legal mandate or any activities covered by basic subsidies cannot be funded under Interreg IIIA.

#### **5 Specific Criteria for the Project Selection**

See chapter 4 of the Programme Complement.

#### **6 Eligible Costs**

As a general rule:

Project-related material costs and operating expenses

Personnel costs

Planning costs

Project-related investment costs (e.g. construction, infrastructure, development, machinery, hard/software)

Consulting expenses

**7 Type and Amount of EU Assistance**

Austria	Slovakia
<p>Unrecoverable assistance, according to programme 50% at max.</p> <p>It has to be stated that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded.</p>	<p>Unrecoverable assistance, according to programme 75% at max., in case of projects implemented in Bratislava region 50% at max.</p> <p>In Trnava region co-financing from the state budget amounts to 20 % at max. and co-financing from the final beneficiary amounts to 5 % at min.</p> <p>In Bratislava region co-financing from the state budget is 45 % at max. and co-financing from the final beneficiary is 5 % at min.</p> <p>In cases where the final beneficiary belongs to the state resort, (state budgetary organizations, state budget assisted organizations), the whole co-financing is ensured from the state budget. It means that in such cases the co-financing from the state budget in Trnava region amounts to 25 % and co-financing from the state budget in Bratislava region amounts to 50 %.</p> <p>It has to be stated that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded.</p>



## 8 Implementation Instruments – Legal Basis

a) Legal basis for the granting of the EU funds

b) Legal basis for the granting of national funds

Austria	Slovakia
<p>a) Einzelentscheidungen der Länder Burgenland, Niederösterreich, Wien</p> <p>b) Einzelentscheidungen der Länder Burgenland, Niederösterreich, Wien Einzelentscheidungen von Gemeinden in Burgenland , Niederösterreich</p> <p>Allgemeine Rahmenrichtlinie für die Gewährung von Förderungen aus Bundesmitteln (BMF.-Z.01 3301/7-II/3/77 of 5 May 1977, as amended)</p> <p>Einzelentscheidungen des Bundes</p> <p>Öko Business Plan der Stadt Wien (Zuschüsse, de-minimis)</p> <p>Innovations- und Technologieförderung der Stadt Wien (Zuschüsse, notifiziert FTE)</p> <p>Umwelt- und Technologieförderungen der Stadt Wien (Zuschüsse, duration as from 1.3.2001 until revoked, de-minimis)</p> <p>Richtlinien des Ziel 1-Programmes Burgenland as amended</p> <p>Einzelentscheidung</p> <ul style="list-style-type: none"> <li>- Fernwärmeförderungsgesetz, Bundesgesetzblatt 640/82 in der dzt. Gel. F.</li> <li>- Wasserbautenförderungsgesetz 1985, Bundesgesetzblatt 148/1985 in der dzt. gel. F.</li> <li>- Wasserrechtsgesetz 1959 1959/215 in der dzt. gel. F.</li> </ul> <p>Richtlinien für die Bundeswasserbauverwaltung</p>	<p>a) Decision taken by the Ministry of Construction and Regional Development, Interreg A Management Department acting as National Authority</p> <p>b) zákon č. 291/2002 Z. z. o štátnej pokladnici (Act Number 291/2002 State Treasury) as amended</p> <p>In addition the following regulations have been taken into consideration:</p> <p>Act No 39/1993 Collections on the Supreme Audit Office SR as amended</p> <p>Act No 289/1995 Collections on Value Added Tax as amended</p> <p>Act No 303/1995 Collections on Budgetary Rules as amended</p> <p>Act No 231/1999 Collections on State Aid as amended</p> <p>Act No 263/1999 Collections on Public Procurement as amended</p> <p>Act No 440/2000 Collections on Financial Control Administrations as amended by Act No 150/2001 Collections.</p> <p>Act No 502/2001 Collections on Financial Control and Internal Audit as amended</p> <p>Act No 503/2001 Collections on Regional Development Support as amended</p> <p>Act No 431/2002 Collections on Accounting</p>

**9 Competent Authority / Contact Point for SF Grants**

Austria	Slovakia
<p><b>Intermediary Bodies:</b></p> <p><b>Burgenland:</b> Regionalmanagement Burgenland GmbH. (subsidiary, the competent sector department of the Amt der Burgenländischen Landesregierung) Marktstraße 3, A-7000 Eisenstadt Phone: ++(43)(2682)-704-2424 Fax: ++(43)(2682)-704-2410 e-mail: <a href="mailto:harald.ladich@rmb.co.at">harald.ladich@rmb.co.at</a></p> <p><b>Niederösterreich:</b> Amt der Niederösterreichischen Landesregierung, Abt. RU2, Landhausplatz 1, A-3109 St. Pölten Phone: ++(43)(2742)-9005-14329 Fax: ++(43)(2742)-9005-14170 e-mail: <a href="mailto:francois-edouard.pailleron@noel.gv.at">francois-edouard.pailleron@noel.gv.at</a></p> <p><b>Wien:</b> Amt der Wiener Landesregierung, MA 27 – EU-Strategie und Wirtschaftsentwicklung, Rathaus, A-1080 Vienna Phone: ++(43)(1)-4000-82582 Fax: ++(43)(1)-4000-9982582 e-mail: <a href="mailto:sto@meu.magwien.gv.at">sto@meu.magwien.gv.at</a></p> <p>In the event of difficulties in deciding who is to be responsible, the Managing Authority (Republic of Austria, Federal Chancellery, Department IV/4, Hohenstaufengasse 3, A-1010 Vienna) shall reach a decision on the assignment of a project to a responsible authority for the co-ordination of the project.</p>	<p><b>Intermediary Bodies:</b></p> <p>Ministry of Construction and Regional Development Regional Development Support Agency Spitálska 8, 816 44 Bratislava Phone: +421-2-5975 – 3221 Fax: +421-2- 5975 – 3233 e-mail: <a href="mailto:interreg@build.gov.sk">interreg@build.gov.sk</a></p> <p>In close co-operation with the VÚC (Higher Territorial Units):</p> <p>Bratislavský samosprávny kraj Trnavská cesta 8/A, 820 05 Bratislava Tel : +421-2-55 42 31 38 Fax: +421-2-55 42 31 38 e-mail : <a href="mailto:zschneiderova@region-bsk.sk">zschneiderova@region-bsk.sk</a></p> <p>Trnavský samosprávny kraj Vajanského 2, 917 01Trnava Tel : +421-33-593 33 18 Fax: +421-33-593 33 18 e-mail : <a href="mailto:karpatyova.maria@trnava-vuc.sk">karpatyova.maria@trnava-vuc.sk</a></p>

## 10 Code Numbers for Assistance from the Structural Funds

341- air  
342 – noise  
343 – urban and industrial waste (including hospital and dangerous waste)  
344 – drinking water (collection, storage, treatment and distribution)  
345 – sewerage and purification  
162 – environment-friendly technologies, clean and economical energy technologies  
163 – enterprise advisory service (information, business planning, consultancy services, marketing, management, design, internationalisation, exporting, environmental management, purchase of technology)  
1301 – land improvement  
1308 – agricultural water resources management  
1312 – protection of the environment in connection with land, forestry and landscape conservation as well as with the improvement of animal welfare  
1313 – restoring agricultural production potential damaged by natural disasters and introducing appropriate prevention instruments  
353 –  
125 – restoring forestry production potential damaged by natural disasters and introducing prevention instruments  
126 – planting of non-farm land  
127 – improving and maintaining ecological stability of protected woodlands  
332 – renewable sources of energy (solar power, wind power, hydro-electricity, biomass)  
333 – energy efficiency, cogeneration, energy control  
151 – investment in physical capital (plant and equipment, co-financing of state aid)  
152 – environment-friendly technologies, clean and economical energy technologies

## 11 Desired Effects on the Integration of the Border Region

Development of a shared understanding and a common view regarding resource management, technical infrastructure and renewable energy  
Intensified utilisation of renewable energy and optimal use of the existing resources in the border region  
Elaborating a common basis for planning and decision-making in these fields towards a compatibility of systems and common standards  
Exploiting cross-border synergy effects when extending and further developing existing technical infrastructure facilities  
Preservation and improvement of the environmental situation in the border region

## 12 Indicators for Monitoring and Evaluation

<p>Impact Indicators:</p> <p>Contribution to the development and implementation of joint (environmental) standards and monitoring</p> <p>Co-ordinated environmental and resource management, creation of co-operation structures</p> <p>Utilisation of renewable energy resources and natural resources</p> <p>Improvement of environmental status/reduction of emissions</p> <p>Improving the standard and joint use of environmental infrastructure and technology</p> <p>Output Indicators</p> <p>Number of projects dealing with air pollution, noise reduction, improvements of urban and industrial waste disposal or recycling facilities, drinking water (collection, storage, treatment distribution) or the improvement in sewerage and purification</p> <p>NUMBER of projects providing financial support to introduce environmental technologies or to develop eco-products [environmental impact of firms activities in terms of decreased pollution (CO<sub>2</sub>, in %)]</p> <p>Number of projects providing business advisory services</p> <p>Number of projects dealing with land improvement, agricultural water resources management, preservation of the environment (land, forestry and landscape conservation, animal welfare, recovery after damage by and prevention of natural disasters</p> <p>Number of research and planning projects supported (dealing with biodiversity, protection measures, securing natural and cultural landscape, water resources management etc.)</p> <p>Number of projects dealing with restoring forestry production potential damaged by natural disasters or fire and introducing appropriate prevention instruments km<sup>2</sup> (ha) re-forested</p> <p>Number of projects dealing with afforestation of non-agricultural land km<sup>2</sup> (ha) re-forested</p> <p>Number of project dealing with improving / maintaining the ecological stability of protective forests km<sup>2</sup> (ha) re-forested</p> <p>Number of projects supporting the use of renewable sources of energy, the improvement of energy efficiency, cogeneration and energy control as well as planning and know-how transfer projects, reduction of CO<sub>2</sub> equivalents t/a, new capacity created KW</p> <p>Number of investment projects in plants and equipment or in environmental friendly technologies, clean and economical energy technologies production of solar energy MJ/a</p> <p>Cooperation Indicators:</p> <p>5 phases of cross-border cooperation; planned / achieved</p> <p>Gender mainstreaming indicators:</p> <p>the project: has equality between the sexes as its main focus, is positive in terms of male-female equality or is neutral in terms of such equality</p> <p>Environmental Indicator:</p> <p>the project: has the environment as its main focus; is environmental friendly; is environmentally neutral</p> <p>Location Indicator:</p> <p>the project is: urban; rural; not geographically delimited</p>
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## 13 Financial Plan

In EURO	Total
<b>Total cost of the measure</b>	4,540,666
<b>ERDF</b>	2,524,000
<b>National co-financing</b>	2,016,666
Public funds	1,769,933
Private funds	246,733

## **Priority Axis 5 / Measure 2:**

### **Measures for Nature and Environmental Protection including National and Nature Parks**

#### **1 Contents / Object of the Measure**

This measure contains all projects and activities in the fields of nature protection and environment. The joint region reveals a rich variety of important protected areas. The 'green' backbone of the region is the rivers and wetland systems of the Rivers Danube and Morava / March. The cross-border dimension of these natural assets is evident. A careful management and harmonised development plans are required from both neighbouring countries. Despite these large-scale areas along the rivers a rich variety of smaller protected areas exists. For many of these areas it is important to harmonise the demands of tourism and leisure activities with the requirements of nature protection. On the other hand joint marketing activities and bilingual information will contribute to awareness-raising for a careful use of the region's natural assets. With the definition of Natura 2000 areas important steps towards the harmonisation of nature protection at European level have been started. Actions as part of this measure should also contribute to this process.

Based on the options listed in the CIP projects with the focus in the following areas will be supported:

- Improvement and development of the environmental infrastructure (national parks, nature parks, protected areas)
- Joint marketing activities for the national and nature parks and protected areas
- Preservation, expansion and sustainable development of measures in the fields of nature and environmental protection
- Joint management programmes
- Joint renewable energy projects/activities in the deteriorated areas
- Project planning and monitoring
- Joint tourism projects
- Public relations work and enhanced public awareness

#### **2 Goals**

Protection and preservation of the high quality of the nature, natural resources and landscape conditions

Development of national and/or nature parks and protected areas

Restoration of regional ecosystems and implementation of the territorial system of ecological stability

**3 Final Recipients / Final Beneficiaries**

Austria	Slovakia
<p>Local and regional authorities</p> <p>Interest groups</p> <p>Regional associations</p> <p>Associations</p> <p>National and nature park organisations and associations (funds)</p> <p>Nature protection groups</p> <p>Enterprises</p> <p>Regional tourist boards</p> <p>Provincial boards</p> <p>Natural and legal persons under private and public law and partnerships under civil law</p>	<p>Associations of Legal Persons (Záujmové združenie právnických osôb) (§20 písm. f) Občianskeho zákonníka)</p> <p>Civil Associations (Občianske združenie) (zák. Č. 83/1990 Zb.)</p> <p>Foundations (Nadácia) (zák. č. 34/2002 Z. z.)</p> <p>Non-profit Organisations providing Services of Benefit to the Public (Nezisková organizácia poskytujúca všeobecne prospešné služby) (podľa zákona č. 213/1997 Z. z.)</p> <p>Non-investive funds (Neinvestičný fond) (zákon č. 147/1997 Z. z.)</p> <p>Universities (Vysoká škola) (zák. č. 131/2002 Z. z.)</p> <p>Regional School Office (Krajský školský úrad) (zák. č. 596/2003 Z. z.)</p> <p>Slovak Academy of Science (SAV) (zák. č. 133/2002 Z. z.)</p> <p>Municipalities (Obec) (zák. č. 369/1990 Zb.)</p> <p>Regional Self-Governments (Samosprávny kraj) (zák. č. 302/2001 Z. z.)</p> <p>Other Legal Persons under Public Law (e. g. Professional Organisations (Chambers – chambers constituted by individual act: e. g. Slovak Forestry Chamber, Slovak Chamber of Auditors, Slovak Tradesman Chamber, Slovak Chamber of Doctors, Slovak Chamber of Agriculture and Grocery, Slovak Chamber of Veterinary of SR and chambers constituted on the base of the act with wider object: Slovak Chamber of Architects and Slovak Chamber of Engineers)) (Iné právnické osoby verejného práva (Komory zriadené osobitným zákonom: Slovenská lesnícka komora, Slovenská komora auditorov, Slovenská komora sestier a pôrodných asistentiek, Slovenská živnostenská komora, Slovenská lekárska komora, Slovenská lekárnická komora, Slovenská komora výchovných zariadení autoškôl, Komora kominárov Slovenska, Slovenskej živnostenská komora, Slovenská banská komora, Slovenská komora daňových poradcov, Komora geodetov a kartografov, Komora reštaurátorov, Slovenská komora psychológov, Slovenská poľnohospodárska a potravinárska komora, Slovenská komora vysokoškolsky vzdelaných zdravotníckych pracovníkov, Slovenská komora stredných zdravotníckych pracovníkov, Slovenská komora zubných technikov, Slovenská komora zubných lekárov, Komora veterinárnych lekárov Slovenskej republiky a Komory zriadené na základe zákona so širším predmetom: Slovenská komora architektov a Slovenská komora stavebných inžinierov))</p> <p>Institutions of State Administration (Orgány štátnej správy)</p> <p>State Budgetary Organizations (Rozpočtové organizácie štátu) (zák. č. 303/1995 Z. z.)</p> <p>State Budget Assisted Organisations (Príspevkové organizácie štátu) (zák. č. 303/1995 Z. z.)</p> <p>Ecclesiastical Organizations (Cirkevné organizácie) (zák. č. 308/1991)</p> <p>súkromné školy (private schools)</p> <p>rozpočtové a príspevkové organizácie obce (Budgetary Organizations of Municipality and Budget Assisted Organizations of Municipality)</p>

#### **4 Formal Criteria for EU Co-financing**

Economic and organisational capacity of the entity responsible for the project

Amount and appropriateness of the costs of the project

Secured financing, appropriateness of the ratio between own funds and public assistance (taking into account the possible EU co-financing according to the programme as well as any other national public funds applied for, already granted or promised)

Relevant project aim which are in line with sectoral policy objectives (if required, also including statements of other administrative bodies concerned)

Fulfilment of the specific requirements for assistance under INTERREG IIIA – pursuant to the CIP and the Programme Complement (see item no. 5)

Compliance with the criteria for ERDF assistance (pursuant to Council Regulation no. 1783/99) and with the Commission Regulation concerning the eligibility of operations (also Regulation no. 448/04)

Compliance with other relevant EU regulations (subsidy laws, rules for the awarding of public contracts, environmental law, etc.)

Observance of the condition that any current business of institutions within the framework of their legal mandate or any activities covered by basic subsidies cannot be funded under Interreg IIIA.

#### **5 Specific Criteria for the Project Selection**

See chapter 4 of the Programme Complement.

#### **6 Eligible Costs**

As a general rule:

Project-related material costs and operating expenses

Personnel costs

Planning costs

Project-related investment costs (e.g. construction, infrastructure, development, machinery, hard/software)

Consulting expenses

**7 Type and Amount of EU Assistance**

Austria	Slovakia
<p>Unrecoverable assistance, according to programme 50% at max.</p> <p>It has to be stated that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded.</p>	<p>Unrecoverable assistance, according to programme 75% at max., in case of projects implemented in Bratislava region 50% at max.</p> <p>In Trnava region co-financing from the state budget amounts to 20 % at max. and co-financing from the final beneficiary amounts to 5 % at min.</p> <p>In Bratislava region co-financing from the state budget is 45 % at max. and co-financing from the final beneficiary is 5 % at min.</p> <p>In cases where the final beneficiary belongs to the state resort, (state budgetary organizations, state budget assisted organizations), the whole co-financing is ensured from the state budget. It means that in such cases the co-financing from the state budget in Trnava region amounts to 25 % and co-financing from the state budget in Bratislava region amounts to 50 %.</p> <p>It has to be stated that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded.</p>



## 8 Implementation Instruments – Legal Basis

a) Legal basis for the granting of the EU funds

b) Legal basis for the granting of national funds

Austria	Slovakia
<p>a)</p> <p>Einzelentscheidungen der Länder Burgenland, Niederösterreich, Wien</p> <p>b)</p> <p>Einzelentscheidungen der Länder Burgenland, Niederösterreich, Wien</p> <p>Einzelentscheidungen von Gemeinden in Burgenland , Niederösterreich</p> <p>Allgemeine Rahmenrichtlinie für die Gewährung von Förderungen aus Bundesmitteln (BMF.-Z.01 3301/7-II/3/77 of 5 May 1977 , as amended)</p> <p>Einzelentscheidungen des Bundes</p> <p>Richtlinien des Ziel 1-Programmes Burgenland as amended</p> <p>Einzelentscheidung</p> <ul style="list-style-type: none"> <li>- NÖ Nationalparkgesetz, Landesgesetzblatt 5505–0</li> <li>- Vereinbarung gemäß Artikel 15a BVG zwischen dem Bund und den Ländern Niederösterreich und Wien zur Errichtung und Erhaltung eines Nationalparks Donauauen, Landesgesetzblatt 5506–0 (SK und H)</li> <li>- Vereinbarung gemäß Artikel 15a BVG zwischen dem Bund und dem Land Niederösterreich zur Errichtung und Erhaltung eines Nationalparks Thayatal, Landesgesetzblatt 5507–0 (CZ und SK)</li> <li>- NÖ Naturschutzgesetz 2000, Landesgesetzblatt 5500–0 vom 31.8.2000</li> </ul> <p>Richtlinien zur Förderung der Naturparke in NÖ</p>	<p>a)</p> <p>Decision taken by the Ministry of Construction and Regional Development, Interreg A Management Department acting as National Authority</p> <p>b)</p> <p>zákon č. 291/2002 Z. z. o štátnej pokladnici (Act Number 291/2002 State Treasury) as amended</p> <p>In addition the following regulations have been taken into consideration:</p> <p>Act No 39/1993 Collections on the Supreme Audit Office SR as amended</p> <p>Act No 289/1995 Collections on Value Added Tax as amended</p> <p>Act No 303/1995 Collections on Budgetary Rules as amended</p> <p>Act No 231/1999 Collections on State Aid as amended</p> <p>Act No 263/1999 Collections on Public Procurement as amended</p> <p>Act No 440/2000 Collections on Financial Control Administrations as amended by Act No 150/2001 Collections.</p> <p>Act No 502/2001 Collections on Financial Control and Internal Audit as amended</p> <p>Act No 503/2001 Collections on Regional Development Support as amended</p> <p>Act No 431/2002 Collections on Accounting</p>

## 9 Competent Authority / Contact Point for SF Grants

Austria	Slovakia
<p><b>Intermediary Bodies:</b></p> <p><b>Burgenland:</b> Regionalmanagement Burgenland GmbH. (subsidiary, the competent sector department of the Amt der Burgenländischen Landesregierung) Marktstraße 3, A-7000 Eisenstadt Phone: ++(43)(2682)-704-2424 Fax: ++(43)(2682)-704-2410 e-mail: <a href="mailto:harald.ladich@rmb.co.at">harald.ladich@rmb.co.at</a></p> <p><b>Niederösterreich:</b> Amt der Niederösterreichischen Landesregierung, Abt. RU2, Landhausplatz 1, A-3109 St. Pölten Phone: ++(43)(2742)-9005-14329 Fax: ++(43)(2742)-9005-14170 e-mail: <a href="mailto:francois-edouard.pailleron@noel.gv.at">francois-edouard.pailleron@noel.gv.at</a></p> <p><b>Wien:</b> Amt der Wiener Landesregierung, MA 27 – EU-Strategie und Wirtschaftsentwicklung, Rathaus, A-1080 Vienna Phone: ++(43)(1)-4000-82582 Fax: ++(43)(1)-4000-9982582 e-mail: <a href="mailto:sto@meu.magwien.gv.at">sto@meu.magwien.gv.at</a></p> <p>In the event of difficulties in deciding who is to be responsible, the Managing Authority (Republic of Austria, Federal Chancellery, Department IV/4, Hohenstaufengasse 3, A-1010 Vienna) shall reach a decision on the assignment of a project to a responsible authority for the co-ordination of the project.</p>	<p><b>Intermediary Bodies:</b></p> <p>Ministry of Construction and Regional Development Regional Development Support Agency Spitálska 8, 816 44 Bratislava Phone: +421-2-5975 – 3221 Fax: +421-2- 5975 – 3233 e-mail: <a href="mailto:interreg@build.gov.sk">interreg@build.gov.sk</a></p> <p>In close co-operation with the VÚC (Higher Territorial Units):</p> <p>Bratislavský samosprávny kraj Trnavská cesta 8/A, 820 05 Bratislava Tel : +421-2-55 42 31 38 Fax: +421-2-55 42 31 38 e-mail : <a href="mailto:zschneiderova@region-bsk.sk">zschneiderova@region-bsk.sk</a></p> <p>Trnavský samosprávny kraj Vajanského 2, 917 01Trnava Tel : +421-33-593 33 18 Fax: +421-33-593 33 18 e-mail : <a href="mailto:karpatyova.maria@trnava-vuc.sk">karpatyova.maria@trnava-vuc.sk</a></p>

## 10 Code Numbers for Assistance from the Structural Funds

<p>1301 – land improvement</p> <p>1308 – agricultural water resources management</p> <p>1312 – protection of the environment in connection with land, forestry and landscape conservation as well as with the improvement of animal welfare</p> <p>1313 – restoring agricultural production potential damaged by natural disasters and introducing appropriate prevention instruments</p> <p>125 – restoring forestry production potential damaged by natural disasters and introducing prevention instruments</p> <p>126 – planting of non-farm land</p> <p>127 – improving and maintaining ecological stability of protected woodlands</p> <p>353 -</p>
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## 11 Desired Effects on the Integration of the Border Region

Development of a shared understanding and a common view regarding the environment, nature and environmental protection and eco-friendly exploitation of the common natural resources

Elaborating a common basis for planning and decision-making in these fields towards a compatibility of systems and common standards

Joint work relating to natural spaces having common features or similarly structured nature areas

Exploiting cross-border synergy effects when extending and further developing the environmental infrastructure

Joint eco-friendly development and marketing of the common environmental potential (e.g. cross-border co-ordination of offerings and marketing of nature and national parks)

## 12 Indicators for Monitoring and Evaluation

### Impact Indicators:

Contribution to the development and implementation of joint (environmental) standards and monitoring

Integration and joint protection/use of ecologically valuable zones and natural heritage

Co-ordinated management of nature and national parks, creation and expansion of co-operation structures

Common external image and marketing of nature and national parks

Improved standard and joint use of nature and national park infrastructure, environmental infrastructure and technology

### Output Indicators:

Number of projects dealing with land improvement, agricultural water resources management, preservation of the environment (land, forestry and landscape conservation, animal welfare, recovery after damage by and prevention of natural disasters)

Number of projects dealing with restoring forestry production potential damaged by natural disasters or fire and introducing appropriate prevention instruments km<sup>2</sup> (ha) reforested

Number of projects dealing with afforestation of non-agricultural land km<sup>2</sup> (ha) reforested

Number of project dealing with improving / maintaining the ecological stability of protective forests km<sup>2</sup> (ha) reforested

Number of projects dealing with prevention, upgrading and rehabilitation of natural areas, national and nature parks

### Cooperation Indicators:

5 phases of cross-border cooperation; planned / achieved

### Gender mainstreaming indicators:

the project: has equality between the sexes as its main focus, is positive in terms of male-female equality or is neutral in terms of such equality

### Environmental Indicator:

the project: has the environment as its main focus; is environmental friendly; is environmentally neutral

### Location Indicator:

the project is: urban; rural; not geographically delimited

Priority Axis 5 / Measure 2:

Measures for Nature and Environmental Protection including National and Nature Parks

### 13 Financial Plan

In EURO	Total
<b>Total cost of the measure</b>	7,154,223
<b>ERDF</b>	3,798,778
<b>National co-financing</b>	3,355,445
Public funds	3,159,112
Private funds	196,333

## Priority Axis 5 / Measure 3:

### Cross-border Spatial Development in Rural and Urban Areas

#### 1 Contents / Object of the Measure

The general objective of this measure is the support of sustainable development of rural and urban areas in the sense of creating a joint economic and natural space. The co-existence of two large cities leads to a considerable pressure with regard to land use. Examples of challenges for the region are:

- A joint response to the rising threat of despoliation of land around Vienna and Bratislava and the region between these two cities should be defined,
- the economic development necessitates the building and strengthening of transportation networks calls for joint action,
- on the other hand it has to be stated that industry as one of the major pollution sources of past decades is on the retreat whereas motorised transport has to be considered as one of the most dynamically increasing pollution sources – this underpins the need for harmonised spatial development concepts which take the requirements of environmental sustainability into account: sustainable spatial development could assist to create the pre-conditions for an increasing role of environmentally friendly modes of transport.

Regional and spatial analyses and strategies, as well as environmental studies and monitoring systems or land use concepts should be elaborated in order to have a sound basis for decisions on the location of all kinds of investment and infrastructure.

Based on the options listed in the CIP projects with the focus in the following areas will be supported::

Support of studies and analyses in the fields of regional development, spatial and urban planning, economic development, environmental and urban technologies

Strategic studies in the framework of the enlargement process

Action to support awareness-raising for sustainable spatial development

Feasibility studies and cross-border pilot projects

#### 2 Goals

Sustainable regional development

Harmonisation of the concepts, plans, programmes and strategies (related to e.g. water management, urbanisation, tourist activities, transport, extracting industry, SMEs, and energy taking into account the objectives of biodiversity conservation)

Convergence of the region

### 3 Final Recipients / Final Beneficiaries

Austria	Slovakia
<p>Local and regional authorities</p> <p>Interest groups</p> <p>Regional associations</p> <p>Associations</p> <p>Infrastructure operators</p> <p>Enterprises and enterprise co-operation projects</p> <p>Water management associations</p> <p>Waste management associations</p> <p>Energy supply services</p> <p>Regional energy agencies</p> <p>Natural and legal persons under private and public law and partnerships under civil law</p> <p>Research institutes</p>	<p>Associations of Legal Persons (Záujmové združenie právnických osôb) (§20 písm. f) Občianskeho zákonníka)</p> <p>Civil Associations (Občianske združenie) (zák. Č. 83/1990 Zb.)</p> <p>Foundations (Nadácia) (zák. č. 34/2002 Z. z.)</p> <p>Non-profit Organisations providing Services of Benefit to the Public (Nezisková organizácia poskytujúca všeobecne prospešné služby) (podľa zákona č. 213/1997 Z. z.)</p> <p>Non-investive funds (Neinvestičný fond) (zákon č. 147/1997 Z. z.)</p> <p>Universities (Vysoká škola) (zák. č. 131/2002 Z. z.)</p> <p>Regional School Office (Krajský školský úrad) (zák. č. 596/2003 Z. z.)</p> <p>Slovak Academy of Science (SAV) (zák. č. 133/2002 Z. z.)</p> <p>Municipalities (Obec) (zák. č. 369/1990 Zb.)</p> <p>Regional Self-Governments (Samosprávny kraj) (zák. č. 302/2001 Z. z.)</p> <p>Other Legal Persons under Public Law (e. g. Professional Organisations (Chambers – chambers constituted by individual act: e. g. Slovak Forestry Chamber, Slovak Chamber of Auditors, Slovak Tradesman Chamber, Slovak Chamber of Doctors, Slovak Chamber of Agriculture and Grocery, Slovak Chamber of Veterinary of SR and chambers constituted on the base of the act with wider object: Slovak Chamber of Architects and Slovak Chamber of Engineers)) (Iné právnické osoby verejného práva (Komory zriadené osobitným zákonom: Slovenská lesnícka komora, Slovenská komora auditorov, Slovenská komora sestier a pôrodných asistentiek, Slovenská živnostenská komora, Slovenská lekárska komora, Slovenská lekárnická komora, Slovenská komora výcvikových zariadení autoškôl, Komora kominárov Slovenska, Slovenskej živnostenská komora, Slovenská banská komora, Slovenská komora daňových poradcov, Komora geodetov a kartografov, Komora reštaurátorov, Slovenská komora psychológov, Slovenská poľnohospodárska a potravinárska komora, Slovenská komora vysokoškolsky vzdelaných zdravotníckych pracovníkov, Slovenská komora stredných zdravotníckych pracovníkov, Slovenská komora zubných technikov, Slovenská komora zubných lekárov, Komora veterinárnych lekárov Slovenskej republiky a Komory zriadené na základe zákona so širším predmetom: Slovenská komora architektov a Slovenská komora stavebných inžinierov))</p> <p>Institutions of State Administration (Orgány štátnej správy)</p> <p>State Budgetary Organizations (Rozpočtové organizácie štátu) (zák. č. 303/1995 Z. z.)</p> <p>State Budget Assisted Organisations (Príspevkové organizácie štátu) (zák. č. 303/1995 Z. z.)</p> <p>Ecclesiastical Organizations (Cirkevné organizácie) (zák. č. 308/1991 § 4, zák. č. 192/1993 Z. z.)</p> <p>súkromné školy (private schools)</p> <p>rozpočtové a príspevkové organizácie obce (Budgetary Organizations of Municipality and Budget Assisted Organizations of Municipality)</p>

### 4 Formal Criteria for EU Co-financing

Economic and organisational capacity of the entity responsible for the project

Amount and appropriateness of the costs of the project

Secured financing, appropriateness of the ratio between own funds and public assistance (taking into account the possible EU co-financing according to the programme as well as any other national public funds applied for, already granted or promised)

Relevant project aim which are in line with sectoral policy objectives (if required, also including statements of other administrative bodies concerned)

Fulfilment of the specific requirements for assistance under INTERREG IIIA – pursuant to the CIP and the Programme Complement (see item no. 5)

Compliance with the criteria for ERDF assistance (pursuant to Council Regulation no. 1783/99) and with the Commission Regulation concerning the eligibility of operations (also Regulation no. 448/04)

Compliance with other relevant EU regulations (subsidy laws, rules for the awarding of public contracts, environmental law, etc.)

Observance of the condition that any current business of institutions within the framework of their legal mandate or any activities covered by basic subsidies cannot be funded under Interreg IIIA.

## **5 Specific Criteria for the Project Selection**

See chapter 4 of the Programme Complement.

## **6 Eligible Costs**

As a general rule:

- Project-related material costs and operating expenses
- Personnel costs
- Planning costs
- Project-related investment costs (e.g. construction, infrastructure, development, machinery, hard/software)
- Consulting expenses

## 7 Type and Amount of EU Assistance

Austria	Slovakia
<p>Unrecoverable assistance, according to programme 50% at max.</p> <p>It has to be stated that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded.</p>	<p>Unrecoverable assistance, according to programme 75% at max., in case of projects implemented in Bratislava region 50% at max.</p> <p>In Trnava region co-financing from the state budget amounts to 20 % at max. and co-financing from the final beneficiary amounts to 5 % at min.</p> <p>In Bratislava region co-financing from the state budget is 45 % at max. and co-financing from the final beneficiary is 5 % at min.</p> <p>In cases where the final beneficiary belongs to the state resort, (state budgetary organizations, state budget assisted organizations), the whole co-financing is ensured from the state budget. It means that in such cases the co-financing from the state budget in Trnava region amounts to 25 % and co-financing from the state budget in Bratislava region amounts to 50 %.</p> <p>It has to be stated that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded.</p>



## 8 Implementation Instruments – Legal Basis

a) Legal basis for the granting of the EU funds

b) Legal basis for the granting of national funds

Austria	Slovakia
<p>a) Einzelentscheidungen der Länder Burgenland, Niederösterreich, Wien</p> <p>b) Einzelentscheidungen der Länder Burgenland, Niederösterreich, Wien</p> <p>Einzelentscheidungen von Gemeinden in Burgenland , Niederösterreich</p> <p>Allgemeine Rahmenrichtlinie für die Gewährung von Förderungen aus Bundesmitteln (BMF.-Z.01 3301/7-II/3/77 of 5 May 1977, as amended)</p> <p>Einzelentscheidungen des Bundes</p> <p>Richtlinien des Ziel 1-Programmes Burgenland as amended</p> <p>Einzelentscheidungen</p> <p>- NÖ Naturschutzgesetz 2000, Landesgesetzblatt 5500-0 of 31. 8. 2000</p>	<p>a) Decision taken by the Ministry of Construction and Regional Development, Interreg A Management Department acting as National Authority</p> <p>b) zákon č. 291/2002 Z. z. o štátnej pokladnici (Act Number 291/2002 State Treasury) as amended</p> <p>In addition the following regulations have been taken into consideration:</p> <p>Act No 39/1993 Collections on the Supreme Audit Office SR as amended</p> <p>Act No 289/1995 Collections on Value Added Tax as amended</p> <p>Act No 303/1995 Collections on Budgetary Rules as amended</p> <p>Act No 231/1999 Collections on State Aid as amended</p> <p>Act No 263/1999 Collections on Public Procurement as amended</p> <p>Act No 440/2000 Collections on Financial Control Administrations as amended by Act No 150/2001 Collections.</p> <p>Act No 502/2001 Collections on Financial Control and Internal Audit as amended</p> <p>Act No 503/2001 Collections on Regional Development Support as amended</p> <p>Act No 431/2002 Collections on Accounting</p>

## 9 Competent Authority / Contact Point for SF Grants

Austria	Slovakia
<p><b>Intermediary Bodies:</b></p> <p><b>Burgenland:</b> Regionalmanagement Burgenland GmbH. (subsidiary, the competent sector department of the Amt der Burgenländischen Landesregierung) Marktstraße 3, A-7000 Eisenstadt Phone: ++(43)(2682)-704-2424 Fax: ++(43)(2682)-704-2410 e-mail: <a href="mailto:harald.ladich@rmb.co.at">harald.ladich@rmb.co.at</a></p> <p><b>Niederösterreich:</b> Amt der Niederösterreichischen Landesregierung, Abt. RU2, Landhausplatz 1, A-3109 St. Pölten Phone: ++(43)(2742)-9005-14329 Fax: ++(43)(2742)-9005-14170 e-mail: <a href="mailto:francois-edouard.pailleron@noel.gv.at">francois-edouard.pailleron@noel.gv.at</a></p> <p><b>Wien:</b> Amt der Wiener Landesregierung, MA 27 – EU-Strategie und Wirtschaftsentwicklung, Rathaus, A-1080 Vienna Phone: ++(43)(1)-4000-82582 Fax: ++(43)(1)-4000-9982582 e-mail: <a href="mailto:sto@meu.magwien.gv.at">sto@meu.magwien.gv.at</a></p> <p>In the event of difficulties in deciding who is to be responsible, the Managing Authority (Republic of Austria, Federal Chancellery, Department IV/4, Hohenstaufengasse 3, A-1010 Vienna) shall reach a decision on the assignment of a project to a responsible authority for the co-ordination of the project.</p>	<p><b>Intermediary Bodies:</b></p> <p>Ministry of Construction and Regional Development Regional Development Support Agency Spitálska 8, 816 44 Bratislava Phone: +421-2-5975 – 3221 Fax: +421-2- 5975 – 3233 e-mail: <a href="mailto:interreg@build.gov.sk">interreg@build.gov.sk</a></p> <p>In close co-operation with the VÚC (Higher Territorial Units):</p> <p>Bratislavský samosprávny kraj Trnavská cesta 8/A, 820 05 Bratislava Tel : +421-2-55 42 31 38 Fax: +421-2-55 42 31 38 e-mail : <a href="mailto:zschneiderova@region-bsk.sk">zschneiderova@region-bsk.sk</a></p> <p>Trnavský samosprávny kraj Vajanského 2, 917 01Trnava Tel : +421-33-593 33 18 Fax: +421-33-593 33 18 e-mail : <a href="mailto:karpatyova.maria@trnava-vuc.sk">karpatyova.maria@trnava-vuc.sk</a></p>

## 10 Code Numbers for Assistance from the Structural Funds

351 – upgrading and rehabilitation of industrial and military sites  
 353 –  
 352 – rehabilitation of urban areas  
 354 –  
 1306 – renovation and development of villages and protection and conservation of the rural heritage  
 1642 –  
 1643 -

## 11 Desired Effects on the Integration of the Border Region

Identifying the joint potential/opportunities and risks stemming from the common border area  
 Adaptation of concepts, plans, programmes and strategies to these common findings and views; elaboration of joint spatial concepts and studies to develop the border area  
 Recognition of the common region as a basis for strategic planning

## 12 Indicators for Monitoring and Evaluation

**Impact Indicators:**

Harmonisation of settlement and infrastructure development and planning, landscape planning/use, social and economic development of locations

Harmonisation of planning and use of environmental and urban technologies

Harmonisation of strategic preparation for EU enlargement

Analysis and study of regional development potentials and strategies

Creation and expansion of co-operation structures and networks

**Output Indicators:**

Number of research and planning projects dealing with upgrading and rehabilitation of industrial sites, rehabilitation of urban areas, biodiversity etc. or preservation of cultural heritage

Number of projects dealing with renovation and development of villages or protection and conservation of the rural heritage

Number of projects providing support for information networks, SME cooperation networks, development concepts, stimulation and promotional services etc.

Number of projects providing support for regional development plans, concepts and studies, regional management, EuRegios etc.

**Cooperation Indicators:**

5 phases of cross-border cooperation; planned / achieved

**Gender mainstreaming indicators:**

the project: has equality between the sexes as its main focus, is positive in terms of male-female equality or is neutral in terms of such equality

**Environmental Indicator:**

the project: has the environment as its main focus; is environmental friendly; is environmentally neutral

**Location Indicator:**

the project is: urban; rural; not geographically delimited

## 13 Financial Plan

In EURO	Total
<b>Total cost of the measure</b>	3,524,668
<b>ERDF</b>	1,920,000
<b>National co-financing</b>	1,604,668
Public funds	1,526,134
Private funds	78,534

## **Priority Axis 6 Special Support for Border Regions**

### **Rationale**

On 25th July 2001, the Commission had issued the so-called „Community Action for Border Regions“ [COM(2001) 437 final version]. This document described the impacts of the upcoming enlargement of the European Union on the regions bordering accession countries. The action plan included in the document suggests a number of measures for the benefit of these regions to assist the preparation for the enlargement of the European Union. The Commission proposed to add the financial resources dedicated to this purpose to the INTERREG programmes in the form of a single common priority called "special support for regions bordering on accession countries".

The financial resources are dedicated to support activities for a smooth transition in the border regions and the public acceptance of the European Union's Enlargement. The new priority is intended to support sustainable projects which are directly related to the enlargement of the European Union and will improve the competitive strength of the border regions.

Support will - in particular - be granted for:

- Activities to support those small and medium-sized enterprises that will be particularly challenged by the enlargement of the EU through innovative incentives for cross-border economic cooperation.
- Small-scale investment in cross-border transportation infrastructure in order to strengthen transport links at sub-regional level,
- Educational measures and intercultural cooperation, including measures to promote the integration of other cultural and language groups in order to reduce cultural and language barriers.

## 1 Contents / Object of the Measure

- a) Small and Medium Sized Enterprises that are particularly affected by the EU enlargement
- This measure includes the focused preparation of and support for those small and medium-sized enterprises which are particularly affected by the upcoming enlargement of the EU in order to strengthen the . Open borders, trade liberalisation and the enlarged market have and will contribute considerably to recent dynamics in economic development even though the full impact of some of these changes will not be felt immediately due to the 'transition periods' which have been negotiated in the pre-accession phase. Small and medium-sized enterprises reveal often serious deficits with regard to strategic planning such as e.g. market analysis and research or human resource development. Time constraints and lack of capacities hinder the engagement in research, development and co-operation projects.
- b) Development of cross-border transportation networks
- This measure focuses on cross-border projects in transport, in particular the support of small-scale investments in cross-border infrastructure.
- The measure focuses, among other things, on providing structures facilitating the crossing of the river March and improving the access to existing and historically significant border crossing points in the region. An additional priority consists of conceptual studies to strengthen the transport infrastructure between Bratislava and Vienna.
- The creation and re-activation of border crossing points between Austria and Slovakia will reduce the barrier function of the border between the countries, especially in the context of micro-regional economic development and to improve the conditions for cross-border tourism.
- c) Training activities and intercultural cooperation
- Activities to promote cultural exchange and intercultural cooperation (e.g. cross-border events, exhibitions) (e.g. joint events, exhibitions).
- Cooperation projects involving minorities.
- (Further) development of cross-border organizational structures and cooperation networks as well as theme-specific networks (culture marketing, media platform).
- Projects to improve minority language skills (e.g. in small and medium-sized enterprises).

## 2 Goals

- a) Increasing the competitiveness of enterprises (esp. SMEs) in the light of the EU enlargement
- Support of platforms and networks to increase business links
- Improvement of cross-border information on supply and demand in the labour market
- Strengthening enterprises which engage themselves in cross-border activities in the field of environmental protection and make a sustainable contribution to improving the environmental situation in the border area.
- Creation of a business-related infrastructure that properly responds to the needs of enterprises
- Support of telematic and IT-initiatives
- Intensified business co-operation projects (esp. SMEs) in the border area for strengthening the region's economic power and fostering export activities
- Incentives for cross-border language and management training facilities for small and medium-sized enterprises.
- b) Reducing the barrier function of the border between the countries, especially in the context of small-scale cross-border activities and cross-border tourism.
- Improving accessibility, especially with a view to the transportation of goods.
- c) Initiation of cross-border exchange projects in the field of culture and languages.
- Development of networks to enhance cross-border integration and intensification of economic, social and cultural contacts.
- Promoting the preservation of minority languages.
- Improving acceptance of the neighbours, especially with a view to the upcoming enlargement of the EU.

### 3 Final Recipients / Final Beneficiaries

Enterprises and enterprise co-operation projects  
Infrastructure companies  
Associations  
Local and regional authorities  
Natural and legal persons under private and public law and partnerships under civil law  
Interest groups  
Regional associations  
Transport operators  
Transport alliances  
Other infrastructure operators  
Regional tourist boards  
Provincial tourist boards  
Research institutions

### 4 Formal Criteria for EU Co-financing

Economic and organisational capacity of the entity responsible for the project  
Amount and appropriateness of the costs of the project  
Secured financing, appropriateness of the ratio between own funds and public assistance (taking into account the possible EU co-financing according to the programme as well as any other national public funds applied for, already granted or promised)  
Relevant project aim in line with sectoral policy objectives (if required, also including statements of other administrative bodies concerned)  
Fulfilment of the specific requirements for assistance under INTERREG IIIA/PHARE CBC – pursuant to the GIP/JPD and the Programme Complement (see item no. 5)  
Compliance with the criteria for ERDF assistance (pursuant to Council Regulation no. 1783/99) and with the Commission Regulation concerning the eligibility of operations (also Regulation no. 1685/00)  
Compliance with other relevant EU regulations (subsidy laws, rules for the awarding of public contracts, environmental law, etc.)  
Observance of the condition that any current business of institutions within the framework of their legal mandate or any activities covered by base subsidies cannot be funded under Interreg IIIA.

### 5 Specific Criteria for the Project Selection

ERDF
See chapter 4 of the Programme Complement.

## 6 Eligible Costs

ERDF
As a general rule: Project-related material costs and operating expenses Personnel costs Planning costs Project-related investment costs (e.g. construction, infrastructure, development, machinery, hard/software) Consulting expenses

## 7 Type and Amount of EU Assistance

ERDF
Unrecoverable assistance, according to programme 50% at max. In this connection, it must be observed that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded. Art. 29 (4) a), b) For NÖ (Lower Austria): <ul style="list-style-type: none"><li>• no infrastructures generating significant net revenues will be supported</li><li>• no direct support to enterprises</li></ul>

## 8 Implementation Instruments – Legal Basis

a) Legal basis for the granting of the EU funds

b) Legal basis for the granting of national funds

a)

Einzelentscheidungen der Länder Burgenland, Niederösterreich, Wien

b)

Einzelentscheidungen der Länder Burgenland, Niederösterreich, Wien

Einzelentscheidungen von Gemeinden in Burgenland, Niederösterreich

Allgemeine Rahmenrichtlinie für die Gewährung von Förderungen aus Bundesmitteln (BMF.-Z.01 3301/7-II/3/77 of 5 May 1977, as amended)

Einzelentscheidungen des Bundes

Telematik & C-Tech Wien (Zuschüsse; de minimis)

Innovations- und Technologieförderung der Stadt Wien (Zuschüsse; notifiziert FTE)

Umwelt- und Technologieförderung der Stadt Wien (interest subsidy, duration as from 1.3.2001 until revoked; de-minimis)

Richtlinien des Ziel 1-Programmes Burgenland as amended

Eco-Plus-Richtlinien für die regionale Infrastrukturförderung in Niederösterreich (N109/98 duration until 31.12.2006)

Richtlinien für die Förderungsaktion der NÖ Grenzlandförderungsgesellschaft

U-Bahn Hilfsaktion d. Stadt Wien & WKW (Zuschüsse, Zinszuschuß)

NÖ Kulturförderungsgesetz 1996. LGBl.5301; Richtlinien für die Förderung nach dem NÖ Kulturförderungsgesetz 1996

ERP-Fonds:

Richtlinie "ERP-Infrastrukturprogramm"

Sonderrichtlinie für regionale Impulsförderung RIF 2000-2006



## 9 Competent Authority / Contact Point for SF Grants

### Intermediary Bodies:

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In the event of difficulties in deciding who is to be responsible, the Managing Authority (Republic of Austria, Federal Chancellery, Department IV/4, Hohenstaufengasse 3, A-1010 Vienna) shall reach a decision on the assignment of a project to a responsible authority for the co-ordination of the project.

## 10 Code Numbers for Assistance from the Structural Funds

a) 162, 163, 164, 165, 167; b) 311-319, 1712, 1713; c) 1642, 1643, 22, 23

## 11 Desired Effects on the Integration of the Border Region

- a) Creation of and co-operation in cross-border business centres
  - Enhanced attractiveness of the location area on both sides of the border
  - Cross-border know-how transfer
- b) Integration of the border region through harmoniation and optimisation of cross-border transport services, especially with regard to public and combined transport
  - Improvement of the links of the border region to Trans-European Network
  - Improving/facilitating neighbourly trade and intensified networking through an improved infrastructure
- c) Reduction of information deficits and mental barriers at the local and regional level
  - Networking of private and/or public institutions with an intercultural focus
  - Development and implementation of intercultural education and qualification offerings (with a focus on minorities)
  - Promotion of minority language facilities with cross-border effects
  - Development and implementation of cultural exchange programmes with long-term effects

## 12 Indicators for Monitoring and Evaluation

### Impact Indicators:

- a) Creation and expansion of infrastructure facilitating cross-border activities and responding to the needs of enterprises and establishment and expansion of business-related services.  
Improvement of framework conditions for the establishment of cross-border cooperation between enterprises and on a superordinate level as well as enhancement of cooperation networks.  
Common presence vis-à-vis third parties / integrated marketing of the region.  
Elimination of legal and technical barriers.
- b) (Preparation of the) improvement of cross-border infrastructure, faster and improved transportation links  
(Preparation of) links to international transportation routes  
Contribution to the creation and reinforcement of cross-border logistics  
Improving the services and products and the joint use of tourism infrastructure.
- c) Continuous cooperation and exchange of information between educational and scientific institutions, establishment of cooperation structures.  
Development and implementation of education and qualification modules with a cross-border focus and bilingual/multilingual training courses / teaching materials.  
Establishment or consolidation of structures facilitating regular mutual intercultural exchange of information.  
Establishment and expansion of structures serving to maintain and accompany cross-border intercultural projects.

### Output Indicators:

- a) Number of projects providing physical support for SME ( plant and equipment etc.) [number of jobs created]  
Number of projects providing financial support to introduce environmental technologies or to develop eco-products  
Number of projects providing business advisory services  
Number of projects providing support for information networks, operational expenditure, technology oriented business databases, software, presentations, cooperation meetings, participation in fares etc.  
Number of projects creating networks or services for knowledge transfer  
Number of vocational training and training projects (SMEs); number of trainees
- b) Number of projects providing support for the improvement of rail, road, airport, urban transport, ports, multimodal transport intelligent transport systems;  
km of biking / hiking / horseback riding path constructed
- c) Number of vocational education and training projects (number of participants).  
Number of projects supporting intercultural networks and exchange programmes .

### Co-operation Indicators:

5 phases of cross-border co-operation; planned / achieved

### Gender mainstreaming indicators:

the project: has equality between the sexes as its main focus, is positive in terms of male-female equality or is neutral in terms of such equality

### Environmental Indicator:

the project: has the environment as its main focus; is environmentally friendly; is environmentally neutral

### Location Indicator:

the project is: urban; rural; not geographically delimited

**13 Financial Plan**

In EURO	Total
<b>Total cost of the measure</b>	1,664,638
<b>ERDF</b>	832,319
<b>National co-financing</b>	832,319
Public funds	832,319
Private funds	0

## Technical Assistance

According to Article 23 of Regulation (EU) Nr. 1260/1999, technical assistance has the purpose of providing assistance for the preparation, support, evaluation and control work involved in the programme and is subdivided by Rule 11 of Regulation (EC) Nr. 1685/2000 into two areas:

TA-1: Administration, implementation, support and control activities (pursuant to Rule 11, par. 2), and

TA-2: Other activities within the scope of technical assistance (pursuant to Rule 11, par. 3)

### Technical Assistance-1: Administration, implementation, support and control activities (pursuant to Rule 11, par. 2 Regulation (EC) 1185/2000)

#### 1 Contents / Object of the Measure

Based on the options listed in the CIP projects with the focus in the following areas will be supported:

Activities linked to the preparation, selection, evaluation and support of interventions and operations

Activities involving meetings of the Monitoring Committees and Steering Committees in connection with the intervention, including the Technical Secretariat as well as costs of experts and third-country participants pursuant to Rule 11 par. 2.1. Regulation (EC) Nr. 448/2004.

Examination and on-site checks of operations

Draw up of the obligatory reports on the progress of the programme (annual implementation report, progress report)

Preparation of the programme of the following SF-period

Running and management of an electronic based monitoring for the management and monitoring of the programme

Specific measures pursuant to number 52 of the INTERREG III guideline with a view to the creation and development of common structures

#### 2 Goals

Efficient and in-time technical programme implementation

Co-ordination of the cross-border co-operation at the programme level

Exchange of experiences

#### 3 Final Recipients / Final Beneficiaries

ERDF

Local and regional authorities

Other authorities and institutions in charge of programme management support

#### 4 Formal Criteria for EU Co-financing

<p>Economic and organisational capacity of the entity responsible for the project</p> <p>Amount and appropriateness of the costs of the project</p> <p>Secured financing, appropriateness of the ratio between own funds and public assistance (taking into account the possible EU co-financing according to the programme as well as any other national public funds applied for, already granted or promised)</p> <p>Relevant project aim which are in line with sectoral policy objectives (if required, also including statements of other administrative bodies concerned)</p> <p>Fulfilment of the specific requirements for assistance under INTERREG IIIA – pursuant to the CIP and the Programme Complement (see item no. 5)</p> <p>Compliance with the criteria for ERDF assistance (pursuant to Council Regulation no. 1783/99) and with the Commission Regulation concerning the eligibility of operations (also Regulation no. 448/04)</p> <p>Compliance with other relevant EU regulations (subsidy laws, rules for the awarding of public contracts, environmental law, etc.)</p> <p>Observance of the condition that any current business of institutions within the framework of their legal mandate or any activities covered by basic subsidies cannot be funded under Interreg IIIA.</p>
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#### 5 Specific Criteria for the Project Selection

<p>Relevant for an efficient implementation of the intervention</p> <p>Compatibility of the single-project to the overall-planning of the TA of the Managing Authority</p>
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#### 6 Eligible Costs

<p>As a general rule:</p> <p>Installation and operation of a technical secretariat</p> <p>Personnel and material costs</p> <p>Consultation fee</p>
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#### 7 Type and Amount of EU Assistance

Austria	Slovakia
<p>Unrecoverable assistance, according to programme 50% at max.</p> <p>It has to be stated that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded.</p>	<p>Unrecoverable assistance, according to programme 75% at max.</p> <p>In cases where the final beneficiary belongs to the state resort, (state budgetary organizations, state budget assisted organizations), the whole co-financing is ensured from the state budget. It means that in such cases the co-financing from the state budget in Trnava and Bratislava regions amounts to 25 %.</p> <p>It has to be stated that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded.</p>

## 8 Implementation Instruments – Legal Basis

a) Legal basis for the granting of the EU funds

b) Legal basis for the granting of national funds

Austria	Slovakia
<p>a)</p> <p>Individual decision of the Federal Chancellery in its function as management authority</p> <p>Individual decision of the Länder of Burgenland, Niederösterreich and Wien</p> <p>b)</p> <p>Individual decision of the Länder of Burgenland, Niederösterreich and Wien</p> <p>Direct commissioning by public bodies</p> <p>Allgemeine Rahmenrichtlinie für die Gewährung von Förderungen aus Bundesmitteln (BMF.-Z.01 3301/7-II/3/77 of 5 May 1977, as amended)</p> <p>Richtlinien des Ziel 1-Programmes Burgenland, as amended</p>	<p>a)</p> <p>Decision taken by the Ministry of Construction and Regional Development, Interreg A Management Department acting as National Authority</p> <p>b)</p> <p>zákon č. 291/2002 Z. z. o štátnej pokladnici (Act Number 291/2002 State Treasury) as amended</p> <p>In addition the following regulations have been taken into consideration:</p> <p>Act No 39/1993 Collections on the Supreme Audit Office SR as amended</p> <p>Act No 289/1995 Collections on Value Added Tax as amended</p> <p>Act No 303/1995 Collections on Budgetary Rules as amended</p> <p>Act No 231/1999 Collections on State Aid as amended</p> <p>Act No 263/1999 Collections on Public Procurement as amended</p> <p>Act No 440/2000 Collections on Financial Control Administrations as amended by Act No 150/2001 Collections.</p> <p>Act No 502/2001 Collections on Financial Control and Internal Audit as amended</p> <p>Act No 503/2001 Collections on Regional Development Support as amended</p> <p>Act No 431/2002 Collections on Accounting</p>

## 9 Competent Authority / Contact Point for SF Grants

Austria	Slovakia
<p><b>Intermediary Bodies:</b></p> <p><b>Burgenland:</b> Regionalmanagement Burgenland GmbH. (subsidiary, the competent sector department of the Amt der Burgenländischen Landesregierung) Marktstraße 3, A-7000 Eisenstadt Phone: ++(43)(2682)-704-2424 Fax: ++(43)(2682)-704-2410 e-mail: <a href="mailto:harald.ladich@rmb.co.at">harald.ladich@rmb.co.at</a></p> <p><b>Niederösterreich:</b> Amt der Niederösterreichischen Landesregierung, Abt. RU2, Landhausplatz 1, A-3109 St. Pölten Phone: ++(43)(2742)-9005-14329 Fax: ++(43)(2742)-9005-14170 e-mail: <a href="mailto:francois-edouard.pailleron@noel.gv.at">francois-edouard.pailleron@noel.gv.at</a></p> <p><b>Wien:</b> Amt der Wiener Landesregierung, MA 27 – EU-Förderungen, Rathaus, A-1080 Vienna Phone: ++(43)(1)-4000-82582 Fax: ++(43)(1)-4000-9982582 e-mail: <a href="mailto:sto@meu.magwien.gv.at">sto@meu.magwien.gv.at</a></p> <p>In the event of difficulties in deciding who is to be responsible, the Managing Authority (Republic of Austria, Federal Chancellery, Department IV/4, Hohenstaufengasse 3, A-1010 Vienna) shall reach a decision on the assignment of a project to a responsible authority for the co-ordination of the project.</p>	<p><b>Intermediary Bodies:</b></p> <p>Ministry of Construction and Regional Development Regional Development Support Agency Spitálska 8, 816 44 Bratislava Phone: +421-2-5975 – 3221 Fax: +421-2- 5975 – 3233 e-mail: <a href="mailto:interreg@build.gov.sk">interreg@build.gov.sk</a></p> <p>In close co-operation with the VÚC (Higher Territorial Units):</p> <p>Bratislavský samosprávny kraj Trnavská cesta 8/A, 820 05 Bratislava Tel : +421-2-55 42 31 38 Fax: +421-2-55 42 31 38 e-mail : <a href="mailto:zschneiderova@region-bsk.sk">zschneiderova@region-bsk.sk</a></p> <p>Trnavský samosprávny kraj Vajanského 2, 917 01Trnava Tel : +421-33-593 33 18 Fax: +421-33-593 33 18 e-mail : <a href="mailto:karpatyova.maria@trnava-vuc.sk">karpatyova.maria@trnava-vuc.sk</a></p> <p>Technical Assistance Projects are labelled as 'Direct Projects' which are subject to a specific internal control procedure: the Control Department of the Regional Development Support Agency (APRR) will be responsible for these control activities. The routines are described in the Internal Manual (Interný Manuál).</p>

## 10 Code Numbers for Assistance from the Structural Funds

411 – preparation, implementation, monitoring, publicity

## 11 Desired Effects on the Integration of the Border Region

Efficient common decision-making procedure  
Contribute to the agreement on and transparency in project selection  
Contribute to the strengthening of the joint development process  
Co-ordinated programme adjustments on a regular basis

**12 Financial Plan**

In EURO	Total
<b>Total cost of the measure</b>	2,809,334
<b>ERDF</b>	1,582,000
<b>National co-financing</b>	1,227,334
Public funds	1,191,867
Private funds	35,467



## **Technical Assistance-2: Other activities within the scope of technical assistance (pursuant to Rule 11, par. 3 Regulation (EC) 1185/2000)**

### **1 Contents / Object of the Measure**

Based on the options listed in the CIP projects with the focus in the following areas will be supported:  
Provide the conditions for an efficient and timely technical programme implementation  
Broad information of the public  
Activation of potential applicants through information and  
Disclosure activities

### **2 Goals**

Provide the conditions for an efficient and timely technical programme implementation  
Broad information of the public  
Activation of potential applicants through information and  
Disclosure activities

### **3 Final Recipients / Final Beneficiaries**

Local and regional authorities  
Other authorities in charge of programme support

### **4 Formal Criteria for EU Co-financing**

Economic and organisational capacity of the entity responsible for the project  
Amount and appropriateness of the costs of the project  
Secured financing, appropriateness of the ratio between own funds and public assistance (taking into account the possible EU co-financing according to the programme as well as any other national public funds applied for, already granted or promised)  
Relevant project aim which are in line with sectoral policy objectives (if required, also including statements of other administrative bodies concerned)  
Fulfilment of the specific requirements for assistance under INTERREG IIIA – pursuant to the CIP and the Programme Complement (see item no. 5)  
Compliance with the criteria for ERDF assistance (pursuant to Council Regulation no. 1783/99) and with the Commission Regulation concerning the eligibility of operations (also Regulation no. 448/04)  
Compliance with other relevant EU regulations (subsidy laws, rules for the awarding of public contracts, environmental law, etc.)  
Observance of the condition that any current business of institutions within the framework of their legal mandate or any activities covered by basic subsidies cannot be funded under Interreg IIIA.

### **5 Specific Criteria for the Project Selection**

Relevant for an efficient implementation of the intervention  
Compatibility of the single-project to the overall-planning of the TA of the Managing Authority

## 6 Eligible Costs

As a general rule:

Personnel and material costs

Consultation fee

## 7 Type and Amount of EU Assistance

Austria	Slovakia
<p>Unrecoverable assistance, according to programme 50% at max.</p> <p>It has to be stated that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded.</p>	<p>Unrecoverable assistance, according to programme 75% at max.</p> <p>In cases where the final beneficiary belongs to the state resort, (state budgetary organizations, state budget assisted organizations), the whole co-financing is ensured from the state budget. It means that in such cases the co-financing from the state budget in Trnava and Bratislava region amounts to 25 % .</p> <p>It has to be stated that the maximum amount of permissible assistance for a specific project according to EU competition law – composed of financial support from EU Structural Funds and national funds – will not be exceeded.</p>

## 8 Implementation Instruments – Legal Basis

a) Legal basis for the granting of the EU funds

b) Legal basis for the granting of national funds

Austria	Slovakia
<p>a)</p> <p>Individual decision of the Federal Chancellery in its function as management authority</p> <p>Individual decision of the Länder of Burgenland, Niederösterreich and Wien</p> <p>b)</p> <p>Individual decision of the Länder of Burgenland, Niederösterreich and Wien</p> <p>Direct commissioning by public bodies</p> <p>Allgemeine Rahmenrichtlinie für die Gewährung von Förderungen aus Bundesmitteln (BMF.-Z.01 3301/7-II/3/77 of 5 May 1977, as amended)</p> <p>Richtlinien des Ziel 1-Programmes Burgenland, as amended</p>	<p>a)</p> <p>Decision taken by the Ministry of Construction and Regional Development, Interreg A Management Department acting as National Authority</p> <p>b)</p> <p>zákon č. 291/2002 Z. z. o štátnej pokladnici (Act Number 291/2002 State Treasury) as amended</p> <p>In addition the following regulations have been taken into consideration:</p> <p>Act No 39/1993 Collections on the Supreme Audit Office SR as amended</p> <p>Act No 289/1995 Collections on Value Added Tax as amended</p> <p>Act No 303/1995 Collections on Budgetary Rules as amended</p> <p>Act No 231/1999 Collections on State Aid as amended</p> <p>Act No 263/1999 Collections on Public Procurement as amended</p> <p>Act No 440/2000 Collections on Financial Control Administrations as amended by Act No 150/2001 Collections.</p> <p>Act No 502/2001 Collections on Financial Control and Internal Audit as amended</p> <p>Act No 503/2001 Collections on Regional Development Support as amended</p> <p>Act No 431/2002 Collections on Accounting</p>

## 9 Competent Authority / Contact Point for SF Grants

Austria	Slovakia
<p><b>Intermediary Bodies:</b></p> <p><b>Burgenland:</b> Regionalmanagement Burgenland GmbH. (subsidiary, the competent sector department of the Amt der Burgenländischen Landesregierung) Marktstraße 3, A-7000 Eisenstadt Phone: ++(43)(2682)-704-2424 Fax: ++(43)(2682)-704-2410 e-mail: <a href="mailto:harald.ladich@rmb.co.at">harald.ladich@rmb.co.at</a></p> <p><b>Niederösterreich:</b> Amt der Niederösterreichischen Landesregierung, Abt. RU2, Landhausplatz 1, A-3109 St. Pölten Phone: ++(43)(2742)-9005-14329 Fax: ++(43)(2742)-9005-14170 e-mail: <a href="mailto:francois-edouard.pailleron@noel.gv.at">francois-edouard.pailleron@noel.gv.at</a></p> <p><b>Wien:</b> Amt der Wiener Landesregierung, MA 27 – EU-Förderungen, Rathaus, A-1080 Vienna Phone: ++(43)(1)-4000-82582 Fax: ++(43)(1)-4000-9982582 e-mail: <a href="mailto:sto@meu.magwien.gv.at">sto@meu.magwien.gv.at</a></p> <p>In the event of difficulties in deciding who is to be responsible, the Managing Authority (Republic of Austria, Federal Chancellery, Department IV/4, Hohenstaufengasse 3, A-1010 Vienna) shall reach a decision on the assignment of a project to a responsible authority for the co-ordination of the project.</p>	<p><b>Intermediary Bodies:</b></p> <p>Ministry of Construction and Regional Development Regional Development Support Agency Spitálska 8, 816 44 Bratislava Phone: +421-2-5975 – 3221 Fax: +421-2- 5975 – 3233 e-mail: <a href="mailto:interreg@build.gov.sk">interreg@build.gov.sk</a></p> <p>In close co-operation with the VÚC (Higher Territorial Units):</p> <p>Bratislavský samosprávny kraj Trnavská cesta 8/A, 820 05 Bratislava Tel : +421-2-55 42 31 38 Fax: +421-2-55 42 31 38 e-mail : <a href="mailto:zschneiderova@region-bsk.sk">zschneiderova@region-bsk.sk</a></p> <p>Trnavský samosprávny kraj Vajanského 2, 917 01Trnava Tel : +421-33-593 33 18 Fax: +421-33-593 33 18 e-mail : <a href="mailto:karpatyova.maria@trnava-vuc.sk">karpatyova.maria@trnava-vuc.sk</a></p> <p>Technical Assistance Projects are labelled as 'Direct Projects' which are subject to a specific internal control procedure: the Control Department of the Regional Development Support Agency (APRR) will be responsible for these control activities. The routines are described in the Internal Manual (Interný Manuál).</p>

## 10 Code Numbers for Assistance from the Structural Funds

412 – evaluation  
413 – studies  
414 – innovative actions  
415 – information to the public

## 11 Desired Effects on the Integration of the Border Region

Efficient joint decision-making procedure  
Highly topical information on both sides  
Contribute to the agreement on and transparency in project selection  
Contribute to the strengthening of the joint development process  
Co-ordinated programme adjustments on a regular basis

## 12 Financial Plan

In EURO	Total
<b>Total cost of the measure</b>	703,335
<b>ERDF</b>	396,000
<b>National co-financing</b>	307,335
Public funds	298,467
Private funds	8,868



## 4 PROJECT SELECTION: CRITERIA AND PROCEDURES

### 4.1 General approach

Since the development of common projects under INTERREG IIIA shall be intensified, the mutual exchange of information on project ideas prior to the formal application is increasingly important. In this respect, besides regular exchange of information between the Intermediate Bodies, regional managements and regional development agencies, also info days and joint exchange fora on project ideas will be organised for potential applicants.

In the joint implementation period of the Interreg IIIA programme, the following types of projects are expected:

- **Joint projects:** the project is developed jointly and foresees joint and synchronised implementation of activities. The project partners may nominate a (functional) lead project coordinator who is responsible for the coordination of project activities. Assistance to the development of the project application is provided by the Intermediate Bodies (IBs) of both partners' countries. In case of such projects close contacts between the IBs will be established. In ideal cases the project – based on two applications - will be presented jointly to the Joint Steering Committee. If the project is approved by the Steering Committee, two separate subsidy contracts are concluded with the Final Beneficiaries in Austria and Slovakia.
- **Mirror projects:** the project is developed in close co-operation and focuses on planning complementary activities to be implemented on both sides of the border. The major difference to joint projects is that the activities must not necessarily take place at the same time. Different project applications are submitted by project owners to the respective Intermediate Body in Austria and Slovakia. Mirror projects can be approved complementary to already existing projects.
- **Other projects:** the project must demonstrate cross border impact, though it is financed only from one side with an ERDF subsidy contract.
- **Complementary projects:** project which activities from the aspect of the content are knotting, respectively complementary to the activities of the project, which was realised or will be realised by the cross-border partner.

In general, the selection of projects shall be done in the following steps:

- In the pre-evaluation phase the compliance with formal criteria is checked. The Intermediate Bodies (IBs) examine the applications according to administrative criteria and eligibility criteria.
- The IBs evaluate the project also according (a) core selection criteria, which is based on a standardised survey of the cross-border quality in the projects' development, and implementation and (b) a survey and typology of the projects expected impacts on functionally integrated regional development.

Project selection: criteria and procedures

After completing the examination a summary assessment of these criteria is drawn up and reported by the respective IB to the Central Monitoring System (CMS).

All projects with complete application form have to be reported in the CMS with status level 1 (first entry in CMS – obligatory) with defined minimum requirements.

- The JTS prepares materials for the Steering Committee to take decision on project selection and the ERDF funding. It examines the project applications as to whether they are complete and meet the selection criteria and provides project sheets to the members of the Steering Committee.
- The formal decision on the granting of the assistance is taken by the Steering Committee. The Steering Committee selects projects for ERDF funding on the basis of the project selection criteria and recommendations prepared by the IBs/JTS following the Rules of Procedure. The criteria for this selection phase are described in detail in the graph below.

Finally, the IBs set up the subsidy contract and make checks of the project implementation. The IBs are also responsible for the payments to the Beneficiaries.

## 4.2 Project Selection Procedure

The project selection procedure is illustrated in the table below. The selection procedure consists of a sequence of activities flowing from the regional level of the Intermediary Bodies and the Joint Technical Secretariat to the Steering Committee. Please note that the **entire** Interreg IIIA selection process is contained only in the right side of the table, while the examination of compliance with directives and the co-financing eligibility of a project run parallel in the preparatory phase.

Exceptions to the selection procedure laid down in this chapter have to follow the Rules of Procedure of the Joint Monitoring Committee for the implementation of the Interreg IIIA Programme Austria – Slovakia, article 4.

The Interreg IIIA project selection process is based on two pillars, as described in the programming document:

- On the one hand, it is based on the project impact with respect to the overarching goal of regional integration of border regions, differentiated by measures and qualitatively described in so-called **impact indicators** (see 4.3) and
- On the other hand, on the assessment of cross-border cooperation intensity in development and implementation of projects, which are described in so-called **cooperation indicators** covering five distinct stages.

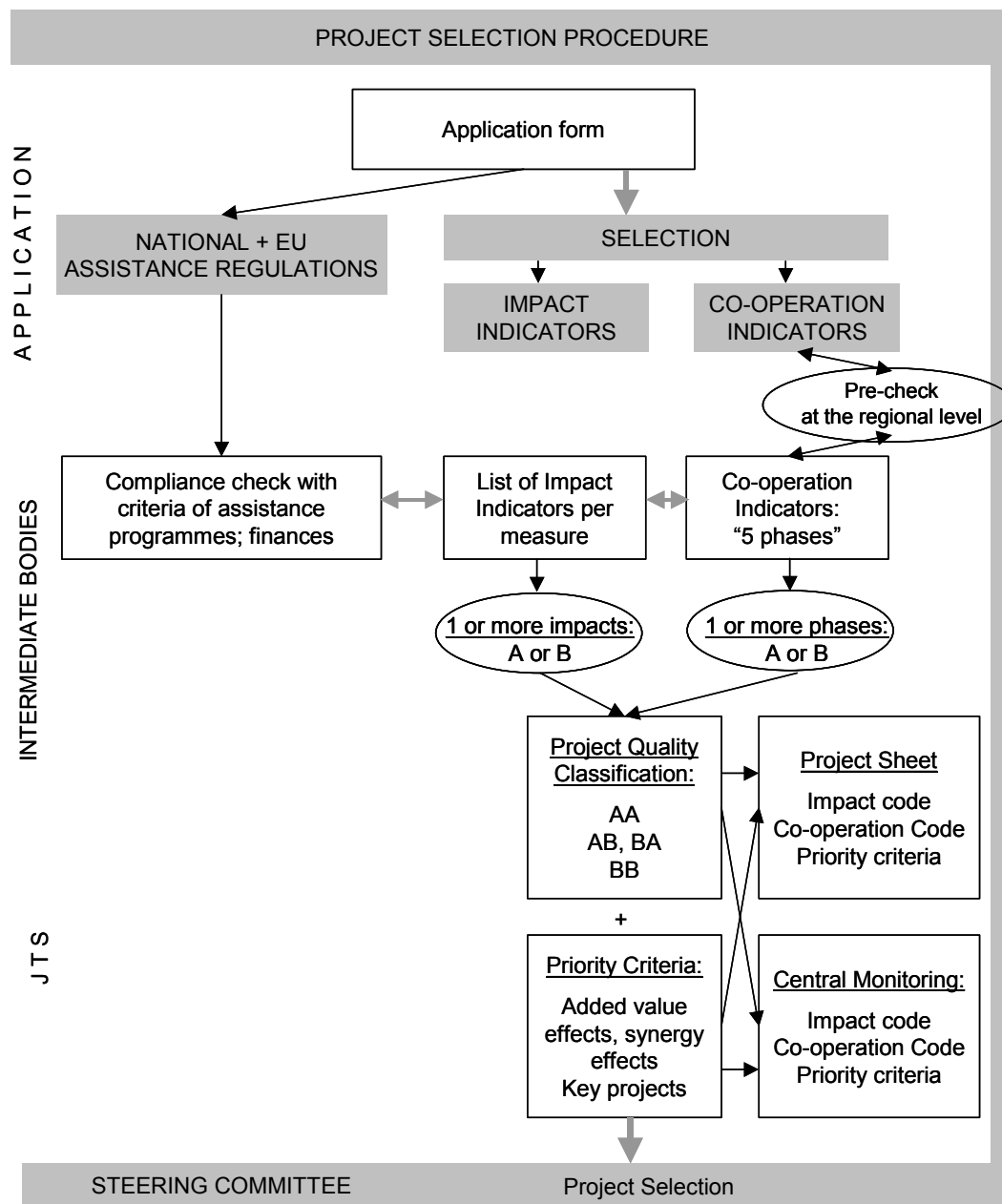
Both types of indicators are evaluated by the Intermediary Bodies for each project based on the project application and summarised in a project sheet. The assessment allows a classification of



the quality ranging from AA projects (2 or more impact indicators, 2 or more phases of project coordination) over AB projects (only one cooperation phase) and BA projects (only one impact) to BB projects (one impact, one cooperation phase).

Moreover, in order to perform a qualified selection within these categories (from AA to BB), a supplementary rating was proposed: a subsequent selection step using so-called **priority criteria** (see 4.5) allow to fine-tune the selection. Finally, the draft application is accompanied by a summary **project sheet** (see 3.6).

**Project Selection Procedure**



### 4.3 Impact indicators

The concept of evaluating impacts with respect to the project goals and the overarching goal of regional integration of border areas is based on the **qualitative assessment** by the Intermediary Bodies, which sees **significant impacts** triggered in **one or several** areas considered **important** for a measure.

The judgement of whether an impact is significant or not and the formulation in so-called impact indicators is a process consisting of several stages. The long list contained in the following pages of impact indicators, was drafted on the basis of an expert opinion, two statements and three workshops of the Austrian Intermediary Bodies and agreed-on by consensus.

Discussions in this context have resulted in the following agreement regarding the practical application of the long list:

If, in the course of implementation it becomes apparent that certain project types – based on the consensus of the Members of the Joint Steering Committee – are recommended to receive assistance which at the time of programming were not recognised as such or insufficiently, and based on the existing impact indicators were evaluated as non-eligible, then the following **procedure** shall apply:

1. Presentation of a proposal for supplementary indicators,
2. Detailed explanations for the intended changes given by the authority applying
3. Presentation by the administrative authority to the Joint Monitoring Committee

**“Long list” of impact indicators**

Priorities and Measures		No.	Impact indicators at the project level: Impacts on the (cross-border) integration of border regions
<b>P1 – Cross-border economic co-operation</b>			
<b>M 1</b>	<b>Development and Support of Business sites and Business Service Infrastructure in Border Areas</b>	<input type="checkbox"/>	<b>1</b> To create and expand cross-border business information and communication, and business services structures
		<input type="checkbox"/>	<b>2</b> To improve the overall conditions for building up cross-border co-operation between and among businesses as well as co-operation networks
		<input type="checkbox"/>	<b>3</b> Know-how and technology transfer, networking with science, R&D facilities
		<input type="checkbox"/>	<b>4</b> Common external image / integrated marketing of border regions
		<input type="checkbox"/>	<b>5</b> Reduction of legal and technical barriers
<b>Cross-border Co-operation of Enterprises (SMEs) and Counseling and Support for Cross-border Business Activities</b>			
<b>M 2</b>		<input type="checkbox"/>	<b>6</b> Expansion of market / integrated development of offerings, marketing and external image
		<input type="checkbox"/>	<b>7</b> Integrated production (factor combinations) and development of services, building up of supply and delivery relationships
		<input type="checkbox"/>	<b>8</b> Intensifying partner searches, and the development and creation of co-operation projects and networks
		<input type="checkbox"/>	<b>9</b> Know-how and technology transfer, consulting, common services for enterprises
<b>M 3</b>	<b>Tourism and leisure time</b>	<input type="checkbox"/>	<b>10</b> Support for co-operation among enterprises in sectors experiencing strong structural changes
		<input type="checkbox"/>	<b>11</b> Expansion of the market / integrated development of offerings, marketing and external image
		<input type="checkbox"/>	<b>12</b> Development of services and integrated production (factor combinations)
		<input type="checkbox"/>	<b>13</b> Intensifying partner searches, and the development and creation of co-operation projects and networks
		<input type="checkbox"/>	<b>14</b> Know-how transfer, consulting, common services for enterprises
<input type="checkbox"/>	<b>15</b> Improving the services and products and the joint use of tourism infrastructure.		
<b>P2 – Accessibility</b>			
<b>M 1</b>	<b>Improvement of Cross-border Transportation and Telecommunications Infrastructure</b>	<input type="checkbox"/>	<b>16</b> (Preparation of) cross-border infrastructure, faster and improved transportation links
		<input type="checkbox"/>	<b>17</b> (Preparation of) links to international transportation routes
		<input type="checkbox"/>	<b>18</b> Cross-border networking of business locations
		<input type="checkbox"/>	<b>19</b> Improving the services and products, and integrated use of information technology and telecommunications infrastructure.
		<input type="checkbox"/>	<b>20</b> Cross-border development and use of IT applications, telematics services, etc.
<b>M 2</b>	<b>Transport Organization, Planning and Logistics</b>	<input type="checkbox"/>	<b>21</b> (Preparation of the) improvement of cross-border infrastructure, faster and improved transportation links
		<input type="checkbox"/>	<b>22</b> (Preparation of) links to international transportation routes
		<input type="checkbox"/>	<b>23</b> Harmonized, integrated planning and use of transportation and telecommunications infrastructure
		<input type="checkbox"/>	<b>24</b> Contribution to shifting traffic loads (from roads) through the harmonized/integrated use of transportation infrastructure and means

<b>P3 – Cross-border Organizational Structures and Networks</b>			
<b>M 1 Support of Cross-border Organizational Structures and Development of Networks</b>	<input type="checkbox"/>	<b>26</b>	Networking of private and/or public services
	<input type="checkbox"/>	<b>27</b>	To create and expand co-operation networks and structures
	<input type="checkbox"/>	<b>28</b>	Creation and reinforcement of structures for the regular, mutual exchange of information and project collaboration
	<input type="checkbox"/>	<b>29</b>	To create and expand support structures for cross-border projects
<b>M 2 Micro-projects, including People-to-People Actions and Small Pilots</b>	<input type="checkbox"/>	<b>30</b>	Reduction of mental barriers through the creation of a sustainable and broad co-operation base
	<input type="checkbox"/>	<b>31</b>	Creation of sustainable co-operation structures
	<input type="checkbox"/>	<b>32</b>	Development of new topics such as the first testing of new forms of collaboration in the meaning of pilot projects
	<input type="checkbox"/>	<b>33</b>	Contribution to strategic project development
<b>P4 – Human resources</b>			
<b>M 1 Development of a Regional Labour Markets within the Context of EU Enlargement</b>	<input type="checkbox"/>	<b>34</b>	Reduction of organisational and legal barriers, and of information deficits
	<input type="checkbox"/>	<b>35</b>	Building up and intensification of collaboration between the labour market and social policy institutions as well as social partners
	<input type="checkbox"/>	<b>36</b>	Development of new, cross-border-adjusted occupational fields and qualifications
	<input type="checkbox"/>	<b>37</b>	Contribution to the development of instruments and cross-border labour market and social policy/development and observation
	<input type="checkbox"/>	<b>38</b>	Development of new job opportunities (creation of regional centers of core competencies)
<b>M 2 Development of Co-operation and Infrastructure in the fields of Education, Qualification and Science</b>	<input type="checkbox"/>	<b>39</b>	Ongoing collaboration and exchange of information between education and science institutions, creation of co-operation structures
	<input type="checkbox"/>	<b>40</b>	Development/implementation of education and qualification modules with a cross-border focus and multi-/dual lingual educational programmes and teaching materials
	<input type="checkbox"/>	<b>41</b>	Intensification of scientific co-operation and exchange
	<input type="checkbox"/>	<b>42</b>	Contribution to the development of reference systems for education and qualification
<b>P5 – Sustainable Spatial and Environmental Development</b>			
<b>M 1 Ressource Management, Technical Infrastructure and Renewable Energy Supply</b>	<input type="checkbox"/>	<b>44</b>	Contribution to the development and implementation of joint (environmental) standards and monitoring
	<input type="checkbox"/>	<b>45</b>	Co-ordinated environmental and resource management, creation of co-operation structures
	<input type="checkbox"/>	<b>46</b>	Utilisation of renewable energy resources and natural resources
	<input type="checkbox"/>	<b>47</b>	Improvement of environmental status/reduction of emissions
	<input type="checkbox"/>	<b>48</b>	Improving the standard and joint use of environmental infrastructure and technology
<b>M 2 Measures for Nature and Environmental Protection incl. National and Nature parks</b>	<input type="checkbox"/>	<b>49</b>	Contribution to the development and implementation of joint (environmental) standards and monitoring
	<input type="checkbox"/>	<b>50</b>	Integration and joint protection/use of ecologically valuable zones and natural heritage
	<input type="checkbox"/>	<b>51</b>	Co-ordinated management of nature and national parks, creation and expansion of co-operation structures
	<input type="checkbox"/>	<b>52</b>	Common external image and marketing of nature and national parks
	<input type="checkbox"/>	<b>53</b>	Improved standard and joint use of nature and national park infrastructure, environmental infrastructure and technology

<b>M 3</b>	<b>Cross-border Spatial Development in Rural and Urban Areas</b>	<input type="checkbox"/>	<b>54</b>	Harmonization of settlement and infrastructure development and planning, landscape planning/use, social and economic development of locations
		<input type="checkbox"/>	<b>55</b>	Harmonization of planning and use of environmental and urban technologies
		<input type="checkbox"/>	<b>56</b>	Harmonization of strategic preparation for EU enlargement
		<input type="checkbox"/>	<b>57</b>	Analysis and study of regional development potentials and strategies
		<input type="checkbox"/>	<b>58</b>	Creation and expansion of co-operation structures and networks
<hr/>				
	<b>P6 – Special Support for Border Regions</b>			
<b>a)</b>	<b>Support for small- and medium-sized enterprises Particularly affected by the enlargement</b>	<input type="checkbox"/>	<b>59</b>	To create and expand cross-border business information and communication, and business services structures
		<input type="checkbox"/>	<b>60</b>	To improve the overall conditions for building up cross-border co-operation between and among businesses as well as co-operation networks
		<input type="checkbox"/>	<b>61</b>	Know-how and technology transfer, networking with science, R&D facilities
		<input type="checkbox"/>	<b>62</b>	Common external image / integrated marketing of border regions
		<input type="checkbox"/>	<b>63</b>	Reduction of legal and technical barriers
<b>b)</b>	<b>Development of the cross-border transport network</b>	<input type="checkbox"/>	<b>64</b>	(Preparation of) cross-border infrastructure, faster and improved transportation links
		<input type="checkbox"/>	<b>65</b>	(Preparation of) links to international transportation routes
		<input type="checkbox"/>	<b>66</b>	Cross-border networking of business locations
		<input type="checkbox"/>	<b>67</b>	Creating and expanding a jointly used tourism infrastructure (e.g. hiking paths, cycling- and horse riding tracks)
<b>c)</b>	<b>Training activities and intercultural cooperation</b>	<input type="checkbox"/>	<b>68</b>	Reduction of information deficits and mental barriers at the local and regional level
		<input type="checkbox"/>	<b>69</b>	Networking of private and/or public institutions with an intercultural focus
		<input type="checkbox"/>	<b>70</b>	Development and implementation of intercultural education and qualification offerings (with a focus on minorities)
		<input type="checkbox"/>	<b>71</b>	Promotion of minority language facilities with cross-border effects
		<input type="checkbox"/>	<b>72</b>	Development and implementation of cultural exchange programmes with long-term effects

Austrian Institute for Regional Studies and Spatial Planning  
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#### 4.4 Indicators for the Quality of Co-operation in Project Development and Implementation (co-operation indicators)

In developing and implementing INTERREG projects, several development steps can be differentiated (preparation, implementation, etc.). Each of these steps can be completed duly respecting cross-border co-operation or independently. The **project evaluation and selection** will be based on the **cross-border quality of the individual steps of the project development and implementation**, which have to be recorded in the project application.

Phases	Project phases	Co-operation indicator
	<b>1. Preparation until application</b>	<ul style="list-style-type: none"> <li>Project concept developed with partners from the neighbouring country</li> </ul> <p>Proof: Partners, contribution to project development</p>
<b>Application</b>	<b>Approval</b>	
	<b>2. Planning the implementation / tender</b>	<ul style="list-style-type: none"> <li>Planning the implementation with partners from the neighbouring country</li> </ul> <p>Proof: Partners, contribution to plans for the implementation</p>
	<b>3. Implementation / construction</b>	<ul style="list-style-type: none"> <li>Implementation with partners from the neighbouring country</li> </ul> <p>Proof: Partners, contribution to the implementation (according to project milestones)</p>
	<b>4. Financing</b>	<ul style="list-style-type: none"> <li>Financing with partners from the neighbouring country</li> </ul> <p>Proof: Partners, financing contribution</p>
<b>Project end</b>	<b>5. Use/operation after completion of project</b>	<ul style="list-style-type: none"> <li>Use of results/installations by persons/institutions/firms from the neighbouring country</li> </ul> <p>Proof: User, type of use</p>

#### 4.5 Priority criteria for project selection

After completion of

- the classification of the projects by impact and
- quality of the cooperation in project development and implementation,
- as well as the grouping by AA AB, BA, BB

the next project selection step is taken. This is done with a view to the fulfilment of the goals and key criteria of the programme. Three-priority criteria highlight certain aspects of project quality such as its sub-sequential impact on other later projects. The following criteria are proposed:

- **Additional impact/added value:** Positive effects in other areas, which are also covered by the project goals, synergy effects with other projects and/or measures of the programme.
- **Assessment as a key project:** A main project goal is to motivate further projects in areas, which support the programme goals.
- **Special impacts relating to the implementation of EU policies:** Compliance with the criteria mentioned in selecting the project is designed to attain a higher overall impact of the Programme than otherwise possible. This is encouraged by stressing the interaction with other programme areas and projects, thus using potential synergy effects and enhancing these.

## 4.6 Project sheet

### Minimum requirements of information on project level

The project sheet presented below contains the minimum requirements of information on project level, which is presented to the Joint Steering Committee. In general, this information is exchanged between Intermediary Bodies and the Joint Technical Secretariat via Monitoring System. In case the Monitoring System is not functioning properly, the presented project sheet shall be used for information exchange.

<b>Projektblatt / Infolist</b>  <b>INTERREG IIIA</b> <b>Österreich – Slovenská republika</b>  <b>Projektnummer Datenbank</b>	Laufnummer: CMS----- Kód projektu: ITMS-----		
	Förderstelle eingelangt: Obdržané pracoviskom STS v SR (Národný kontaktný bod): -----		
	Status/Stav v ITMS: -----		
	Aktenzeichen Förderstelle: Číslo projektu na VÚC: -----		
	abgelehnt/bewilligt in LA am: Odmietnuté/schválené v Spoločnom riadiacom výbore (dátum): -----		
	EFRE-Fördervertrag am: zmluva o poskytnutí NFP z EFRE (dátum): -----		
<b>Förderstelle/                  Pracovisko STS v SR (Národný kontaktný                  bod)</b>	-----		
<b>Projekt-Code / Zaradenie projektu</b>	Land, Region / Štát/samosprávny kraj: -----	Priorität/ Priorita: -----	Maßnahme/ Opatrenie: -----
<b>Projektbeschreibung/Popis projektu</b>	Akronym/ Stručný popis: -----		
<b>Titel/Názov projektu:</b>	-----		
<b>Träger/Ziadateľ:</b>	-----		
Anschrift/Adresa ----- Ansprechpartner/Kontaktná osoba ----- Rechtspersönlichkeit/Právna format -----	-----		
<b>Projektpartner                  Partneri projektu:</b>	-----		
Anschrift/Adresa ----- Ansprechpartner/Kontaktná osoba ----- Rechtspersönlichkeit/Právna format -----	-----		
Anschrift/Adresa ----- Ansprechpartner/Kontaktná osoba ----- Rechtspersönlichkeit/Právna format -----	-----		
Anschrift/Adresa ----- Ansprechpartner/Kontaktná osoba ----- Rechtspersönlichkeit/Právna format -----	-----		
Anschrift/Adresa ----- Ansprechpartner/Kontaktný partner ----- Rechtspersönlichkeit/Právna format -----	-----		



**Zusammenfassende Projektbeschreibung (Ausgangslage, Projektziele, Aktivitäten, angestrebte sichtbare Resultate)  
Súhrnný popis projektu (východisková situácia, ciele projektu, aktivity):**

**Ausgangslage:**

-----

**Problémy, ktoré bude projekt riešiť:**

-----

**Projektziele:**

-----

**Ciele projektu:**

-----

**Aktivitäten:**

-----

**Aktivität projektu:**

-----

<b>Wichtigste Meilensteine/ Uved'te najdôležitejšie míľniky:</b>	-----
--	-------

<b>Räumlicher Wirkungsbereich/ Lokalizácia projektu:</b>	-----
--	-------

<b>Projektbeginn – ende (Datum)/ Začiatok realizácie projektu – ukončenie realizácie projektu (dátum):</b>	-----
--	-------

Gesamtkosten (in € / Sk) indikative Aufteilung Celkové náklady (v €/Sk) indikatívne rozdelenie:	Celkové náklady v EURO	Celkové náklady v SK	Anmerkungen/ Poznámky
Personalkosten/Osobné náklady:	0,0	0,0	-----
Sachkosten/Prevádzkové náklady:	0,0	0,0	
Externe Dienstleistungen: Externé služby:	0,0	0,0	
Investitionen/Investície:	0,0	0,0	
Öffentlichkeitsarbeit: Publicita:	0,0	0,0	
Sonstiges: Iné:			
<b>Gesamtkosten/Oprávnené náklady spolu:</b>	<b>0,0</b>	<b>0,0</b>	
<b>Einnahmen/Očakávané výnosy projektu:</b>			
<b>anrechenbare Kosten/Zúčtovateľné náklady:</b>	<b>0,0</b>	<b>0,0</b>	-----
<b>unberechtigte Kosten/Neoporávnené náklady projektu</b>	<b>0,0</b>	<b>0,0</b>	-----
<b>Gesamtkosten (inbegriffen unberechtigter Kosten)/Náklady projektu spolu:</b>	<b>0,0</b>	<b>0,0</b>	-----

Finanzierung (in Euro/SK)/ Financovanie (v Euro/SK):	Financovanie v EURO	Financovanie v SK	Anmerkungen/Poznámky
<b>EU-EFRE: Výška požadovaného príspevku z ERDF:</b>	<b>0,0</b>	<b>0,0</b>	-----
<b>Nationale Beteiligung gesamt: Výška požadovaného príspevku zo štátneho rozpočtu:</b>	<b>0,0</b>	<b>0,0</b>	
Bund/štátny rozpočet:	0,0	0,0	
Land/rozpočet VÚC:	0,0	0,0	
Gemeinderozpočet obce:	0,0	0,0	
sonstige öffentliche Mittel/Iné:	0,0	0,0	
Private Mittel/vlastné zdroje:	0,0	0,0	
<b>Gesamtfinanzierung/Celkové zdroje projektu:</b>	<b>0,0</b>	<b>0,0</b>	-----
<b>EU-Beteiligung in %/Podiel zdrojov ERDF v %:</b>	<b>0,0%</b>	<b>0,0%</b>	-----

**Ergebnis der Projektprüfung/Výsledok kontroly projektu**

<b>1. Formale Kriterien / Formálne kritériá</b>	erfüllt / splnené <input type="checkbox"/>	nicht erfüllt / nesplnené: <input type="checkbox"/>
Anmerkungen/Poznámky	-----	

**2. Grenzüberschreitende Kriterien / Cezhraničné kritériá**

Wirkungsindikatoren/ Indikatoren účinku (logické indikátory a indikátory výstupu, výsledku a dopadu)		Kooperationsindikatoren/ Indikatoren spolupráce	
Wesentliche Projektwirkungen/ významné účinky projektu:	Nr. des Indikators / Č. indikátora:	5 Projektphasen / 5 fáz projektu:	Durchführung mit Partner / Realizovaný s partnerem:
Wirkung 1/ Účinek 1:	(vgl. Langliste) / (vid' dlhý zoznam): -----	1 Konzept, Antrag / Spoločné plánovanie pred podaním žiadosti	<input type="checkbox"/> ja/áno
Wirkung 2/ Účinek 2:	-----	2 Planung d. Umsetzung / Spoločné plánovanie realizácie projektu	<input type="checkbox"/> ja/áno
Wirkung 3/ Účinek 3:	-----	3 Umsetzung, Investition / Spoločná realizácia projektu	<input type="checkbox"/> ja/áno
Anzahl der erwarteten Wirkungen/ Počet očakávaných účinkov:	-----	4 Finanzierung / Spoločné financovanie projektu	<input type="checkbox"/> ja/áno
Integrationswirkung gesamt/ Účinek integrácie celkove:	A <input type="checkbox"/> B <input type="checkbox"/>	5 Nutzung nach Ende / Spoločné využitie po ukončení projektu	<input type="checkbox"/> ja/áno
		Kooperationsintensität/ Intenzita spolupráce:	A <input type="checkbox"/> B <input type="checkbox"/>

<b>3. Prioritätskriterien / Kritériá priorit:</b>	Zusatzwirkungen, Synergien: Ďalšie účinky, synergie: <input type="checkbox"/> ja	Schlüsselprojekt: Kľúčový projekt: <input type="checkbox"/> ja
---	---	---

**4. Auswirkung auf horizontale EU-Politiken/Účinnok na horizontálne politiky EÚ**

Chancengleichheit/Rovnosť šancí	<input type="checkbox"/> 0	<input type="checkbox"/> +	<input type="checkbox"/> ++
Nachhaltigkeit/Udržateľnosť	<input type="checkbox"/> 0	<input type="checkbox"/> +	<input type="checkbox"/> ++

**Zusammenfassung/Zhrnutie**

Stärken/Silné stránky	Schwächen/Slabé stránky	Auflagen/Podmienky
Gemeinsames Projekt / Spoločný projekt: Ja / nein – Áno / Nie		
Spiegelprojekt / Zrkadlový projekt: Ja / nein – Áno – Nie		
Komplementarprojekt / Komplementárny projekt: Ja / nein – Áno – Nie	-----	-----
Einzelprojekt / Samostatný projekt: Ja / nein – Áno – Nie		

**Empfehlung durch die koordinierende Förderstelle/Doporučenie Poradným výborom v SR:**

<input type="checkbox"/> abzulehnen / zamietnuť: -----
<input type="checkbox"/> zu genehmigen ohne Auflagen / schváliť bez podmienok: -----
<input type="checkbox"/> zu genehmigen mit folgenden Auflagen/schváliť s nasledujúcimi podmienkami: -----

**Stellungnahme durch das Gemeinsame Technische Sekretariat/Stanovisko Národného kontaktného bodu v SR**

Projektbeschreibung/Popis  
projektu: -----  
Kosten und Finanzierung/Náklady  
a financovanie: -----  
Meilensteine/Míľniky: -----  
Grenzüberschreitende  
Kriterien/Cezhraničné kritériá: -----  
Sonstiges/Iné: -----

**Stellungnahme durch die Kontrollabteilung der APRR/Stanovisko Kontrolného odboru agentúry**

**Empfehlung durch den Lenkungsausschuß/Rozhodnutie Spoločného riadiaceho výboru**

- abzulehnen / zamietnuť: -----  
 zu genehmigen ohne Auflagen / schváliť bez podmienok: -----  
 zu genehmigen mit folgenden Auflagen/ schváliť s nasledujúcimi podmienkami: -----

## **5 INFORMATION AND PUBLICITY ACTIVITY**

### **Communication plan INTERREG IIIA – PHARE CBC Austria – Slovakia**

#### **5.1 Introduction**

The information and publicity measures for the interventions of the Structural Funds are aimed at publicising the actions of the European Union, increasing transparency and creating a uniform image of the interventions throughout all member states (see also Commission Regulation (EC) No. 1159/2000 of 30 May on information and publicity measures to be carried out by the Member States concerning Assistance from Structural Funds).

The information and publicity measures are presented in the form of a communication plan in the Programme complement. Their implementation shall be the responsibility of the respective administrative body responsible for the interventions.

The communication plan contains information on

- the goals and target groups;
- the content and the strategy of communication and information measures;
- an indicative budget;
- the administrative bodies or institutions responsible for their implementation;
- the evaluation criteria used for assessing the measures implemented.

(Compare with the implementation regulations on information and publicity for interventions under the Structural Funds pursuant to the Annex to Regulation (EC) No. 1159/2000).

## 5.2 Goals and target groups

The information and publicity measures within the scope of the Interreg IIIA – Phare CBC Programmes Austria – Slovakia are designed

a) to address potential applicants and final beneficiaries such as

- regional and local authorities and other public bodies,
- professional associations and business communities,
- economic and social partners,
- non-governmental organisations, especially bodies to promote equality between men and women, and bodies working for the protection and improvement of the environment,
- project operators and promoters,

and serve the purpose of informing on the possibilities offered by the European Union, Slovakia and Austria and guaranteeing the transparency of the joint interventions;

b) to inform the general public of the role that the European Union plays together with Austria and Slovakia in the respective interventions and of their results.

## 5.3 Content and strategy

The information and publicity measures within the scope of the Interreg IIIA Programme Austria – Slovakia are primarily designed:

*a) to guarantee transparency vis-à-vis potential applicants and final beneficiaries*

- general information on the Community Initiative Interreg IIIA
- Publication of abbreviated versions of the joint planning documents (priorities and measures) indicating the participation of the corresponding fund
- to give an overview on competencies, organisation and project selection procedures
- standardised information on project applications (responsible authorities, contacts at the national, regional and local levels)
- announcement of selection criteria and evaluation mechanisms for tenders and project applications

This information will be available for downloading on the respective programme websites (see Annex 2).

*b) to inform the public*

- Announcements on the start of the programme in the media, giving an appropriate presentation of the participation of the European Union
- Ongoing communication on the stages of a project's implementation throughout the entire programme planning period
- Project-specific information in accordance with the provisions in the Annex to the Regulation (EC) No. 1159/2000 figure 3.2.2.2
- Presentation of the final results of the Interreg IIIA Programme for the period 2000-2006

The general strategic goal of the information and publicity measures within the scope of Interreg IIIA Programme Austria – Slovakia is to create a public image, which should achieve the status of a brand name or a “corporate identity” during the implementation period. To this end, a common logo will be used on printed matter, publications, in the printed and electronic media.

For the strategic implementation of the contents listed above, the following shall be used apart from the information and publicity means mentioned in the Annex to the Regulation (EU) 1159/2000 figure 6 (billboards, commemorative plaques, and notification to beneficiaries):

- A programme-specific website (see Annex 2) providing ongoing information to the general public, potential and final beneficiaries as well as structured networks in the Internet, which are to be prepared by the Technical Secretariat in close cooperation with the Intermediary Bodies, i.e. the Interreg departments of the Länder Burgenland, Niederösterreich and Wien, the VÚCs Bratislava and Trnava as well as the Ministry of Construction and Regional Development of the Slovak Republic
- Information materials such as leaflets, information binders and brochures
- The publication of periodical magazines (at regional level)
- Ad hoc press releases, press conferences to inform the national, regional and local media (e.g. on the start of the programme, best practices, success stories and good practice, Monitoring Committee meetings, annual implementation reports)
- Regional and local information events and networking of project promoters, financing institutions and funding bodies (kick-off event at the start of a programme at regional level as well as information events at the sub-regional level)
- Contributions to special-interest events, articles in special-interest magazines

The application of the above-mentioned information and publicity measures are differentiated within the programme planning period (2000 to 2006) by three phases each of which having different requirements:

**(a) Information on the start and the announcement of the Interreg IIIA Programme Austria – Slovakia**

Obviously the Austrian Intermediary Bodies as well as the Managing Authority had launched a series of kick-off events at regional level when the Interreg IIIA Programme had started in 2001. Furthermore a couple of specific information events were held at central level (e.g. for representatives of NGOs or for potential applicants in priority 4).

The goal of this phase is to spread the information as widely as possible (potential and final beneficiaries as well as the general public) and to inform in general about the programme, contact partners, info points, procedures and decision-making structures, etc.

*Communication means:* info days at regional and sub-regional level, leaflets, Slovak Interreg website, joint programme website, info brochures.

**(b) Ongoing information, communication and presentation**

In this phase, the public is regularly informed on the current status of the implementation of the programme and on the completion of successful projects. For example the joint programme website includes a section on success stories. In Spring 2004 a bilingual brochure including general information on the programme and cross-border region as well as on selected projects was launched.

Furthermore, clear standardised technical information at the regional and local levels on the administrative procedures and information on the selection criteria and evaluation mechanisms are provided. The harmonisation of information between Slovak and Austrian partners deserve particular attention.

Regular information and networking events by project organisers, financing institutions and funding bodies are crucial in this phase for the implementation of the joint programme-planning document.

*Communication means:* Brochures, information events, organisation of events to share experiences among projects, press releases on the status of the implementation.

**(c) Presentation of results and review**

At the end of the programming planning period, a presentation of the completed projects and a comprehensive review of the cooperation projects created is given.

*Communication means:* Joint programme website, brochures, final events, etc.



## 5.4 Indicative budget

In accordance with the joint programme-planning document, all measures projected for the communication action plan are covered by Technical Assistance 2 (other activities within the scope of Technical Assistance pursuant to Rule 11, paragraph 3). All in all, for TA 2 a sum of EUR 703,200 is available as an indicative budget, **thereof 30%** will be made available for information and publicity measures.

## 5.5 Competent authority

The Managing Authority for carrying out the information and publicity measures as set out in the Regulation is:

Federal Chancellery, Dept. IV/4, Hohenstaufengasse 3, A-1010 Vienna

Phone: +43-1-53115-2910

Fax: 43-1-53115-2180,

e-mail: iv4post@bka.gv.at.

In the coordination and execution of information and publicity measures the Managing Authority is supported by the National Authority :

Ministry of Construction and Regional Development

Regional Development Support Agency

Špitálska 8, 816 44 Bratislava

Phone: +421-2-5975 – 3221

Fax: +421-2- 5975 – 3233

e-mail: interreg@build.gov.sk

Information at regional and local levels is done by the Intermediary Bodies.

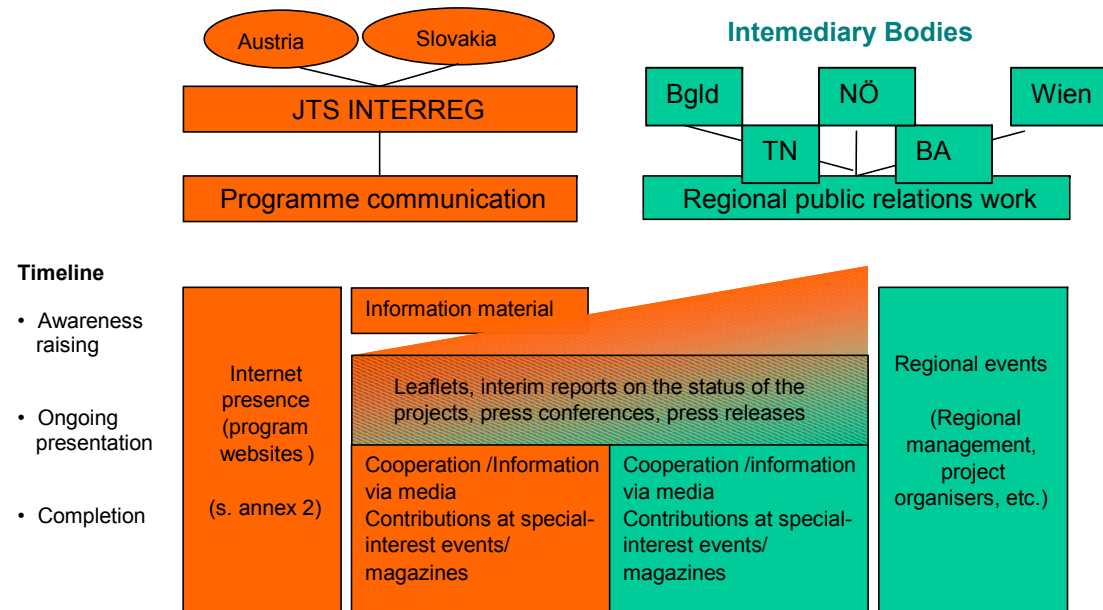
## 5.6 Evaluation criteria

The evaluation criteria for the efficiency of the information and publicity measures are:

- Increase in the awareness of the opportunities available through the common interventions of the European Commission and of Member States for potential final beneficiaries and project organisers;
- Information measures on the role of the EU in the assistance provided by the Structural Funds;
- Increase in the level of knowledge of the programme among the general public;
- Communication of a homogenous “corporate identity”.



## Annex 1: Overview of the communication means used



## **Annex 2: Programme website**

Creating a website for the Interreg IIIA Programme Austria – Slovakia is an efficient way of addressing the primary target group, i.e. the interested public and providing quick, detailed information on contents, objectives, eligible areas, application procedures etc. of this programme. As specific feature a close link and similar structure of the four programmes with the new Member States was developed thus providing comparable information for these programmes. The programme website is online since February 2002 and most of the information is available in three languages, i.e. Slovak, German and English. The up-date and maintenance of the website is in the hands of the Joint Technical Secretariat.

Furthermore a password-protected section for restricted internal use has been established in December 2002, the so-called back office area. In this section a central repository of information has been developed. The back office can be used by the members of Joint Steering and Monitoring Committees. A calendar keeps track of all Committee and Work Group Meetings, a file manager offers the users all documents related to the programme and the Committee meetings as downloads.

Now, after Slovakia's accession to the EU the Website will be revised and adjusted to the needs and challenges of the phasing-in of Interreg in Slovakia.

### **Thus, the website serves...**

- as a platform for all relevant programme issues and information of the Joint Technical Secretariat (e.g. general targets of the programme, contacts, success stories to date, programme news, etc.)
- as a dissemination tool for all relevant programme documents (e.g. Community Initiative Programme, Programme Complement, project application forms, the logo for downloading, etc.)
- as a means of communication to the public (e.g. platform for partner searches via e-mail, list of interesting and relevant links, etc.)
- and as an internal communication tool (e.g. provision of documents for meetings of the Joint Monitoring Committee)

### **The information on the Web is offered ...**

- ... for persons and institutions directly involved in the programme or in the project as well as for the interested public, and
- ... for partners and interested parties from the regions (Länder and VÚCs) involved as well as for interested parties from other regions

The content for the website will be prepared by the Joint Technical Secretariat, translated and given to the Webmaster. The website is generally bilingual (German and Slovak), English has been used when necessary (e.g. for specific documents) or meaningful to serve as 'lingua franca'.

The main menu offers the following contents:

- News – relevant information for the interested general public and actors involved (supraregional events, public relations work)
- Relevant programme information (maps, documents, contacts)
- Project applications and approvals, i.e., all information needed for assisting projects
- All project-related information (completed and current) and project ideas
- Additional information such as links, FAQ

## 6 DESCRIPTION OF THE AGREEMENT ON DATA EXCHANGE WITH THE EU

### 6.1 Monitoring and electronic data exchange

As a supplement to the presentation of monitoring and electronic data exchange given in the Community Initiative Programme (CIP) INTERREG IIIA Austria-Slovakia 2000-2006, we would like to add the following explanations as part of the Programme Complement:

The centralised nationwide, standard monitoring procedure of programme implementation for all **Austrian Objective programmes** and thus also for this INTERREG IIIA programme is carried out by the ERDF Monitoring Authority that belongs to the federal agency assigned to the corresponding fund or by the Paying Authority (Federal Chancellery).

The central ERDF monitoring system is built on a relational database that allows the clearly structured storage of the data (as recommended also by the EC in the context of electronic data exchange). The programme structure approved by the EC and by the Joint Monitoring Committee for INTERREG IIIA Austria-Slovakia (pursuant to the CIP and the Programme Complement) has been used to define and structure the database's architecture.

In **Slovakia** a national monitoring system for all Structural Funds is being built up (ITMS). This system will be used for the entry of data on project applications in Slovakia. Besides the core information, which will be asked from all Slovak SF-projects a specific extension for Interreg, is envisaged. This extension will be adjusted to the information requirements of the Central Monitoring System since the Managing Authority is obliged to have full information on programme implementation based on regular updates. The file transfer between the databases will be organised on a regular basis.

The collection of data (1 record per project) is done decentralised in line with the chosen implementation structure by the Intermediary Bodies (IBs) and the data is sent at regular intervals to the central ERDF Monitoring Authority. The reporting IB is responsible for the correctness of the data. The data sent to the Monitoring Authority shall be considered official data. Information that deviates from the official data on the programme implementation may be used for controlling purposes, but shall not be valid for official reports.

The main fields of the records are:

- Name of recipient of funds (name, address, etc.)
- Information on the amount of the funds approved broken down by source of the funds (ERDF, national, regional, other)
- Information on the amount of the subsidies paid out (broken down in the same manner as the approved subsidies)

- Information on approved projects (eligible project costs, project locations, etc.)
- Information on project-related indicators (collected at the individual project level) pursuant to the Programme Complement (broken down by output, result and impact), defining as a minimum the standard core indicators

Indicators that cannot be collected at the individual project level (a note is to be made in the description of the measure), shall not be collected by the central monitoring system, but must be collected separately (e.g. together with the evaluation)

The monitoring system is designed to collect data for Structural Fund intervention areas described with so-called intervention codes (e.g. 161 = assistance for SMEs and trades, subgroup material investments) and to link these to the indicators compiled at the individual project level.

Note on the indicators: The detailed indicators relating to measures and projects (pursuant to Art. 18 par. 3 letter a of Council Regulation No. 1260/99) shall be given at measure level. The issues of environment, equal opportunity and geographical regional classification shall follow the classification given as requested by the EC for the implementation of EU projects, which differs from the core indicator list. The following information shall be reported: whether the project a) has the environment as its main focus, b) is environment-friendly or c) is environmentally neutral; whether the project a) has equality between sexes as its main focus, b) is positive in terms of male-female equality or c) is neutral in terms of such equality; whether the location of the project is a) urban, b) rural or c) not geographically delimited.

Since all monitoring data for the ERDF area are stored in a relational database, the data may be easily aggregated, thus providing a continuous overview of the current stage of implementation. The overview is updated regularly in the central monitoring system relating to the financial status of the implementation.

The fund-specific data of the central Monitoring Authority shall be available without restrictions to the Managing Authority and the Joint Technical Secretariat to assist them in their monitoring work for the programme receiving subsidies from several different funds. The updated status of the monitoring of the measures shall be made available to the Managing Authority and the Joint Technical Secretariat as well as to the Federal Ministry of Finance, the competent authorities in the EC, and if necessary, to the financial auditing bodies.

The Managing Authority shall be responsible for taking the necessary steps to enable the electronic exchange of data relating to measures with the EC. For this purpose, the already existing electronic and functioning reporting systems set up for the Structural Fund period 1995-1999 have been adapted and expanded.

The electronic exchange of data for the ERDF shall be based on the ERDF monitoring system centrally installed in Austria. As all the data are stored centrally in a relational database, it is

possible to export specific data for specific needs. It is also possible to create flat-file formats as defined by the GD Regio in 1999.

The feasibility of this concept was proven during the Structural Fund period 1995-1999 based on the aggregated implementation status data received (commitment of funds, expenditure (actually) incurred) relating to measures using flat-file formats (for each EU programme, one flat file). The test phase conducted between Austria and the GD Regio regarding the electronic exchange of data was successfully completed in 1999 and the relevant offices of the GD Regio confirmed that the system in Austria is reliable.

Upon the request of the EC (EC document of 20 Oct. 2001 on the subject of "Structural Funds 2000-2006 – Electronic Data Exchange between Member States and the European Commission (File interface description)" the electronic exchange of data with the EC should take place in at least five areas (optional in six areas). The list below names the areas and the competent authority for transmitting the data electronically:

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<b>Type of information:</b>	<b>Contact</b>
1. Information on the programming (= financing plan)	Joint Technical Secretariat / ERDF Monitoring Authority
2. Expenditure confirmations (payment requests)	Paying Authority
3. Payment Forecasts	Paying and Sub-Paying Authorities
4. Annual implementation report/final report	Joint Technical Secretariat / ERDF Monitoring Authority
5. Information on the commitment of the funds and expenditure (optional)	Paying Authority / Monitoring Authority
6. Earmarked funds and expenditure by EC	EC

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The use of the monitoring data is subject to the provisions of national data protection laws.





## 7 FINANCING PLAN

### INTERREG IIIA Austria – Slovakia 2000-2006 Financing Plan 2000-2006 total (amounts in EURO current prices)

financial shifts 2005 incl. Decommittment P6

	total costs	public cofinancing	EU-contribution	%	national	public	private
	a=b+f	total	ERDF <sup>1</sup>		total	cofinancing <sup>2</sup>	cofinancing
	a=b+f	b=c+e	c		d=e+f	e	f
<b>1. Cross-border Economic Co-operation</b>	<b>16.783.999</b>	<b>13.887.000</b>	<b>9.152.000</b>	<b>25%</b>	<b>7.631.999</b>	<b>4.735.000</b>	<b>2.896.999</b>
1.1: Development and Support of Business Sites and Business Service Infrastructure in Border Areas	7.898.485	6.559.361	4.202.364		3.696.121	2.356.997	1.339.124
1.2: Cross-border Cooperation of Enterprises (SMEs) and Counselling and Support for Crossborder Business Activities	5.221.333	4.710.067	2.864.500		2.356.833	1.845.567	511.266
1.3: Tourism and Leisure	3.664.181	2.617.572	2.085.136		1.579.045	532.436	1.046.609
<b>2. Accessibility</b>	<b>14.654.667</b>	<b>13.709.735</b>	<b>8.087.000</b>	<b>22%</b>	<b>6.567.667</b>	<b>5.622.735</b>	<b>944.932</b>
2.1: Improvement of Crossborder Transport and Telecommunication Infrastructure	8.191.806	7.610.207	4.538.903		3.652.903	3.071.304	581.599
2.2: Transport Organisation, Planning and Logistics	6.462.861	6.099.528	3.548.097		2.914.764	2.551.431	363.333
<b>3. Cross-border Organisational Structures and Networks</b>	<b>7.166.379</b>	<b>6.463.379</b>	<b>4.058.190</b>	<b>11%</b>	<b>3.108.189</b>	<b>2.405.189</b>	<b>703.000</b>
3.1: Support of Crossborder Organisational Structures and Development of Networks	3.937.713	3.774.646	2.159.190		1.778.523	1.615.456	163.067
3.2: Micro-projects including People-to-People Actions and Small Pilots	3.228.666	2.688.733	1.899.000		1.329.666	789.733	539.933
<b>4. Human Resources</b>	<b>8.956.440</b>	<b>8.712.973</b>	<b>4.795.553</b>	<b>13%</b>	<b>4.160.887</b>	<b>3.917.420</b>	<b>243.467</b>
4.1: Development of Regional Labour Markets within the Context of EU Enlargement	2.325.334	2.306.267	1.258.000		1.067.334	1.048.267	19.067
4.2: Development of Co-operation and Infrastructure in the Fields of Education, Training and Science	6.631.106	6.406.706	3.537.553		3.093.553	2.869.153	224.400
<b>5. Sustainable Spatial and Environmental Development</b>	<b>15.219.557</b>	<b>14.697.957</b>	<b>8.242.778</b>	<b>22%</b>	<b>6.976.779</b>	<b>6.455.179</b>	<b>521.600</b>
5.1: Resource Management, Technical Infrastructure and Renewable Energy Supply	4.540.666	4.293.933	2.524.000		2.016.666	1.769.933	246.733
5.2: Measures for Nature and Environmental Protection including National and Nature Parks	7.154.223	6.957.890	3.798.778		3.355.445	3.159.112	196.333
5.3: Cross-border Spatial Development in Rural and Urban Areas	3.524.668	3.446.134	1.920.000		1.604.668	1.526.134	78.534
<b>6. Special Support for Border Regions</b>	<b>1.664.638</b>	<b>1.664.638</b>	<b>832.319</b>	<b>2%</b>	<b>832.319</b>	<b>832.319</b>	<b>0</b>
6.1: Special Support for Border Regions	1.664.638	1.664.638	832.319		832.319	832.319	0
<b>Technical Assistance</b>	<b>3.512.669</b>	<b>3.468.334</b>	<b>1.978.000</b>	<b>5%</b>	<b>1.534.669</b>	<b>1.490.334</b>	<b>44.335</b>
Technical Assistance I	2.809.334	2.773.867	1.582.000		1.227.334	1.191.867	35.467
Technical Assistance II	703.335	694.467	396.000		307.335	298.467	8.868
<b>TOTAL</b>	<b>67.958.349</b>	<b>62.604.016</b>	<b>37.145.840</b>	<b>1</b>	<b>30.812.509</b>	<b>25.458.176</b>	<b>5.354.333</b>

1 The EU-contribution has been calculated on the basis of the total costs.

2 The figures are identical with those in column 12 ("Sonstige") in the Commission Decision of 27.9.2002